

# **FINANCE COMMITTEE**

## **AGENDA**

**Called by:** John Butitta, Chairman  
**Members:** Jean Crosby, Joe Hoffman, Keith McDonald, Jaime Salgado, John F. Sweeney, Michael Thompson

**DATE:** THURSDAY, DECEMBER 15, 2022  
**TIME:** IMMEDIATELY FOLLOWING OPERATIONS & ADMINISTRATIVE COMMITTEE

**LOCATION:** ROOM 303  
COUNTY ADMINISTRATION BLDG  
404 ELM STREET  
ROCKFORD, IL 61101

### **AGENDA:**

- A. Call to Order
- B. Roll Call
- C. Approval of August 4 and 18 and September 1, 2022 Minutes
- D. Public Comment – This is the time we invite the public to address the Finance Committee with issues and concerns. We ask you to limit your comments to three minutes. Personal attacks or inappropriate language of any sort will not be tolerated. We will allow a maximum of five speakers on a first come basis with sign up at the meeting. Speakers may not address zoning matters which are pending before the ZBA, the Zoning Committee or the County Board. Personnel matters or pending or threatened litigation may not be addressed in open session. An individual may speak a maximum of three times per calendar year on the same topic. This prohibition shall include the repetition of the same topic in a statement on what is purported to be a different topic. After acknowledgement by the chair, please stand and state your name. Thank you.
- E. Selection of Finance Committee Vice Chairman by John Butitta
- F. Discussion Item Tax Agent Presentation by Region One Planning Council (with Attachments)
- G. Discussion Item Animal Services Addition Utilizing ARPA Funds by Jeff Duesterbeck of Huffman Facility Development, Inc.
- H. Discussion Item ARPA Phase 4 by David J. Rickert (with Attachments)
- I. Discussion Item Capital Improvement Plan 2023 for General Fund and Public Safety Sales Tax by David J. Rickert (with Attachments)
- J. Resolution Authorizing County Contribution for State's Attorneys Appellate Prosecutor's Program
- K. Resolution to Approve Purchase of Vehicle for ESDA Coordinator
- L. Resolution to Fund Water Resources Strategic Plan with ARPA Phase IV Funds
- M. Resolution to Fund Baker Tilly Professional Services for River Bluff Nursing Home
- N. Other Matters
- O. Adjournment

**Winnebago County Board  
Finance Committee Meeting**  
County Administration Building  
404 Elm Street, Room 303  
Rockford, IL 61101

Thursday, August 4 2022  
5:30 PM

**Present:**

Jaime Salgado, **Chairman**  
Steve Schultz  
Paul Arena  
Joe Hoffman  
Keith McDonald

**Others Present:**

Pat Thompson, County Administrator  
Dave Rickert, Chief Financial Officer  
Lafakeria Vaughn, State's Attorney's Office  
Ann Johns, Purchasing Director  
Deb Crozier, HR Director  
Jill Leka, Clark Baird Smith LLP  
Rebecca Laue, State's Attorney's Office

**Absent:**

John Butitta  
Jean Crosby

**AGENDA:**

- A. Call to Order
- B. Roll Call
- C. Approval of Minutes – None
- D. Public Comment – This is the time we invite the public to address the Finance Committee with issues and concerns. We ask you to limit your comments to three minutes. Personal attacks or inappropriate language of any sort will not be tolerated. We will allow a maximum of five speakers on a first come basis with sign up at the meeting. Speakers may not address zoning matters which are pending before the ZBA, the Zoning Committee or the County Board. Personnel matters or pending or threatened litigation may not be addressed in open session. An individual may speak a maximum of three times per calendar year on the same topic. This prohibition shall include the repetition of the same topic in a statement on what is purported to be a different topic. After acknowledgement by the chair, please stand and state your name. Thank you.
- E. An ordinance Authorizing the Issuance of not to Exceed \$12,500,000 of General Obligation Bonds (Alternate Revenue Source) for the Purpose of Refunding Certain Outstanding Bonds of the County
- F. Resolution Awarding Gigabit to the Desktop Project Phase 2 and Server Licensing Contracts
- G. Closed Session to Discuss Pending Litigation and Labor Negotiations
- H. Future Agenda Items
- I. Adjournment

Chairman Salgado called the meeting to order at 5:30 PM.

**Public Comment**

Chairman Salgado omitted reading the Public Comment section of the Agenda due to no one present to speak.

**An ordinance Authorizing the Issuance of not to Exceed \$12,500,000 of General Obligation Bonds (Alternate Revenue Source) for the Purpose of Refunding Certain Outstanding Bonds of the County**

Motion by Mr. Schultz and Seconded by Mr. Hoffman.

- A discussion followed.

Motion passed by unanimous voice vote.

**Resolution Awarding Gigabit to the Desktop Project Phase 2 and Server Licensing Contracts**

Motion by Mr. Schultz and Seconded by Mr. McDonald.

- A discussion followed.

Motion passed by unanimous voice vote.

**Closed Session to Discuss Pending Litigation and Labor Negotiations**

Motion by Mr. Schultz and Seconded by Mr. Hoffman.

Roll call: Mr. Salgado yes, Mr. Schultz yes, Mr. Arena yes, Mr. Hoffman yes and Mr. McDonald yes.

Mr. Salgado – No action was taken in closed session.

**Future Agenda Items**

- Mr. Rickert spoke about the Budget and the process for review and approvals. The Regional Plan I and Mental Health Board will be included on the next Finance meeting's agenda to present their budgets. Discussion followed.
- Additional items will be included on the next Agenda with priority given to the Budget.

**Motion to adjourn.** Moved: Mr. Hoffman, Seconded: Mr. Schultz.

Motion passed by unanimous voice vote.

Respectfully submitted,

Nancy Bleile  
Administrative Assistant

**Winnebago County Board  
Finance Committee Meeting**  
County Administration Building  
404 Elm Street, Room 303  
Rockford, IL 61101

Thursday, August 18, 2022  
5:30 PM

**Present:**

Jaime Salgado, **Chairman**  
John Butitta  
Paul Arena  
Joe Hoffman  
Jean Crosby

**Absent:**

Steve Schultz  
Keith McDonald

**Others Present:**

Joseph Chiarelli, County Board Chairman  
Pat Thompson, County Administrator  
Dave Rickert, Chief Financial Officer  
Deb Crozier, HR Director  
Molly Terrinoni, Finance Director  
Lafakeria Vaughn, State's Attorney's Office  
Tim Nabors, County Board Member  
Mr. Jakeway, Circuit Clerk  
Ann Johns, Purchasing Director  
Mary Ann Abate, Mental Health Board President  
Dave Tassoni, County Board Member

**AGENDA:**

- A. Call to Order
- B. Roll Call
- C. Approval of Minutes – June 2, 2022 Minutes
- D. Public Comment – This is the time we invite the public to address the Finance Committee with issues and concerns. We ask you to limit your comments to three minutes. Personal attacks or inappropriate language of any sort will not be tolerated. We will allow a maximum of five speakers on a first come basis with sign up at the meeting. Speakers may not address zoning matters which are pending before the ZBA, the Zoning Committee or the County Board. Personnel matters or pending or threatened litigation may not be addressed in open session. An individual may speak a maximum of three times per calendar year on the same topic. This prohibition shall include the repetition of the same topic in a statement on what is purported to be a different topic. After acknowledgement by the chair, please stand and state your name. Thank you.
- E. Discussion Item County 2023 Budget (David Rickert)
- F. Discussion Item Mental Health Board Budget
- G. Discussion Item ARP Phase IV
- H. Resolution Authorizing a Lump Sum Payment from American Rescue Plan (ARP) Funds to Eligible Non-Bargaining Unit Employees in Specific County Departments
- I. Resolution Authorizing an Increase in the Salary of the Winnebago County Defender
- J. Resolution Authorizing Settlement of a Claim Against the County of Winnebago Entitled Tomasz Wysocki Versus Winnebago County
- K. Closed Session to Discuss Pending Litigation
- L. Future Agenda Items
- M. Adjournment

Chairman Salgado called the meeting to order at 5:30 PM.

**Motion to approve the minutes of June 2, 2022**

Moved: Mr. Hoffman, Seconded: Mr. Butitta

Motion passed by unanimous voice vote.

**Public Comment**

Chairman Salgado omitted reading the Public Comment section of the Agenda due to no one present to speak.

**Discussion Item County 2023 Budget by David J. Rickert, Chief Financial Officer**

- David Rickert thanked his staff for their assistance in preparing the 2023 Budget. Mr. Rickert called for questions. Discussion followed.

**Discussion Item Mental Health Board Budget**

Tim Nabors discussed expenditures in the 2022 Mental Health Board Budget and grants awarded to the community. Discussion followed.

**Discussion Item ARP Phase IV**

- David Rickert reviewed requests and adjustments to the ARP Phase IV with committee members. Discussion followed.

**Resolution Authorizing a Lump Sum Payment from American Rescue Plan (ARP) Funds to Eligible Non-Bargaining Unit Employees in Specific County Departments**

Moved: Mr. Hoffman, Seconded: Ms. Crosby

- Discussion followed.

Question called: Mary Ann Abate, Seconded: Mr. Butitta.

- No discussion on calling the question.

Motion to approve calling the question passed by unanimous voice vote.

Motion to approve Resolution passed by unanimous voice vote.

**Resolution Authorizing an Increase in the Salary of the Winnebago County Defender**

Moved: Ms. Crosby, Seconded: Mr. Hoffman.

- Discussion followed.

Motion passed by unanimous voice vote.

**Motion to enter Closed Session to Discuss Pending Litigation.**

Motion: Mr. Hoffman, Seconded: Ms. Crosby

Roll call: Mr. Salgado yes, Mr. Butitta, yes, Mr. Arena yes, Mr. Hoffman yes, Ms. Crosby yes.

Mr. Salgado – No action was taken in closed session.

**Resolution Authorizing Settlement of a Claim Against the County of Winnebago Entitled Tomasz Wysocki Versus Winnebago County**

Moved: Ms. Crosby, Seconded: Ms. Crosby

Motion passed by unanimous voice vote.

**Future Agenda Items**

Mr. Rickert spoke about the Budget and ARP issues.

**Motion to adjourn.** Moved: Mr. Butitta, Seconded: Ms. Crosby.  
Motion passed by unanimous voice vote.

Respectfully submitted,

Nancy Bleile  
Administrative Assistant

**Winnebago County Board  
Finance Committee Meeting**  
County Administration Building  
404 Elm Street, Room 303  
Rockford, IL 61101

Thursday, September 1, 2022  
5:30 PM

**Present:**

Jaime Salgado, **Chairperson**  
Steve Schultz  
John Butitta  
Paul Arena  
Joe Hoffman  
Jean Crosby  
Keith McDonald

**Others Present:**

Joseph V Chiarelli, County Board Chairman  
Patrick Thompson, County Administrator  
Dave Rickert, Chief Financial Officer  
Ann Johns, Purchasing Director  
Paul Carpenter,  
Shawn Franks, Facilities  
Chris Petrus, IT Department

**Absent:**

**AGENDA:**

- A. Call to Order
- B. Roll Call
- C. Approval of Minutes – June 16, 2022 Minutes
- D. Public Comment – This is the time we invite the public to address the Finance Committee with issues and concerns. We ask you to limit your comments to three minutes. Personal attacks or inappropriate language of any sort will not be tolerated. We will allow a maximum of five speakers on a first come basis with sign up at the meeting. Speakers may not address zoning matters which are pending before the ZBA, the Zoning Committee or the County Board. Personnel matters or pending or threatened litigation may not be addressed in open session. An individual may speak a maximum of three times per calendar year on the same topic. This prohibition shall include the repetition of the same topic in a statement on what is purported to be a different topic. After acknowledgement by the chair, please stand and state your name. Thank you.
- E. Resolution Authorizing the Execution of an Hourly Wage Increase for the Certified Nursing Assistants at River Bluff Nursing Home
- F. Resolution to Fund Suicide Prevention with ARPA Funds
- G. Discussion Item ARP Phase IV Update (Dave Rickert)
- H. Annual Appropriation Ordinance (Dave Rickert)
- I. Future Agenda Items
- J. Adjournment

Chairperson Salgado called the meeting to order at 5:56 PM.

Roll Call: Chairperson Salgado yes, Mr. Schultz yes, Mr. Butitta yes, Mr. Arena yes, Mr. Hoffman yes, Ms. Crosby yes, Mr. McDonald yes.

**Motion to approve the minutes of June 16, 2022**

Moved: Mr. Hoffman. Seconded by: Mr. Schultz.

Chairperson Salgado called for questions or comments.

Motion passed by unanimous, voice vote.

### **Public Comment**

Chairperson Salgado read the Public Comment Section of the Agenda.

- David Gill, a local Rockford attorney and board member of NAMI introduced himself and gave a brief history of his background. Mr. Gill spoke today about funding available to the county for teen mental health, suicide prevention and mental illness. Mr. Gill asked board members to strongly consider funding organizations that help prevent suicide and especially teen suicide.

### **Resolution Authorizing the Execution of an Hourly Wage Increase for the Certified Nursing Assistants at River Bluff Nursing Home**

Moved: Mr. Schultz. Seconded by: Mr. Hoffman.

- Dave Rickert reviewed the resolution with board members. Chairperson Salgado called for questions.
- A discussion followed. Ms. Vaughn asked that language be changed to amend the Resolution. Language added to the Resolution is: "Now Therefore, Be It Resolved" and also execution of the "Memorandum of the Understanding."
- A discussion followed.

Motion: Ms. Crosby. Seconded by: Mr. Schultz.

- Agreement reached to approve the original Resolution.

Motion passed by unanimous voice vote.

### **Resolution to Fund Suicide Prevention with ARPA Funds**

Motion: Mr. Schultz. Seconded by: Ms. Crosby.

- Marlana Dokken reviewed the Resolution with committee members. The Resolution was amended to add the date of reporting.
- A discussion followed.

Motion to layover for Mental Health Board to review.

Moved: Mr. Schultz. Seconded by: Mr. Arena.

- A discussion followed.

Question called: Ms. Crosby.

No discussion on calling the question.

Motion to approve calling the question passed by unanimous voice vote.

Motion to layover the Resolution passed a majority vote.

Motion made by Mr. Schultz to amend the funding source to the "Mental Health Tax Fund."

Seconded by: Mr. Arena

- A discussion followed.

Question called: Ms. Crosby.

No discussion on calling the question.

Motion to approve calling the question passed by unanimous voice vote.

Motion to amend the Resolution to use the Mental Health Board Tax funds, if allowable, passed by unanimous voice vote.

Motion to approve the original Resolution to Fund Suicide Prevention, amended to include the use of the Mental Health Board Tax funds, passed by a majority voice vote.

### **Discussion Item ARP Phase IV Update (Dave Rickert)**

Mr. Rickert reviewed ARP Phase I and Phase IV projects.



- A discussion followed.

### **Annual Appropriation Ordinance (Dave Rickert)**

Motion: Mr. Schultz. Seconded by: Ms. Crosby.

- A discussion followed.

Motion to amend by Mr. Schultz and Seconded by Mr. McDonald to move to the capital project for the PSST fund.

Motion passed by unanimous voice vote.

- A discussion followed.

Motion made by Mr. Schultz to freeze the overall levy with the exception of the new growth. Seconded by: Mr. McDonald, Ms. Crosby.

- A discussion followed.

Question called: Ms. Crosby.

No discussion on calling the question.

Motion to approve calling the question passed by unanimous voice vote.

Motion passed by a majority voice vote to freeze the overall levy with the exception of the new growth.

Motion passed to approve the amended Annual Appropriation Ordinance as amended by Mr. Schultz by a majority voice vote.

### **Future Agenda Items**

None reported.

### **Motion to adjourn.**

Moved: Mr. Schultz. Seconded by: Ms. Crosby.

Motion passed by unanimous voice vote.

Respectfully submitted,

Nancy Bleile  
Administrative Assistant

**Winnebago County Trustee Results**

	FY 2022	FY 2021	FY 2020
1 Sales	\$ 968,330.61	\$ 1,079,608.88	\$ 351,820.67
2 Interest	\$ 283,243.18	\$ 40,706.80	\$ 257,217.54
3 Revenue BM	\$ 1,251,573.79	\$ 1,120,315.68	\$ 609,038.21
4 Host Fee Payment			\$ 75,000.00
5 Revenue AM	\$ 1,401,573.79	\$ 1,270,315.68	\$ 684,038.21
6 Maintenance Expenses	\$ 74,036.83	\$ 93,034.13	
7 Operations Expenses	\$ 30,431.46	\$ 273,706.43	
8 Host Fee Repayment		\$ 75,000.00	
9 Total Expenses	\$ 254,468.29	\$ 516,740.56	\$ 190,238.96
11 PROGRAM NET	\$ 1,147,105.50	\$ 678,575.12	\$ 493,799.25
12 Fee to Agent	\$ 378,544.82	\$ 226,191.71	\$ 117,554.57
13 Proceeds Payout	\$ 768,560.69	\$ 452,383.43	\$ 226,244.68

**Notes:**

6 Maintenance Expenses: Actual Maintenance Expenses Incurred in FY

7 Recording Fees, Legal Costs, Etc.

12 Utilized at R1 Board Direction for Winnebago County, includes staff hours to run program

13 See Breakdown by Tax Code for Payouts

FY 2022 Trustee Proceeds	
Distribution by Tax Code	

001	52.922%
002	0.371%
003	2.681%
018	0.813%
057	10.356%
058	0.056%
059	0.342%
060	0.057%
064	0.233%
066	0.324%
084	0.520%
085	11.119%
126	0.134%
144	5.524%
156	0.520%
181	0.056%
190	0.181%
191	0.216%
202	0.520%
220	0.463%
223	0.235%
226	0.056%
230	0.969%
407	0.700%
418	1.625%
422	1.607%
423	7.134%
424	0.213%
458	0.052%

# ARP Phase 4 Request List

Request	Submitter	Baker Tilly		Rating
		Approved	Amount	
JDC Body Scanner	Debbie Jarvis	Yes	\$ 93,500	1
Winnebago County Employee Hazard Pay	David Rickert	Yes	\$ 2,000,000	2
Economic Development AARC at Booker	Dorothy Redd	Yes	\$ 134,080	3
Tommy Meeks Juneteenth Event	Tommy Meeks	Yes	\$ 5,000	4
Youth Suicide Prevention Program	Marlana Dokken	Yes	\$ 288,000	5
Habitat for Humanity Housing Plan	Dorothy Redd	Yes	\$ 250,000	6
American Legion	Burt Gerl	Yes	\$ 176,450	7
Northern Illinois Food Bank - Support Request	Jeannine Kanneg	Yes	\$ 50,000	8
Childrens Advocacy Center	Cathy Pomahac	Yes	\$ 72,000	9
Water Resources Strategic Plan	Joe Chiarelli	Yes	\$ 212,673	High
TIF District Water Project 50% Funding	Carlos Molina	Yes	\$ 2,000,000	Medium
Anderson Japanese Gardens Parking Lot			\$ 50,000	Low
Salon Loyalty ARP Request	Dorothy Redd		\$ 170,012	Low
Rockford University Sewer Project	Jean Crosby		\$ 350,000	Low
Shopstead Storefront for the People	Glenn Greenberg	Revenue Loss	\$ 105,000	Low
Zion West After School Program	Marvin Hightower		\$ 80,000	Low
Zion West After School Program (2)	Marvin Hightower		\$ 272,934	Low
Rockford Area Arts Council Support Request	Mary McNamara		\$ 150,000	Low
Cosmetology Beauty Institution	Tyceria Posley		\$ 500,000	Low
Times Theater Project	Vince Chiarelli		\$ 500,000	Low
RAEDC Operational Funding	Einar Forsman	Yes	\$ 50,000	Low
Inner City Recon Group (New Carpet)	Tommy Meeks		\$ 6,220	Not Rated
Winnebago Fire Chiefs Association	Jerry Caskey		\$ 15,050,771	Not Rated
Total Requests			<b>\$ 22,566,640</b>	
Budget	\$ 4,300,000	Spent:	\$ 3,069,030	
Available after spent	\$ 1,230,970	High:	\$ 212,673	
Available after high	1,018,297	Medium:	\$ 2,000,000	
Available after Medium	(981,703)	Low:	\$ 2,227,946	
Request List as of 2022-11-29.xlsx			Total: \$ 7,509,649	

## Notes:

Change from:

Northwest Fire Protection District Jerry Caskey \$ 46,645 Not Rated

Change to:

Winnebago Fire Chiefs Association Jerry Caskey \$ 15,050,771 Not Rated

Change from:

Rockford Area Arts Council Support Request Mary McNamara \$ 75,000 Low

Change to:

Rockford Area Arts Council Support Request Mary McNamara \$ 150,000 Low



# The Economic Impact of the Arts Industry in Northern Illinois



Region 1 Planning Council  
Rockford Area Arts Council  
City of Rockford  
Winnebago County

2021

## Executive Summary

This study analyzes the indirect economic impact of the Arts Industry in the Northern Illinois region. With the use of the REMI forecasting software, this study was able to show the forecasted increased employment and output for the Arts Industry in Winnebago County and Boone County. Using this same software, this study has shown the indirect impact the Arts Industry has on regional employment as well as regional output for every Art Industry employee added and for every dollar invested in the Arts Industry within Winnebago County and Boone County. This analysis highlights the other major and complimentary industries that benefit from the indirect increase in employment and output. This analysis shows and continued positive indirect impact for each employee added and for each additional dollar invested. It has also shown and analyzed the trends in employment and GDP for the Arts Industry in Ogle County and DeKalb County, looking at the future expected demand of the Arts in those two counties, with Ogle County expecting a decrease in demand, whereas DeKalb County will see an increase in demand for the Arts.

This study then looked at the economic impact COVID-19 had on the Arts Industry at the national, state, and local levels. The local level data was retrieved through two surveys completed by Rockford Area Arts Council (RAAC) members. There were not enough responses to make regional assumptions, however the responses gave insight into how regional organizations were impacted by and responded to the COVID-19 pandemic.

## Acknowledgments

### Region 1 Planning Council

**Ellen Robertson**

*Community Development Planner*

**Janna Bailey**

*Technical Writer*

### Rockford Area Arts Council

**Mary McNamara Bernsten**

*Executive Director*

### Rockford Area Arts Council Members

RACVB

Transform Rockford

RAVE/ BMO

Rockford Symphony Orchestra

Rockford Dance Company

Rockford Art Museum

Friends of the Coronado

Burpee Museum of Natural History

Discovery Center

Artist's Ensemble

Starlight Theatre

**This document was prepared by Region 1 Planning Council in collaboration with the Rockford Area Arts Council.**



*Special thanks to the City of Rockford and Winnebago County for funding this study.*



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## Overview

The Arts Industry, also known as the Creative Industry, refers to a number of economic activities that concern the creation and exhibition of art, namely performing arts, art education, theatre, visual art, museums, and other sectors. It exists across all types of economic sectors, including the for-profit sector, non-profit sector, small businesses, large organizations, individuals, and schools and universities. The Arts are known to increase the quality of life in communities and regions where the Arts Industry is active and growing. It increases mental and physiological wellbeing, can decrease the severity of pain, and is used in therapeutic practice to support recovery efforts.<sup>i</sup> It has also been deemed as an important part of a well-rounded education.<sup>ii</sup>

In 2017, the US Bureau of Economic Analysis determined there were 5.1 million jobs associated with the Arts Industry, a \$878 billion industry making up roughly 4.5% of the total US GDP.<sup>iii</sup> This includes 673,656 businesses that employ 3.48 million people, 1.9% of all US workers, who are directly involved with the Arts Industry, whereas the rest of the economic impact is felt through indirect output and employment.<sup>iv</sup> Understanding the important and positive role the Arts play in our society, Congress allocated \$135 million of the American Rescue Plan to the National Endowment for the Arts (NEA).<sup>v</sup> The NEA is an independent federal agency that disperses this funding to state and regional Arts organizations through grant applications and funding opportunities to support the recovery and expansion of the Arts Industry, which will have multiplicative, indirect impacts on the rest of the economy.

The State of Illinois is able to boast similar numbers for the Arts Industry. The Arts Industry added \$29.7 billion to Illinois' economy, roughly 3.4% of the state's GDP in 2019, with 216,722 jobs related to the Industry, 3.6% of total state employment.<sup>vi</sup> The Arts Industry created more output in the State economy than the Utilities, Education, Agriculture and Forest, and Mining Industries through direct and indirect economic output. Directly, there were 22,482 arts-related businesses in operation, employing 134,372 people.<sup>vii</sup> The rest of the impact was felt through indirect economic activity created by the presence of the Arts Industry in Illinois.

Most of the funding for the Arts comes through federal and state government funding (grants, allocation of funds), private donations, and revenue from sales and performances. Government funding generally requires grant applications and lobbying to maintain or increase Arts funding. Being able to quantify both the direct and indirect economic impacts created by the Arts Industry increases the support and arguments for the allocation of funds to the Arts, as opposed to other industries, in order to create the largest economic impact through the most efficient use of funds.

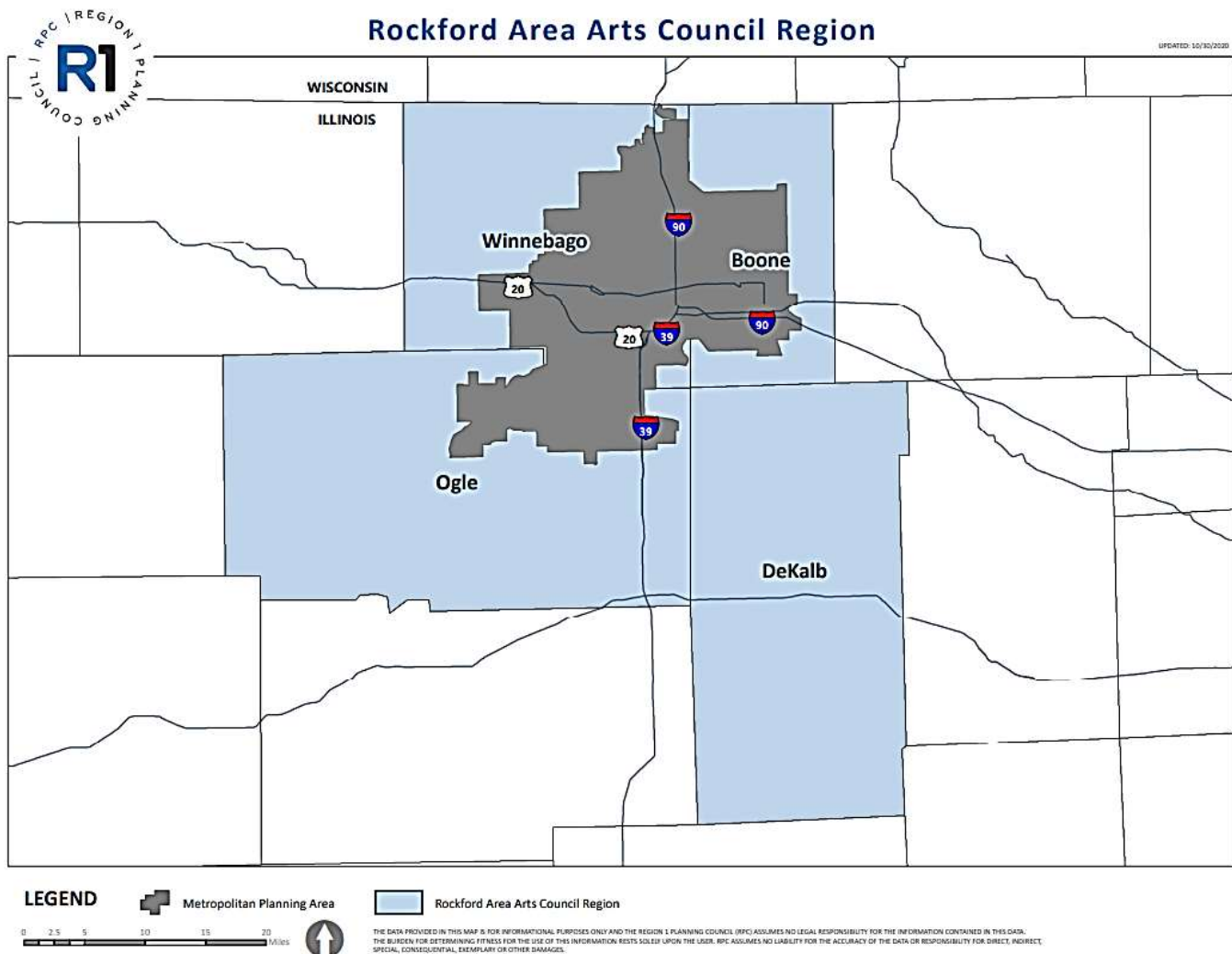
This Industry Impact Study has been done to better determine the direct and indirect impact of the Arts Industry in Northern Illinois for the Rockford Area Arts Council (RAAC) area, namely Winnebago County and Boone County. This study determines the direct and indirect economic impact of one additional employee added to the industry as well as the impact of each dollar of output the Arts Industry creates at the county level. This study also looks how COVID-19 has impacted the Arts Industry in 2020 in the State of Illinois. This data will allow member organizations and others looking to garner funding for the Arts to create a stronger argument for funding in the region by showing the positive indirect impacts of investing in the Arts and the needs of Arts organizations that were impacted by COVID-19.

Every year since 2014, Southern Methodist University (SMU) has published an annual Arts Vibrancy Index Report<sup>viii</sup>, which draws upon a set of data-informed indices to recognize arts-vibrant communities across

the United States. The vibrancy scores include data from the following sources: U.S. Census Bureau, SMU DataArts, IRS 990s, Theatre Communications Group, the National Endowment for the Arts, National Assembly of State Arts Agencies, and Institute of Museum and Library Services. Communities at the county level were examined to determine a 3100 county index that allows for comparison between counties. See Appendix A for the RAAC regions vibrancy scores.

Key points from the SMU Arts Vibrancy Index that are relevant to this economic impact study:

- A community's arts vibrancy is dynamic, but changes tend to be evolutionary, not revolutionary.
- Arts vibrancy takes many shapes
- The study included Arts Alliances and Service Organizations, Arts Education, Art Museum, Community, Dance, Music, Opera, Performing Arts Center, Symphony Orchestra, Theater, Other Museum, and Multidisciplinary Performing Arts. Please note some sectors combine arts and cultural disciplines with similar characteristics (e.g., Other Museums includes History Museums, Natural History & Natural Science Museums, and Science & Technology Museums, etc.).

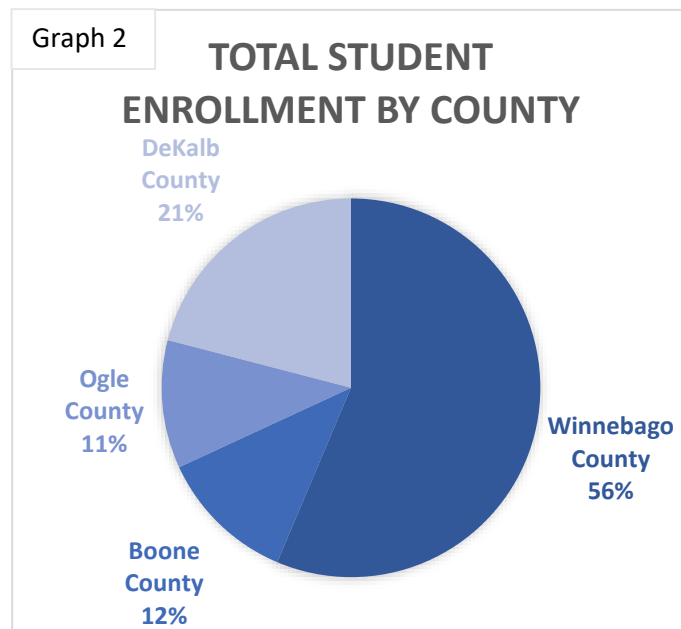
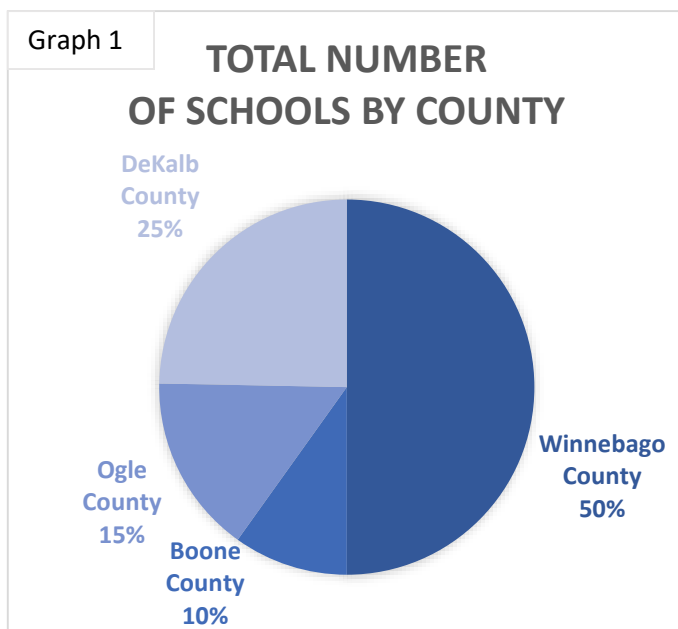


Rockford Area Arts Council Region: Boone County, DeKalb County, Ogle County, and Winnebago County.  
Map Credit: Alexandra Rosander, Planner, Region 1 Planning Council.

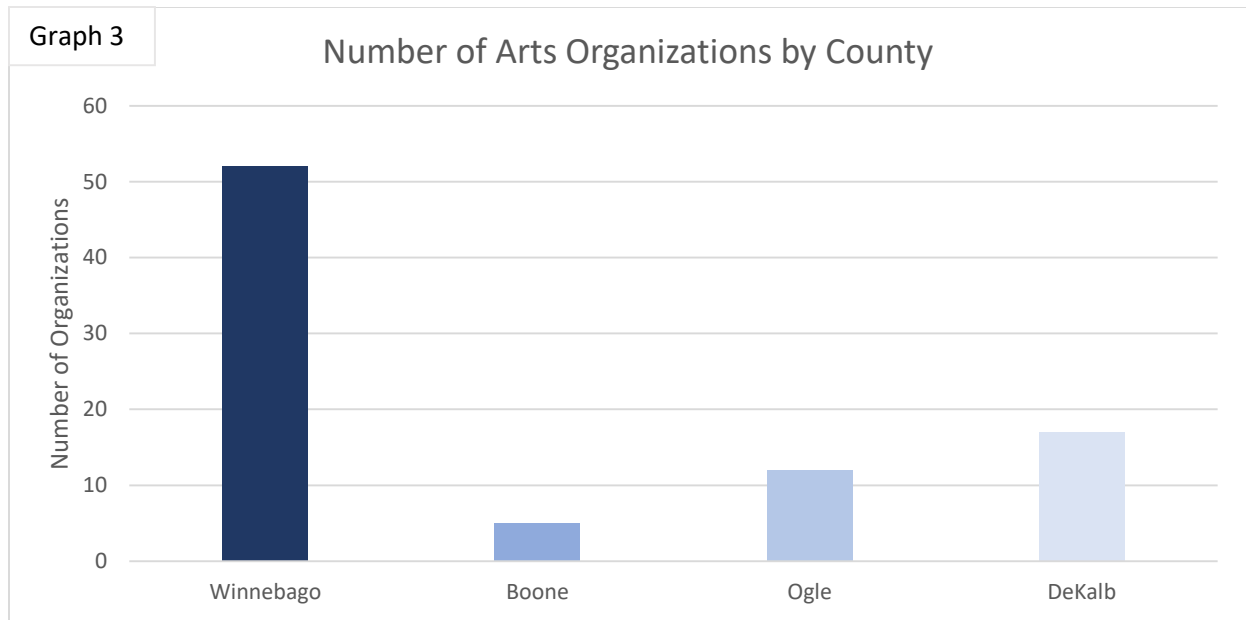
## Northern Illinois Region

The Northern Illinois Region is defined by the service area of the Rockford Area Arts Council. This includes Winnebago County, Boone County, Ogle County, and DeKalb County. In 2019, this Northern Illinois region created \$21,202,777.00 in total output, making up roughly 0.002% of Illinois' GDP.<sup>ix</sup>

The total regional population is 618,575, with the population being primarily white non-Hispanic, black non-Hispanic, and Hispanic.<sup>x</sup> The four county region also hosts 162 schools, 53 elementary schools, 13 middle schools, 12 high schools, and 3 charter schools. Many of the students participate in arts activities both during the school days and with after school arts programs. In the 2020 school year, the RAAC region enrolled a total of 78,943 students in pre-K to 12 grade. Winnebago County hold 50% of the total 162 schools, and 56.37% of all enrolled students.<sup>xi</sup> See Graphs 1 and 2.



The Arts Industry created a total output of roughly \$145 billion in 2019 in this four county region. In 2019, the Arts Industry employed roughly 4000 employees, two-thirds of which were in Winnebago County.<sup>xii,xiii</sup> There are approximately 86 Arts organizations within the four county region, the majority of which are located in Winnebago County. See Graph 3.



The four counties have also received Arts funding from multiple funding agencies. See Chart 1 for Grant Awards for FY21. The Institute of Museums and Library Services (IMLS) has awarded \$4,081,499 to both Winnebago and DeKalb County since 1997. In 2021, two programs were awarded a total of \$90,027 in funds from the IMLS, one in each county. Boone and Ogle County have not received any funds from the IMLS.<sup>xiv</sup>

Another funding source is the National Endowment for the Arts (NEA). The NEA has awarded a total of \$595,092 to the region since 1998, specifically to Winnebago and DeKalb County organizations. Boone and Ogle County did not receive any awards. Winnebago County was awarded a \$12,000 grant in 2020.

One of the larger funding sources for the four county region is the state level Illinois Arts Council Agency (IACA). Over the past decade IACA has awarded roughly \$1.1 million to the RAAC region.<sup>xv</sup> In 2021, the RAAC region was awarded \$268,090, with Winnebago County receiving the most funds and Ogle County not receiving any.<sup>xvi</sup>

Chart 1: IACA and IMLS Grant Awards Breakdown, FY 2021					
County Name	IACA Grant Awards	IMLS Grant Awards	Total Grant Awards	Percent of Total Awards	Award Amount per Capita
Winnebago County	\$215,150.00	\$40,608.00	\$255,758.00	71.42%	\$0.91
Boone County	\$2,700.00	\$0.00	\$2,700.00	0.75%	\$0.05
Ogle County	\$0.00	\$0.00	\$0.00	0.00%	\$0.00
DeKalb County	\$50,240.00	\$49,419.00	\$99,659.00	27.83%	\$0.95
<b>Total</b>	<b>\$268,090.00</b>	<b>\$90,027.00</b>	<b>\$358,117.00</b>	<b>100%</b>	





**Women's Suffrage  
Sculpture**  
Rockford, Illinois  
*Photo Credit:*  
Rockford Area Arts  
Council

**Coronado Theatre**  
Rockford, Illinois  
*Photo Credit:*  
Friends of the  
Coronado



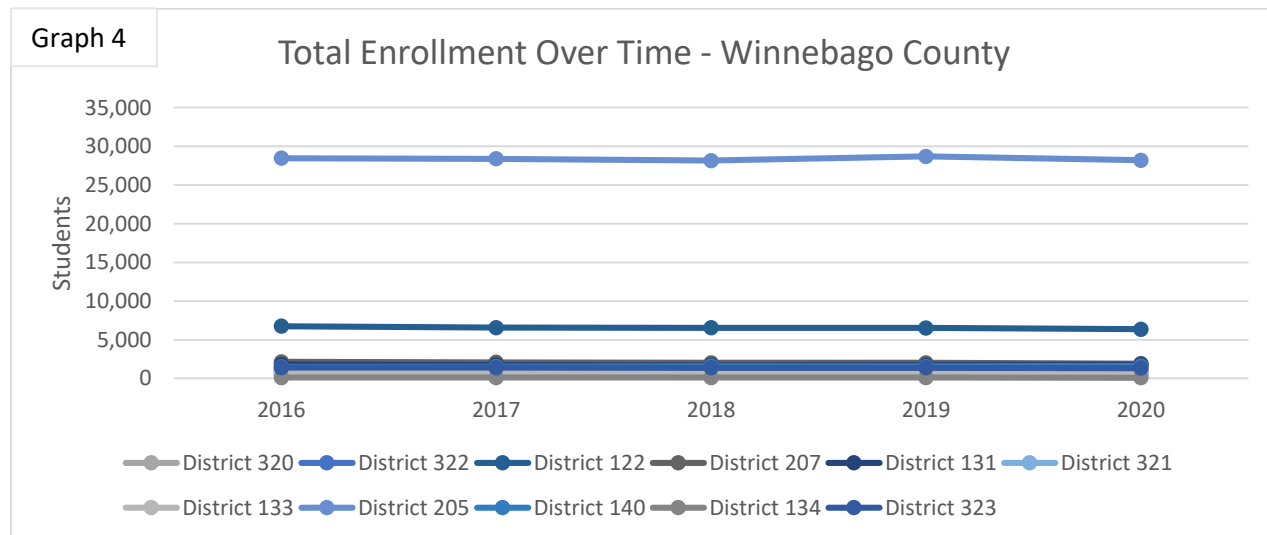
## Winnebago County

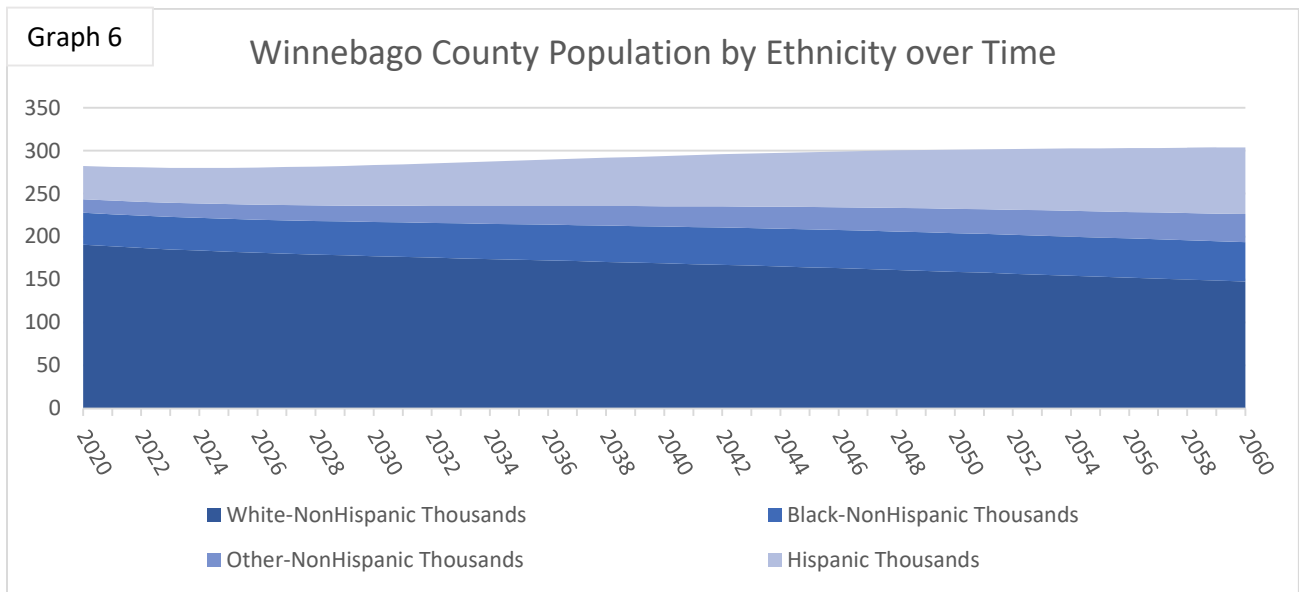
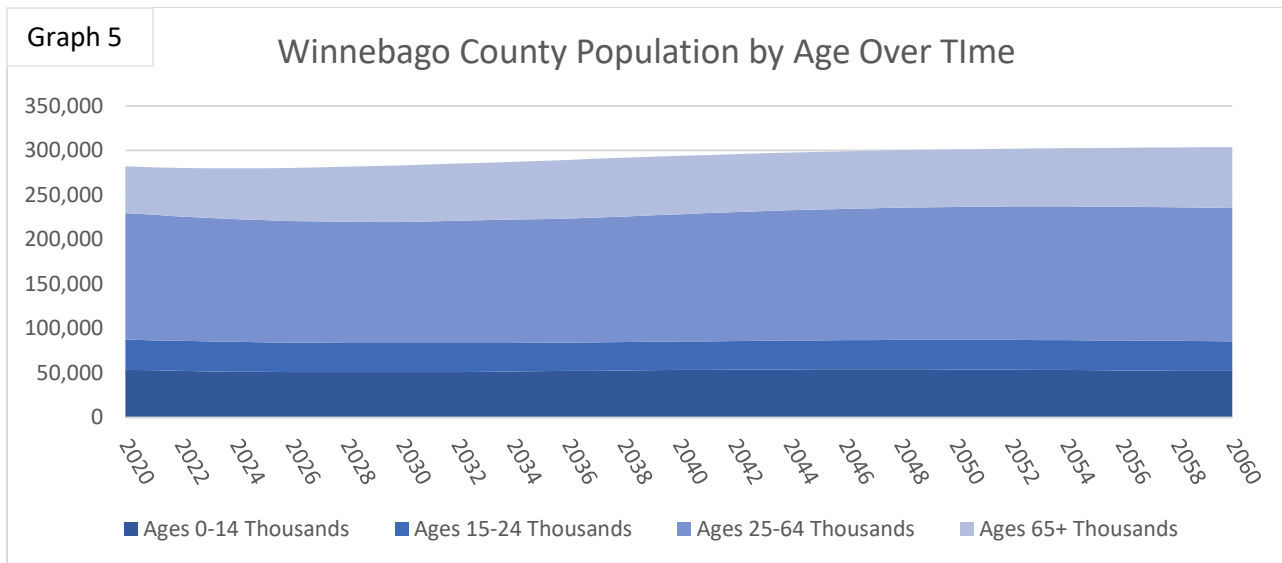
With a population of 282,572, and being the 7<sup>th</sup> highest GDP in Illinois in 2019, Winnebago County is the most populated and productive of the four counties included in RAAC's service area. It is also the location of the RAAC offices and of the majority of the Arts Industry influence in the region.<sup>xvii,xviii</sup> This includes approximately 52 Arts organizations. The Rockford Symphony Orchestra, the Rockford Dance Company, and Rock Valley College's Starlight Theatre are three key organizations that draw audiences from around the region to Winnebago County. A number of museums are also located in the City of Rockford region, including museums dedicated to art, science, and local history. Additional Arts and Culture organizations outside of Rockford include Pec Playhouse Theatre in Pecatonica in Pecatonica and Macktown Historic Site in Rockton.

Winnebago County also enrolls the most k-12 students in the RAAC region, as previously stated. These students represent 11 school districts with 81 schools. See Appendix B. The largest school district is Rockford School District 205 (District 205). In 2020, 63.36% of all Winnebago County students attended a school in District 205. See Graph 4. District 205 also represents the most diverse school district in Winnebago County. See Appendix C.

According to the 2020 SMU Arts Vibrancy Index Report, Winnebago County has an overall Arts Vibrancy Score of 80-89, meaning it scored 80-89% better than all counties (3100) in the nation. Winnebago County's strongest category is in Arts Providers, at 86, and it's weakest ranking is in Other Leisure, at 75.

Of the \$268,090 grant funds from the Illinois Arts Council awarded to the Northern Illinois region, \$215,150 were awarded to Winnebago County, approximately 80.3% of the allocated funds.<sup>xix</sup> See Chart 1. This is approximately \$0.76 per capita for the County. Winnebago County's population is forecasted to grow over time. This increases the need for additional funding to keep the per capita Arts Industry spending at the same level or higher. The population is also forecasted to become more diverse, with an increase in Hispanic populations and a decrease in the white non-Hispanic population. See Graph 5 and Graph 6. See Appendix D and Appendix E. Countywide output levels are also expected to increase over time. See Graph 15. These forecasts were pulled from the Regional Economic Modeling, Inc. (REMI) forecasting software.



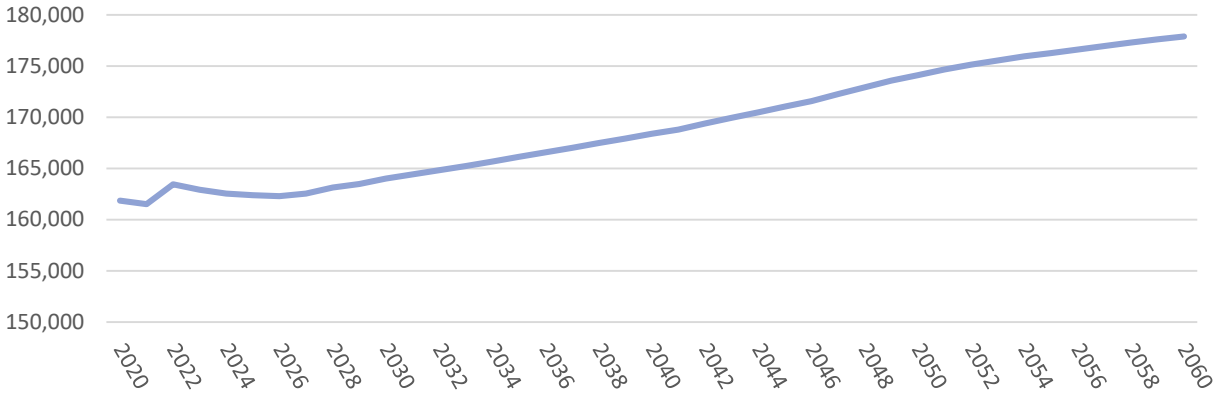


### Employment – Current Trends

The current forecast shows total employment in Winnebago County for 2021 to be 161,517, with an unemployment rate of 8.10%, as of March 31, 2021.<sup>xx</sup> The County employment forecasts show an increase in employment over time, growing at a similar rate than the population increase, implying an steady increase in employment. See Graph 7. However, in juxtaposition to the County employment forecasts, the employment forecast for the Arts Industry shows a slight decrease of employment over time. See Graph 8 and Graph 9. This decrease in Arts Industry employment will have an additional negative indirect impact on the economy and will be strong argument towards increasing funding for the Arts in Northern Illinois

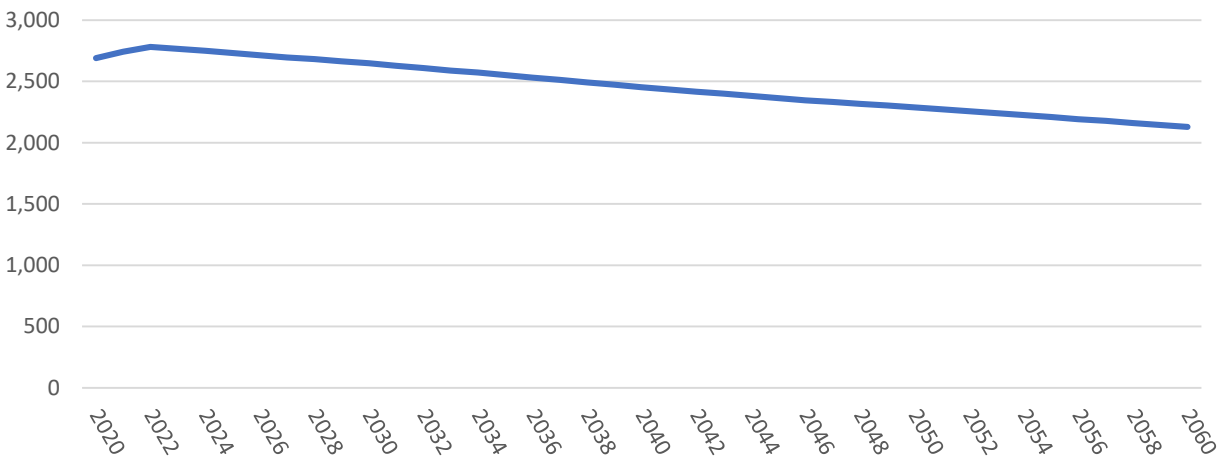
Graph 7

### Winnebago County Total Employment Over Time



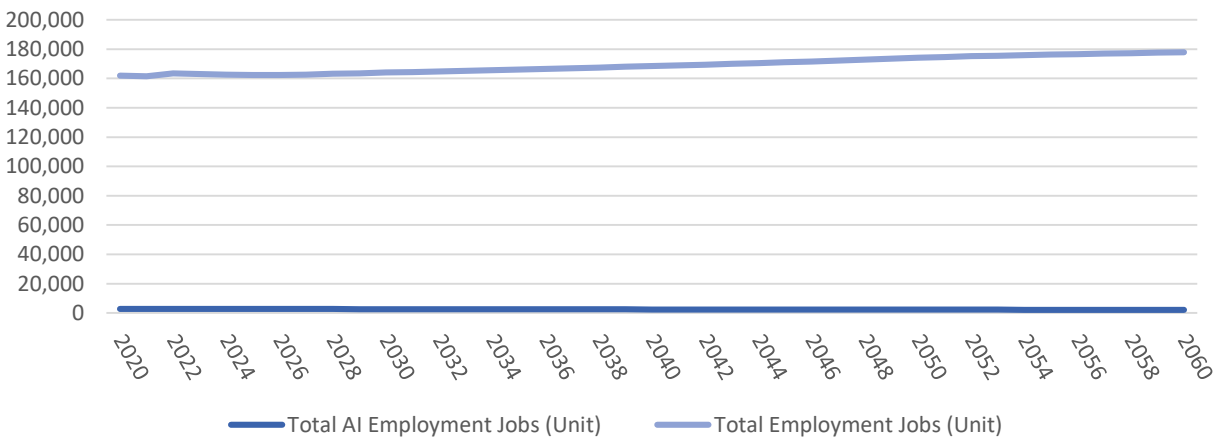
Graph 8

### Winnebago County Arts Industry Employment Over Time



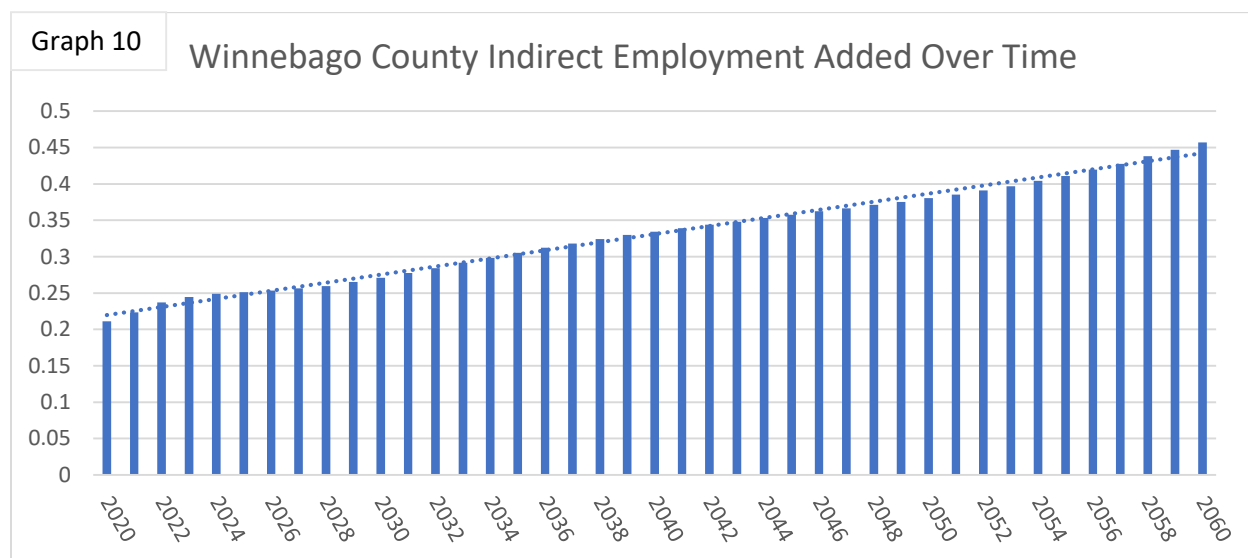
Graph 9

### Winnebago County Total Employment vs Arts Industry Employment Over Time



### Per Employee Added Impact Analysis

In order to determine the indirect impact each Arts Industry employee creates, an Industry Footprint Analysis was done with the use of the REMI forecasting software. The total employment for the regional Arts Industry, forecasted out to the year 2060, was removed from the regional economy. The negative change in total employment was then compared to the Arts Industry employment to determine the total impact of a single Arts Industry employee for Winnebago County specifically. The direct impact was then removed to show the indirect impact the Arts Industry employee created throughout the rest of the Countywide economy over time. See Graph 10. The results show an increasing trend of indirect impact. This means that over time, each Arts Industry employee will create a larger impact on the Countywide economy.



Another way to interpret the results is in terms of how many Arts Industry employees it takes to create one more job in the Countywide economy. From 2020-2024 it takes 5 additional Arts Industry employees to create 1 new job. This means that each Arts Industry employee creates roughly 0.2, or one fifth, of a job in Winnebago County. This new job would be the indirect impact. From 2025-2039 it takes 4 additional Arts Industry employees to create 1 new job in Winnebago County and from 2040-2060 it takes 3 additional Arts Industry employees to create 1 new job in Winnebago County.

This indirect employment impact is seen in 11 industries other than the Arts Industry. They include the natural resources, construction, retail and wholesale, transportation and public utilities, real estate, education service (private), amusement, gambling and recreation, accommodation, food services and drinking places, state government, and local government. See Graph 11, Graph 12, Graph 13, and Graph 14 for the forecasted share of the impact for every 10 years. The total indirect employment impact is spread over the 11 industries. For example, the indirect impact for the year 2021 is 0.21 additional jobs per Arts Industry employee. That 0.21 is then spread across the 12 industries with the Construction Industry making up 29% of that indirect impact, followed by Local Government making up 23% of that impact. See Chart 2.

<b>Chart 2: Winnebago County 10 year Industry Share Breakdown – Indirect Employment Added</b>				
<b>Industry</b>	<b>2021 Share</b>	<b>2031 Share</b>	<b>2041 Share</b>	<b>2051 Share</b>
Natural Resources	0.2%	0.2%	0.2%	0.2%
Construction	29.1%	18.4%	13.7%	12.6%
Retail and Wholesale	16.3%	17.2%	19.9%	22.4%
Transportation and Public Utilities	8.6%	6.2%	7.2%	8.5%
Real Estate	6.3%	7.5%	8.3%	8.7%
Education Services (Private)	0.9%	0.2%	0.2%	0.0%
Amusement, Gambling, and Recreation	0.5%	-0.2%	-0.2%	-0.2%
Accommodation	0.2%	0.2%	0.2%	0.2%
Food Services and Drinking Places	12.2%	18.8%	19.5%	18.5%
State Government	2.9%	3.8%	3.9%	3.6%
Local Government	22.8%	27.7%	27.2%	25.6%

For 2021, the Arts Industry employed 2,741 direct employees and created the demand for an additional 575.61 indirect jobs within the Countywide economy. Of those 575.61 indirect jobs, 167.5 were created in the Construction Industry and 131.24 were created in the Local Government Industry. See Chart 3.

Many of these industries showing increases in employment due to the indirect impact of the Arts Industry employees are expected, such as the food services and drinking places industry and the accommodation industry. Both are complementary industries to the Arts Industry in terms of attracting consumers and providing services as part of the Arts experience. Many of the other impacted industries can be explained by the increased demand for those services, such as state and local government. As more jobs are created and filled, it will increase the demand for government services associated with living in Winnebago County.

**Chart 3: Winnebago County 10 year Industry Employment Breakdown – Indirect Employment Added**

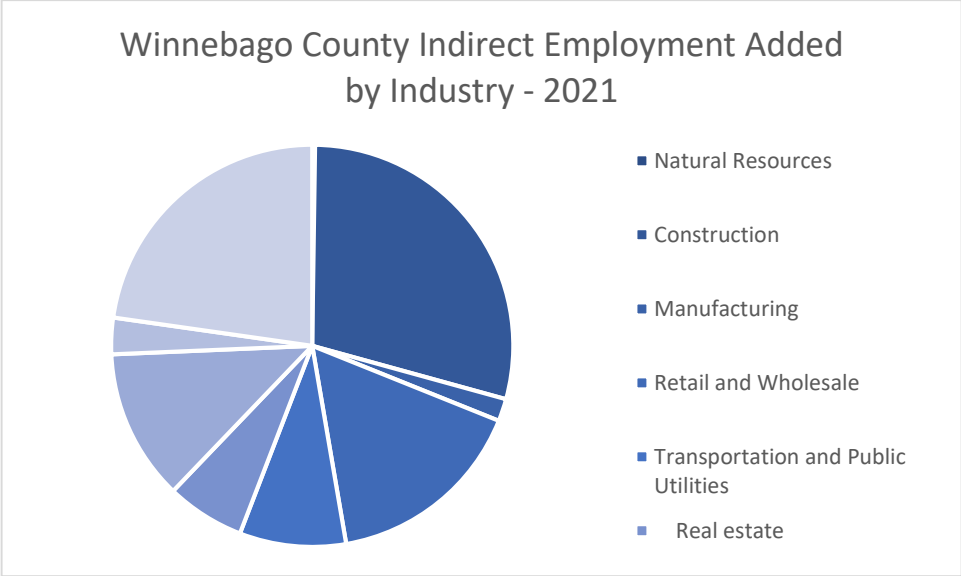
<b>Industry</b>	<b>2021 Employment</b>	<b>2031 Employment</b>	<b>2041 Employment</b>	<b>2051 Employment</b>
Natural Resources	1.15	1.46	1.65	1.75
Construction	167.50	134.14	113.03	110.25
Retail and Wholesale	93.82	125.39	164.18	196.00
Transportation and Public Utilities	49.50	45.20	59.40	74.37
Real Estate	36.26	54.67	68.48	76.12
Education Services (Private)	5.18	1.46	1.65	0.00
Amusement, Gambling, and Recreation	2.88	-1.46	-1.65	-1.75
Accommodation	1.15	1.46	1.65	1.75
Food Services and Drinking Places	70.22	137.05	160.88	161.87
State Government	16.69	27.70	32.18	31.50
Local Government	131.24	201.93	224.40	224.00

**Summary Example:**

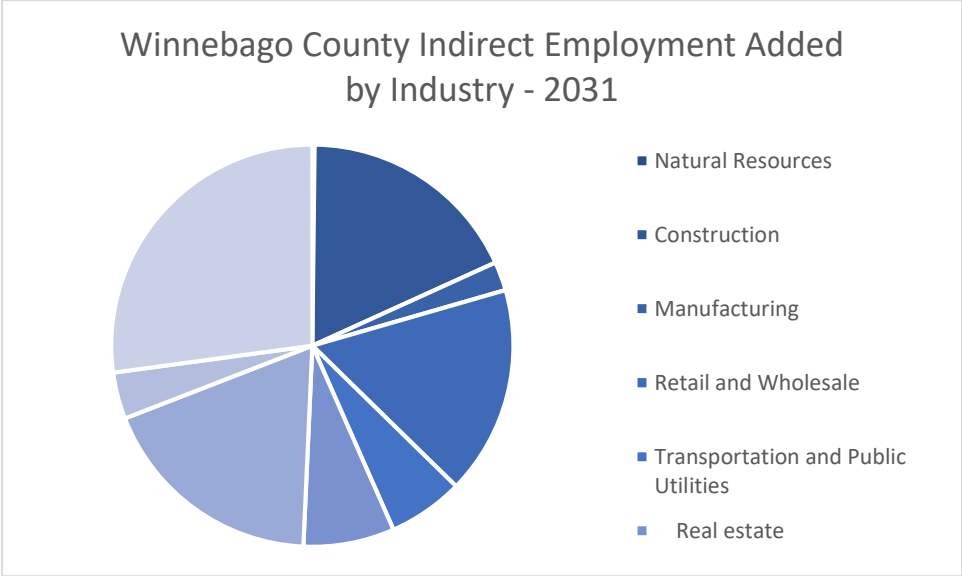
In 2021, every 1 employee employed by the Arts Industry creates 0.21 additional jobs in the rest of the economy. This 0.21 employment is spread across 11 other industries listed in Chart 2. 29.1% of the 0.21 added employment is seen in the Construction Industry, and so on. These numbers can be expanded to the entire economy, where, in 2021, the Arts Industry employed 2,741 employees in Winnebago County. This created an additional 575.61 jobs in the economy. Those 575.61 jobs are shared among the 11 other industries listed in Chart 2. Using the percentage of share for each industry, it can be determined that the Arts Industry added 167.50 employees to the Construction Industry, and so on, as seen in Chart 3.



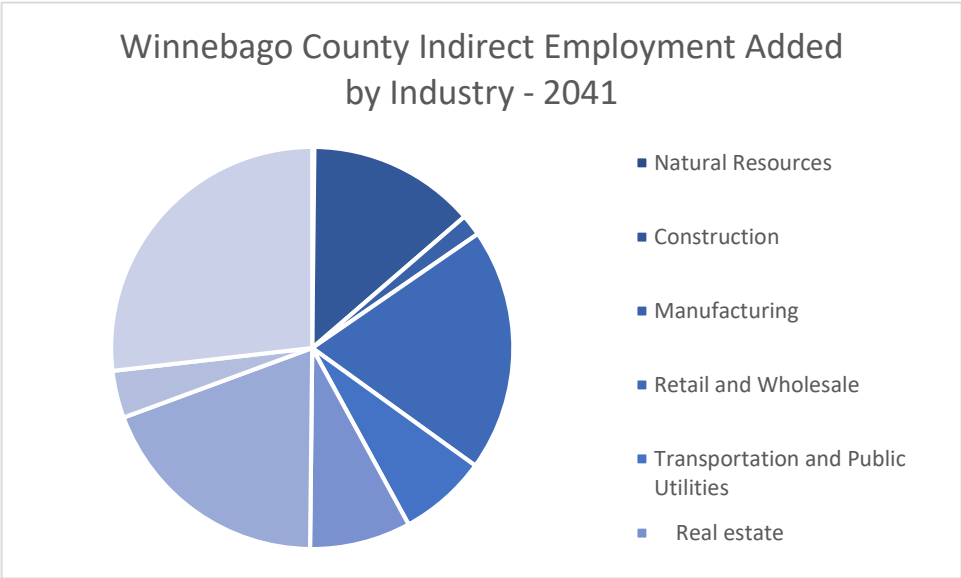
Graph 11



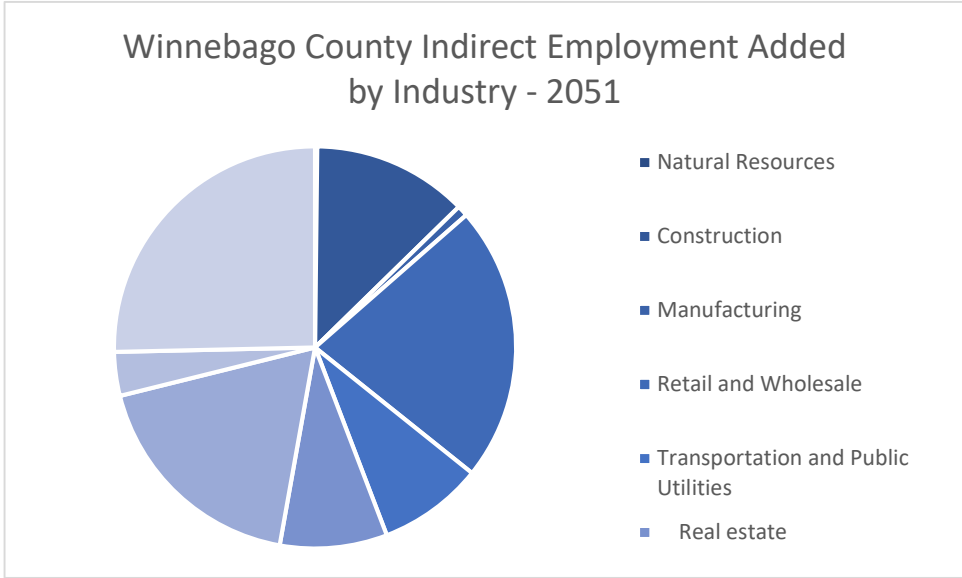
Graph 12



Graph 13



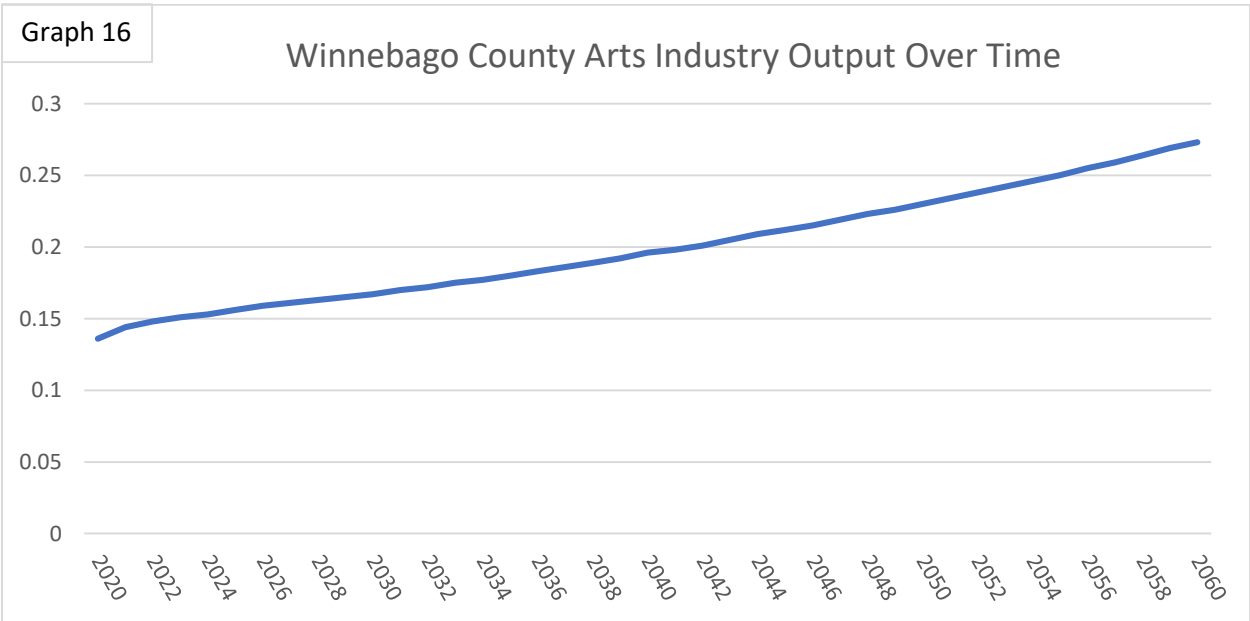
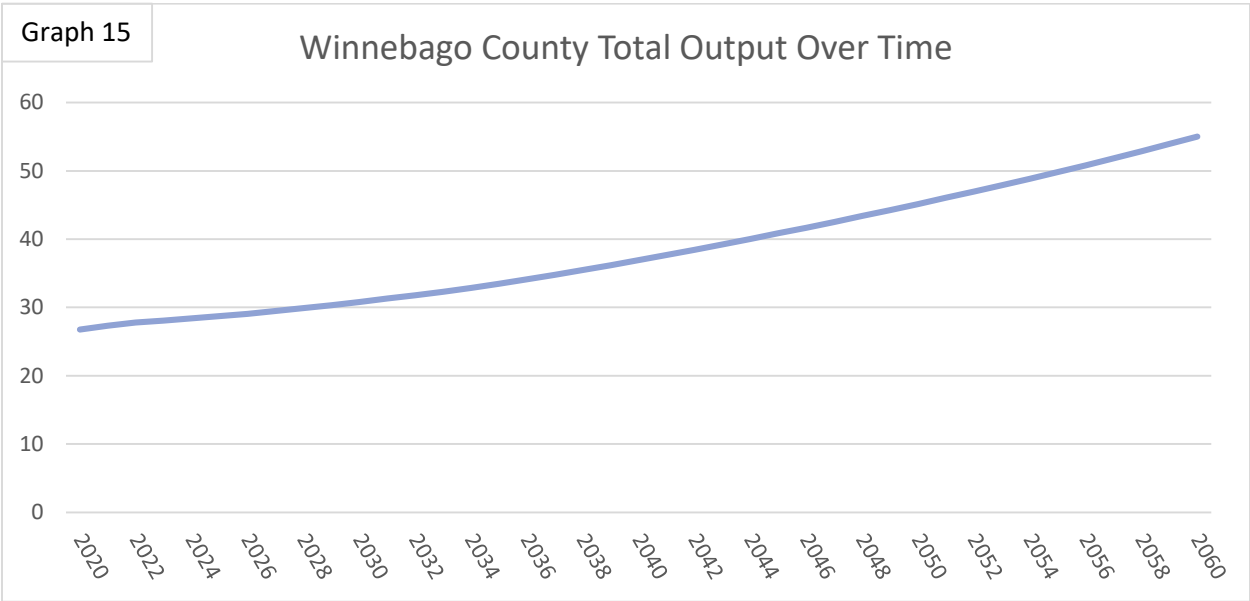
Graph 14





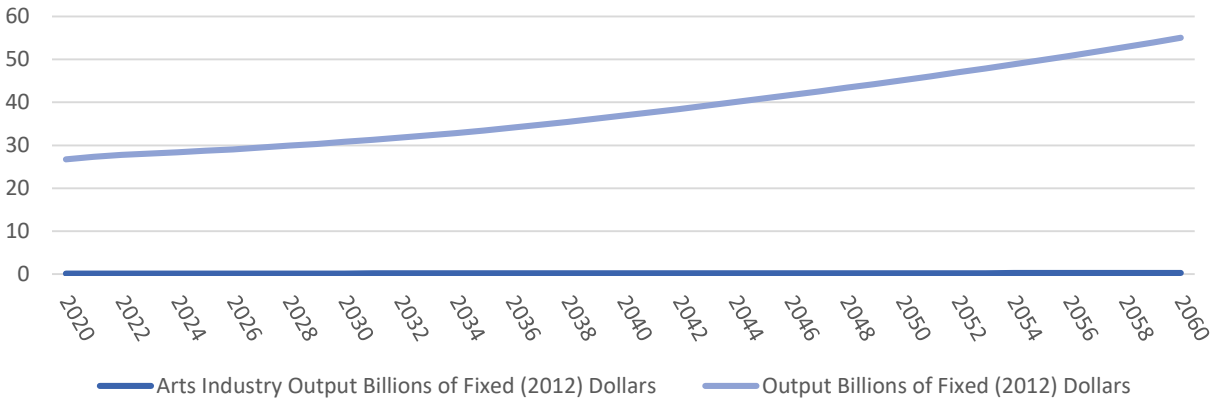
Output – Current Trends

Current macroeconomic forecasts show an increase in output over time for Winnebago County, with the level of output increasing roughly between 1-2.6% each year. See Graph 15. The Arts Industry output very closely follows that Countywide trend, with an increase of output between 1-2% per year. See Graph 16 and Graph 17. These trends indicate the lasting nature of the Arts and the steady demand for Arts goods and services in Winnebago County.



Graph 17

### Winnebago County Total Output vs Arts Industry Output Over Time

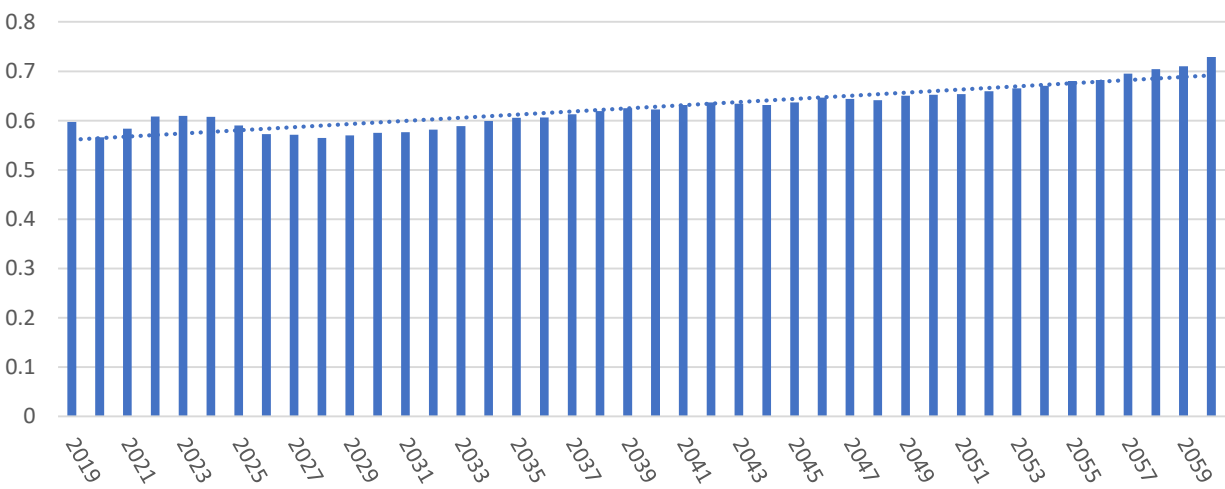


#### Per additional dollar of output

To determine the indirect impact of each dollar of output, also read as each dollar invested, the same type of Industry Footprint Analysis was performed on the regional Arts Industry output with the REMI forecasting software. The regional Arts Industry output was forecast out to 2060 and then removed from the regional economy. This impact was analyzed to determine the per dollar direct and indirect impact for Winnebago County specifically. The results show an increase in indirect economic impact per dollar of output. See Graph 18. This means that each dollar invested in the Arts Industry in Winnebago County continually creates more indirect economic impact per dollar over time. From 2020 to 2045, it is forecasted that each additional dollar of output will create an additional \$0.60 of economic output in the Countywide economy. From 2046-2060 this additional economic output increases to roughly \$0.70 per dollar of Arts Industry output.

Graph 18

### Winnebago County Indirect Output Added Over Time



This \$0.60 to \$0.70 of indirect economic impact is shared by eight industries. These impacted industries are: construction, manufacturing, retail and wholesale, transportation and public utilities, real estate, accommodation, food services and drinking places, state and local government. See Chart 4 for the forecasted share of the impact for every 10 years. See Graph 19, Graph 20, Graph 21, and Graph 22 for the visual breakdown.

Key industries are the construction industry, real estate industry, state and local government industry, and the retail and wholesale industry. The construction industry shows a strong relationship to the added output, with a 28.4% share in 2021. This means that 28.4% of the \$0.60, \$0.17, of indirect output is created by the construction industry per dollar of output from the Arts Industry. The retail and wholesale industry and real estate industry show increases in the percent share of the indirect output over time, whereas the state and local government shows both an increase in the first 10 years and then a decrease over time.

<b>Chart 4: Winnebago County 10 year Industry Share Breakdown – Indirect Output Added</b>				
<b>Industry</b>	<b>2021 Share</b>	<b>2031 Share</b>	<b>2041 Share</b>	<b>2051 Share</b>
Construction	28.4%	20.3%	13.8%	12.0%
Manufacturing	4.5%	-7.6%	-5.3%	-3.7%
Retail and Wholesale	11.9%	15.2%	19.1%	23.1%
Transportation and Public Utilities	4.5%	3.8%	4.3%	4.6%
Real Estate	25.4%	32.9%	34.0%	33.3%
Accommodation	0.0%	0.0%	0.0%	0.9%
Food Services and Drinking Places	4.5%	7.6%	7.4%	6.5%
State and Local Government	20.9%	27.8%	26.6%	23.1%

In 2021, the Arts Industry created \$144 million in output for Winnebago County. This created \$83.99 million in indirect output in the economy, mainly in the key industries states above. The Construction Industry accounted for \$23.86 million, the Real Estate Industry accounted for \$21.34 million, and the State and Local Government Industry accounted for \$17.56 million of that \$83.99 million of indirect economic output. See Chart 5.

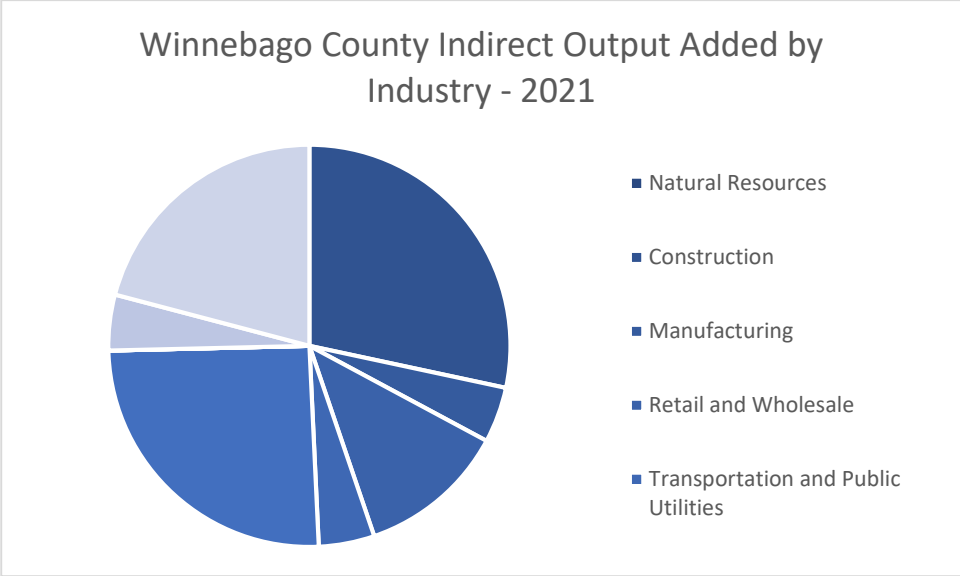
**Chart 5: Winnebago County 10 year Industry Output Breakdown – Indirect Output Added**

Industry	2021 Output	2031 Output	2041 Output	2051 Output
Construction	\$23,855,986.37	\$19,894,014.21	\$17,249,996.41	\$18,359,995.68
Manufacturing	\$3,779,997.84	\$(7,448,005.32)	\$(6,624,998.62)	\$(5,660,998.67)
Retail and Wholesale	\$9,995,994.29	\$14,896,010.64	\$23,874,995.03	\$35,342,991.68
Transportation and Public Utilities	\$3,779,997.84	\$3,724,002.66	\$5,374,998.88	\$7,037,998.34
Real Estate	\$21,335,987.81	\$32,242,023.03	\$42,499,991.16	\$50,948,988.01
Accommodation	\$ -	\$ -	\$ -	\$1,376,999.68
Food Services and Drinking Places	\$3,779,997.84	\$7,448,005.32	\$9,249,998.08	\$9,944,997.66
State and Local Government	\$7,555,989.97	\$7,244,019.46	\$3,249,993.08	\$35,342,991.68

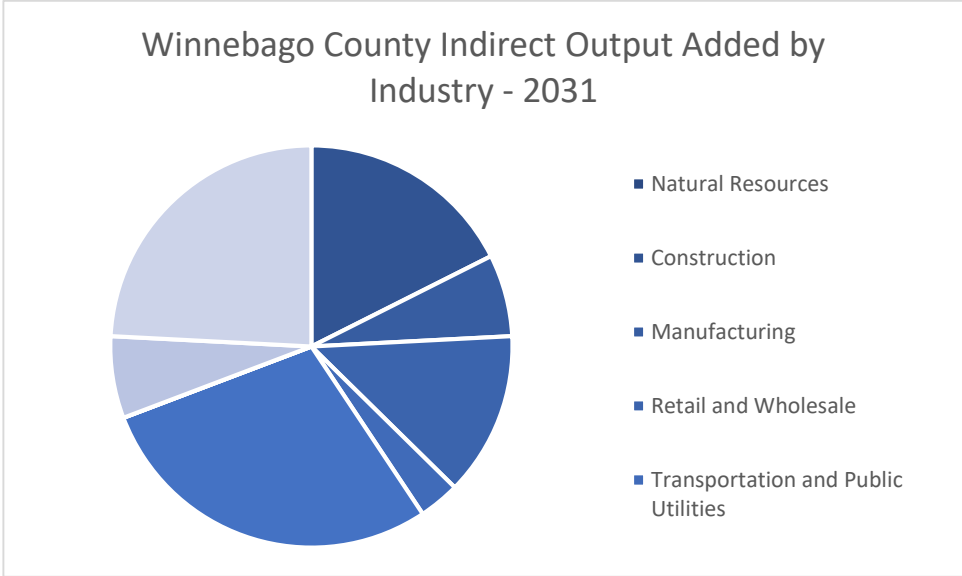
**Summary Example:**

In 2021, every \$1.00 of output created by the Arts Industry, an additional \$0.58 of output are created in the rest of the economy. This \$0.58 is spread across 8 other industries listed in Chart 4. 28.4% of the \$0.58 added output is seen in the Construction Industry, and so on. These numbers can be expanded to the entire economy, where, in 2021, the Arts Industry created \$144 million of output in Winnebago County. This created an additional \$83.99 million of output in the economy. That \$83.99 million are shared among the 8 other industries listed in Chart 2. Using the percentage of share for each industry, it can be determined that the Arts Industry created \$23,855,986.37 of output in the Construction Industry, and so on, as seen in Chart 5.

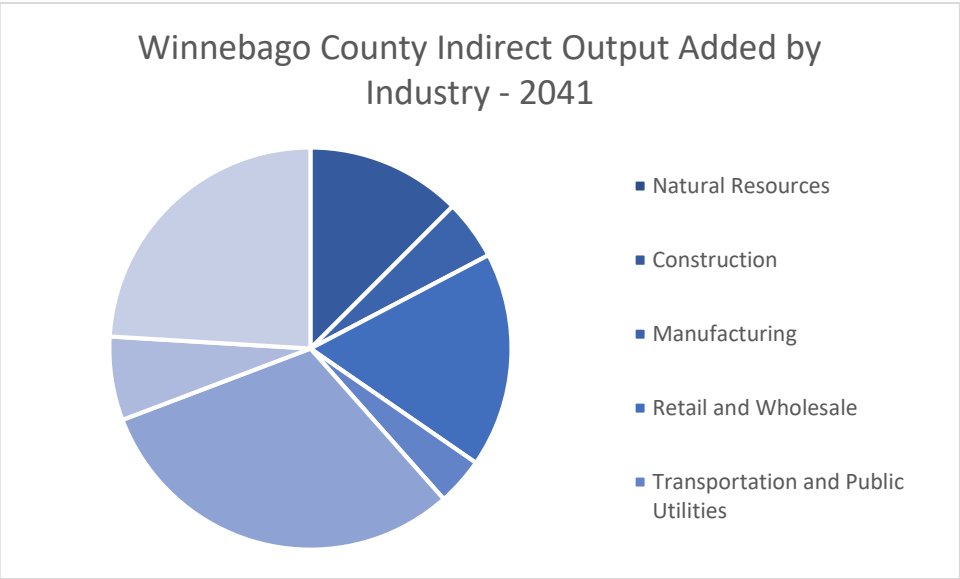
Graph 19



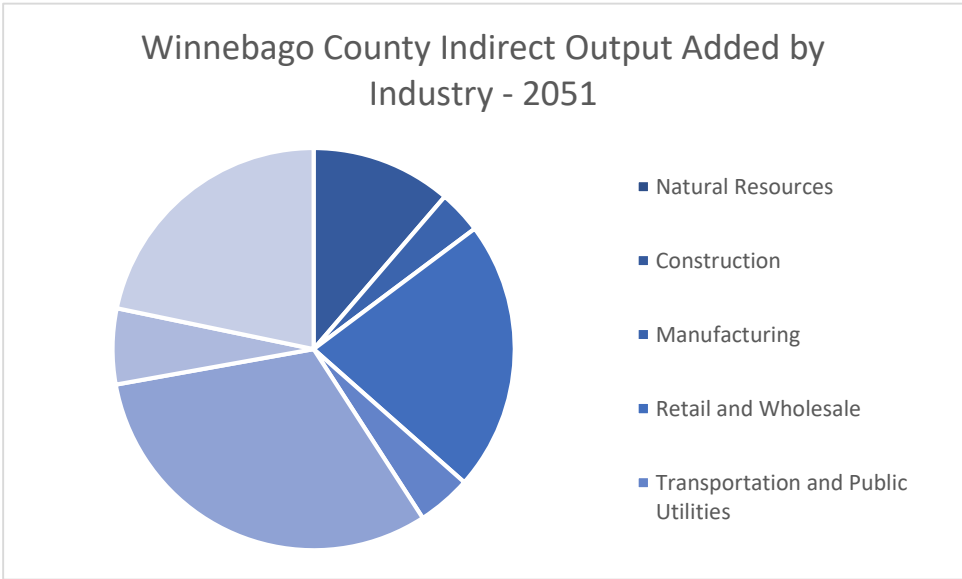
Graph 20



Graph 21



Graph 22





**Candy Store Mural**

Belvidere, Illinois

*Photo Credit:*

Visit Belvidere



**Belvidere's Own  
Mural**

Belvidere, Illinois

*Photo Credit:*

Visit Belvidere

## Boone County

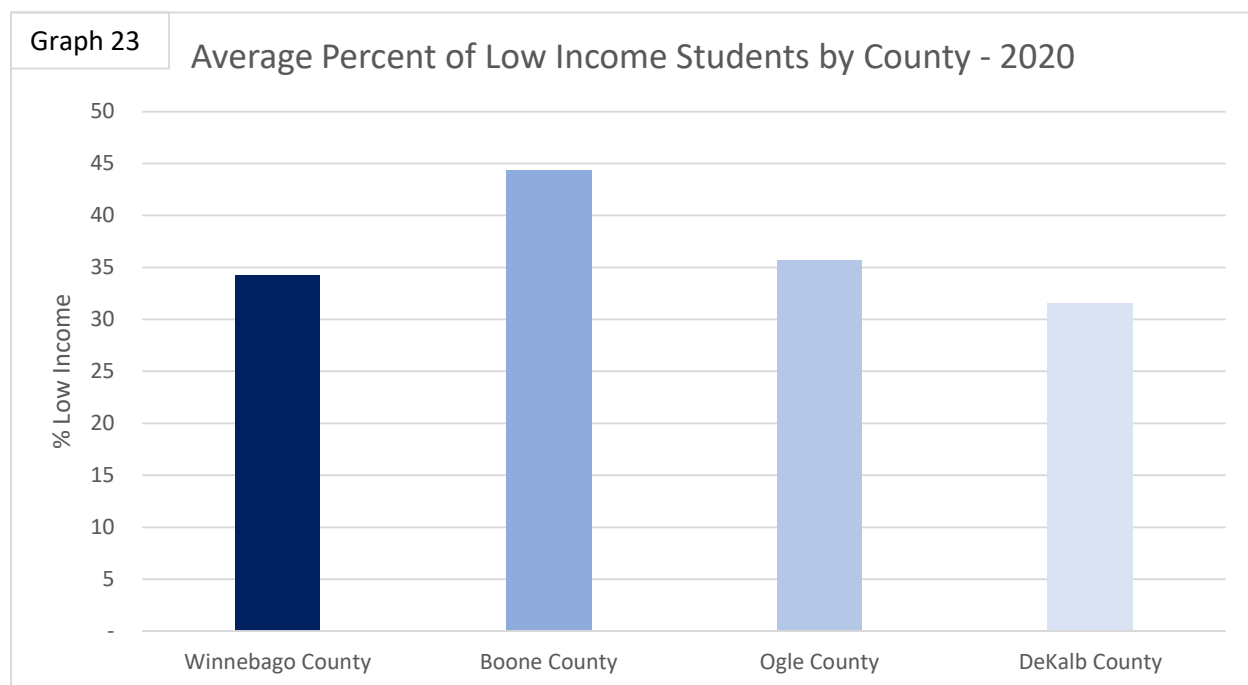
Boone County is another key county serviced by the RAAC. It has a population of 53,544 and a GDP of \$1,685,685.00, ranking 35<sup>th</sup> in the state as of July 2019.<sup>xxi,xxii</sup> Boone County has the least amount of Arts organizations in the RAAC area, with only approximately five organizations. These Arts and culture organizations in the county include the Boone County Museum of History operated by the Boone County Historical Society, and the Boone County Arts Council, housed in the historic Baltic Mill, a Belvidere Park District Facility.

Boone County only has two school districts, with a total of 9,268 students enrolled at the district's 16 schools. Boone County also has the largest percent of low income students in relation to the number of students enrolled in the school districts.<sup>xxiii</sup> See Graph 23. See Appendix F.

According to the 2020 SMU Arts Vibrancy Index Report, Boone County has an overall Vibrancy score of 60-69. Boone County's strongest category within the Report is the Arts Providers, with an index rating of 83 for Arts and Culture Employees. It's lowest rating was in Other Leisure, with a rating of 49.

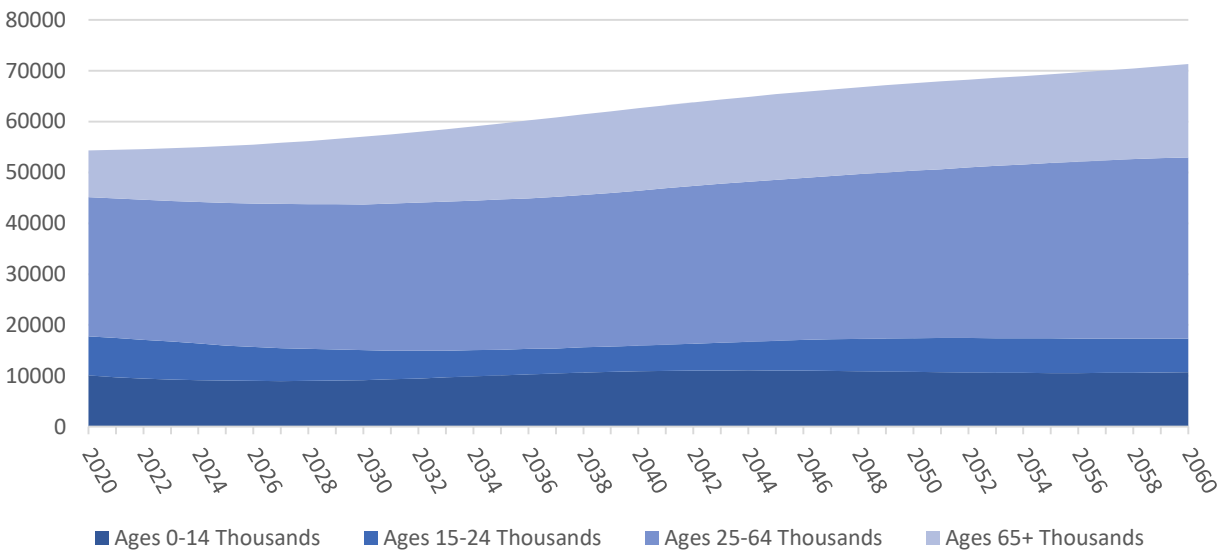
This county was awarded 1% of the \$268,090 in grant awards by the Illinois Arts Council Agency for the FY2021, totaling \$2,700.<sup>xxiv</sup> This is approximately \$0.01 per capita for the county. Boone County's population is forecasted to grow to over 60,000 by the year 2060. See Graph 24. This increase is forecasted to be from the increase in the population 65 years and older while maintaining the population of all other ages.

The population also maintains a similar ethnic profile with the majority of the population being white non-Hispanic with an increase in the Hispanic population. See Graph 25. Countywide output levels are also expected to increase over time. See Graph 35. These forecasts were pulled from the Regional Economic Modeling, Inc. (REM) forecasting software.



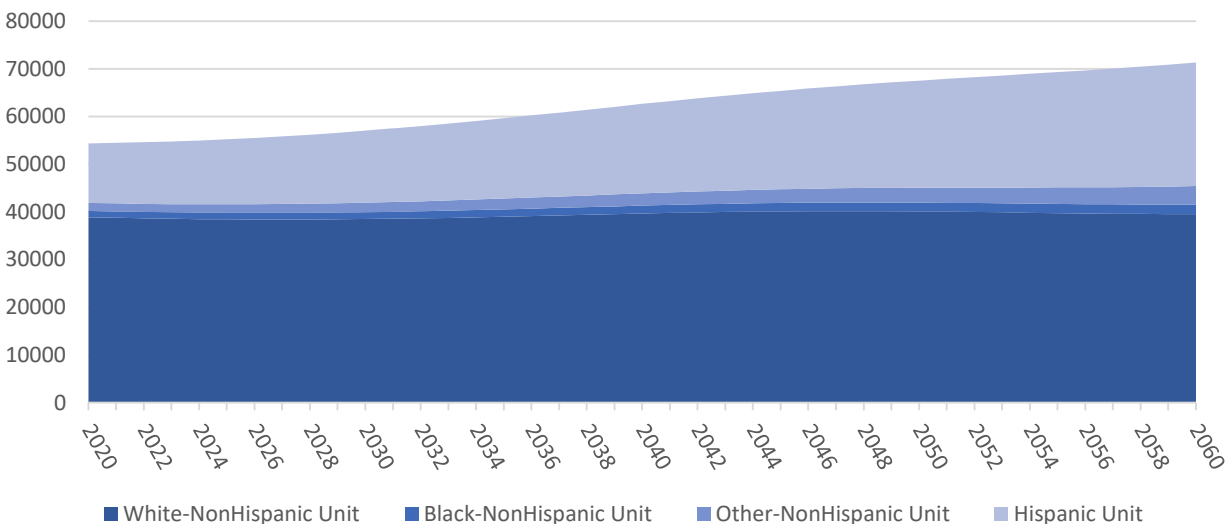
Graph 24

## Boone County Population by Age Over Time



Graph 25

## Boone County Population by Ethnicity Over Time



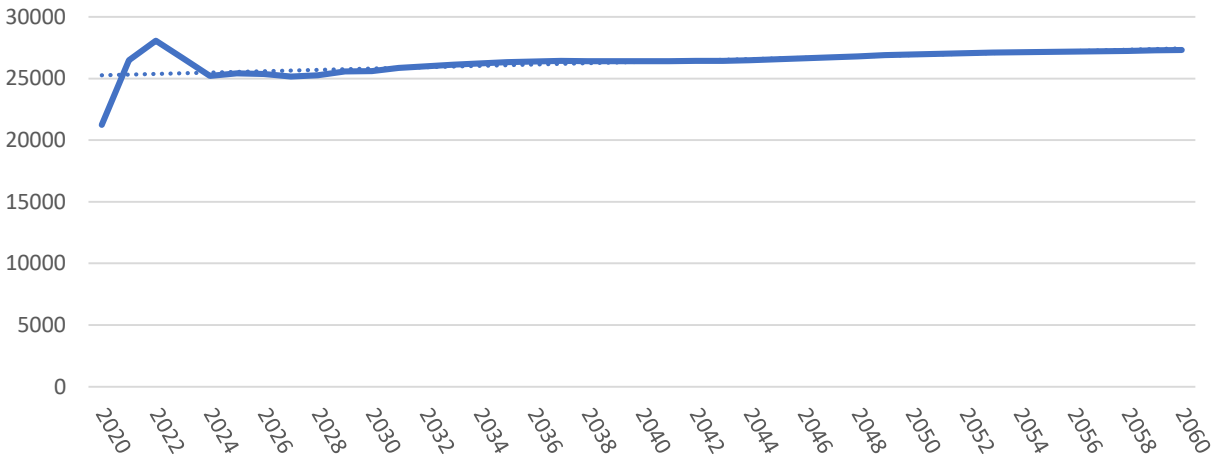
## Employment – Current Trends

The current forecast shows total employment in Boone county to be 24,045 with an unemployment rate of 7.80% as of March 31, 2021.<sup>xxv</sup> Employment is expected to increase slightly over time to reach numbers of around 30,000 by 2060. See Graph 26. Of the 24,045 currently employed in Boone County, only 17 are employed in the Arts Industry. This number is expected to slightly decrease over time to just below 20 employees in the Arts Industry. See Graph 27 and Graph 28. This is a large decrease in Arts Industry employment compared to Winnebago County, however it is still an important contribution to the regional Arts Industry.



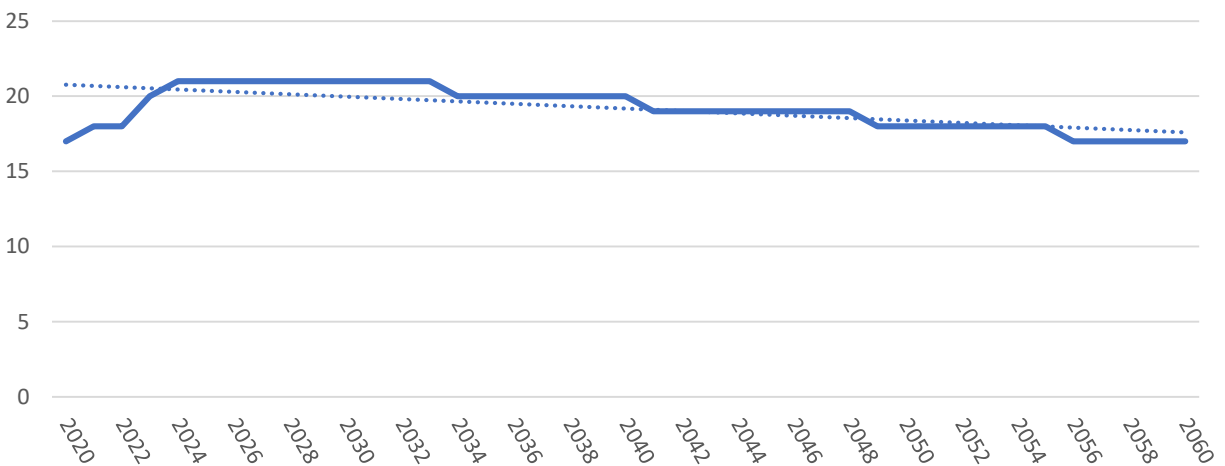
Graph 26

### Boone County Total Employment Over Time



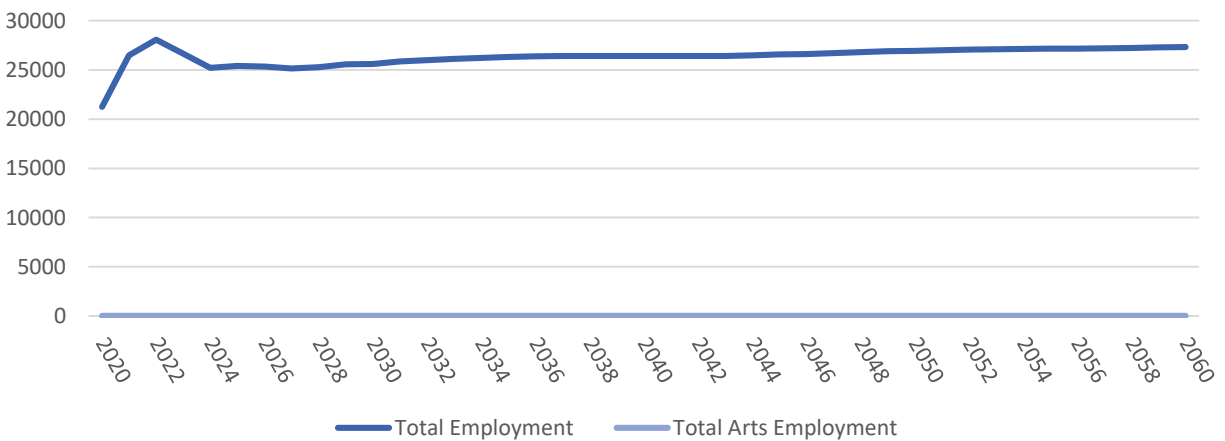
Graph 27

### Boone County Total Arts Industry Employment Over Time



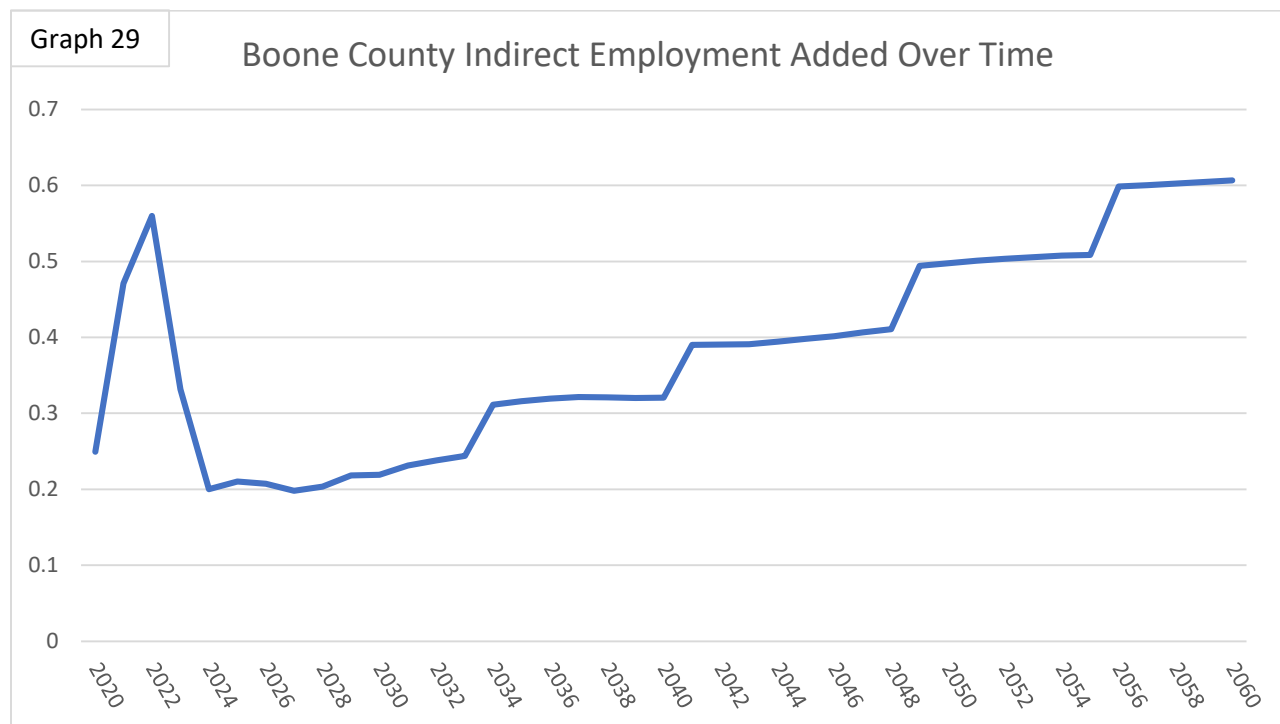
Graph 28

### Boone County Total Employment vs Arts Industry Employment Over Time



### Per Employee Added Impact Analysis

In order to determine the indirect impact each Arts Industry employee creates in Boone County, the same Industry Footprint Analysis was performed using the REMI forecasting software. The Boone County specific results show a generally increasing trend. The 2020-2023 numbers show a comparatively large increase in the indirect employment impact, which can be attributed to the Arts Industry receiving COVID-19 relief funds. From 2024-2034, the economy is forecasted to return to similar pre-COVID-19 trends and show that it will take 5 additional Arts Industry employees to create 1 new job in Boone County. From 2035-2041 it will take 4 additional Arts Industry employees to create 1 new job in Boone County, and from 2042-2050 it will take 3 additional Arts Industry employees to create 1 new job in Boone County, and from 2051-2060 it will only take 2 additional Arts Industry employees to create 1 new job in Boone County. This follows a similar upward trend seen in the Winnebago County employee impact analysis, where over time, each Arts Industry employee will have a greater impact on the Countywide economy. See Graph 29.



This indirect employment impact is spread across 7 industries within the Boone County economy. These industries are construction, manufacturing, retail and wholesale, real estate, accommodation and food services, state and local government, and other services. See Chart 6 for the forecasted shares for every 10 years.

As seen in the results, the shares are fairly equally split between manufacturing, retail and wholesale trade, state and local government, and other services. The Construction industry holds a larger share of the indirect impact in the near future, but this shrinks over time. The shares for the manufacturing industry and the state and local government industry grow over time. See Graph 30, Graph 31, Graph 32 and Graph 33 for the Visualization of the breakdown of the shares.

**Chart 6: Boone County 10 year Industry Share Breakdown – Indirect Employment Added**

Industry	2021 Share	2031 Share	2041 Share	2051 Share
Construction	11.73%	4.85%	1.68%	2.03%
Manufacturing	2.07%	0.50%	1.59%	3.59%
Retail and Wholesale Trade	3.45%	3.63%	2.40%	-0.09%
Real estate and rental and leasing	1.33%	1.22%	0.67%	-0.30%
Accommodation and food services	1.37%	2.52%	1.61%	-0.57%
State and Local Government	1.76%	2.05%	1.11%	-0.76%
Other Services	2.94%	4.74%	4.13%	1.73%

Based on the forecast employment numbers for the Arts Industry in Boone County, the indirect employment is found. The key industries, Retail and Wholesale Trade and Other Services, see an increase in their employment by almost in 2031 due to the Arts Industry employment, however the Construction industry and the Manufacturing industry see a decrease in indirect employment added. The Other Services industry sees the highest increase in indirect employment due to the Arts Industry in the year 2031. The Accommodation and Food Services industry also sees a large right in indirect employment in the year 2031. See Chart 7.

Again, the impacted industries are those that are complementary to the Arts Industry. As the demand for the Arts Industry increases, so do the demand for the other complementary industries, such as the Accommodation and Food Services industry and Retail and Wholesale industry, where patrons can consume the Art, while also consuming hotels and other accommodations, food and drinks, or other retail goods such as clothing or merchandise.

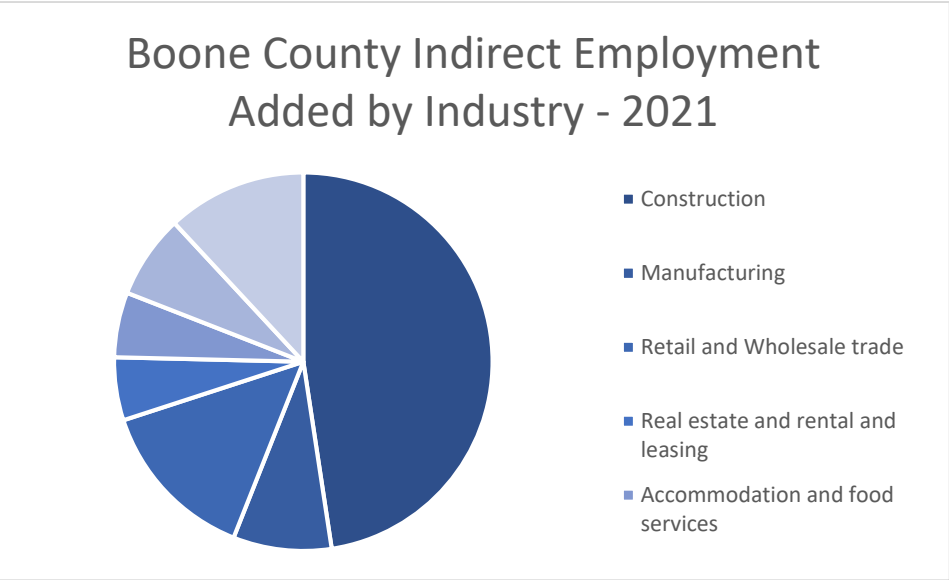
**Chart 7: Boone County 10 year Industry Employment Breakdown – Indirect Employment Added**

Industry	2021 Employment	2031 Employment	2041 Employment	2051 Employment
Construction	0.99	0.24	0.12	0.18
Manufacturing	0.18	0.02	0.12	0.32
Retail Trade and Wholesale Trade	0.29	0.18	0.18	-0.01
Real Estate and Rental and Leasing	0.11	0.06	0.05	-0.03
Accommodation and Food Services	0.12	0.12	0.12	-0.05
State and Local Government	0.15	0.10	0.08	-0.07
Other Services	0.25	0.23	0.31	0.16

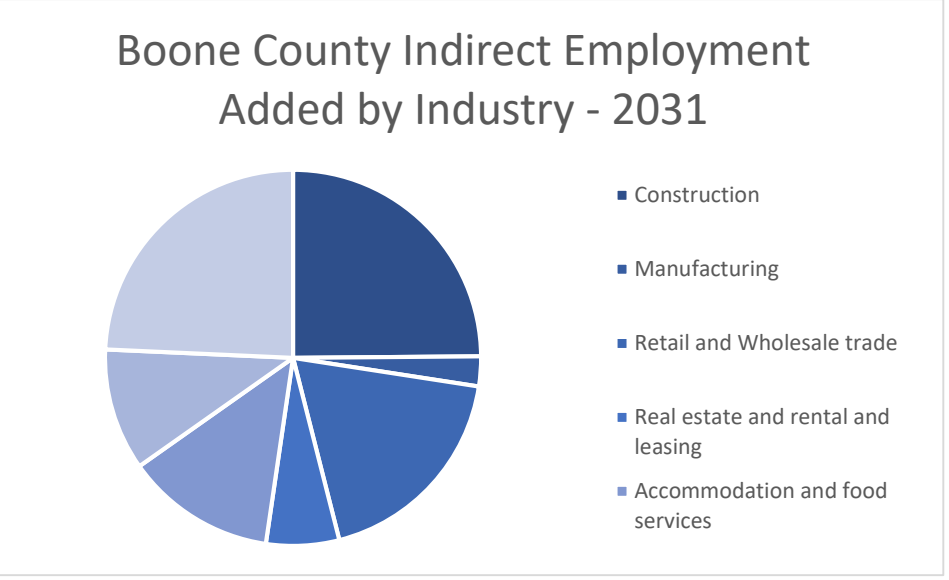
Summary Example:

In 2021, every 1 employee employed by the Arts Industry creates 0.47 additional jobs in the rest of the economy. This 0.47 employment is spread across 7 other industries listed in Chart 6. 11.73% of the 0.47 added employment is seen in the Construction Industry, and so on. These numbers can be expanded to the entire economy, where, in 2021, the Arts Industry employed 18 employees in Boone County. This created an additional 8.48 jobs in the economy. Those 8.48 jobs are shared among the 7 other industries listed in Chart 6. Using the percentage of share for each industry, it can be determined that the Arts Industry added 0.99 employees to the Construction Industry, and so on, as seen in Chart 7.

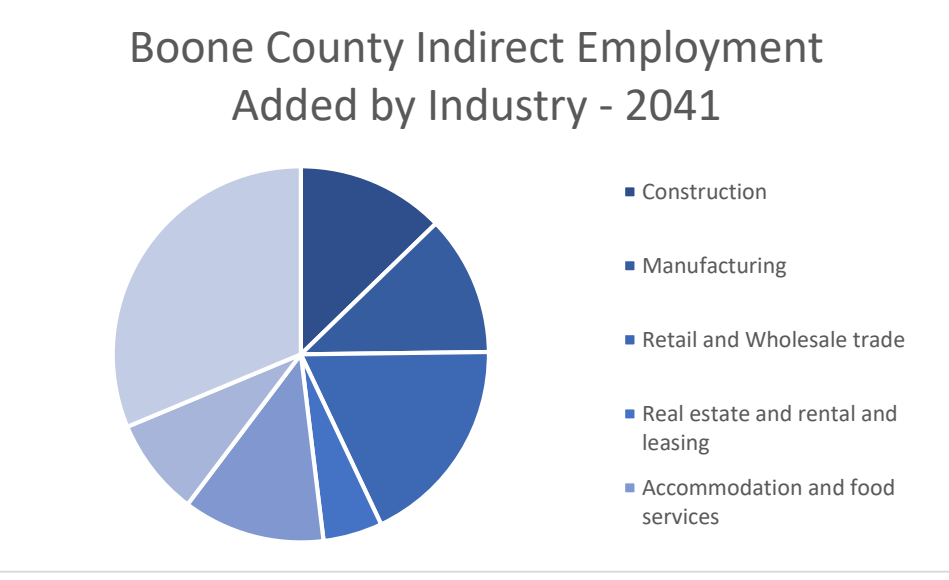
Graph 30



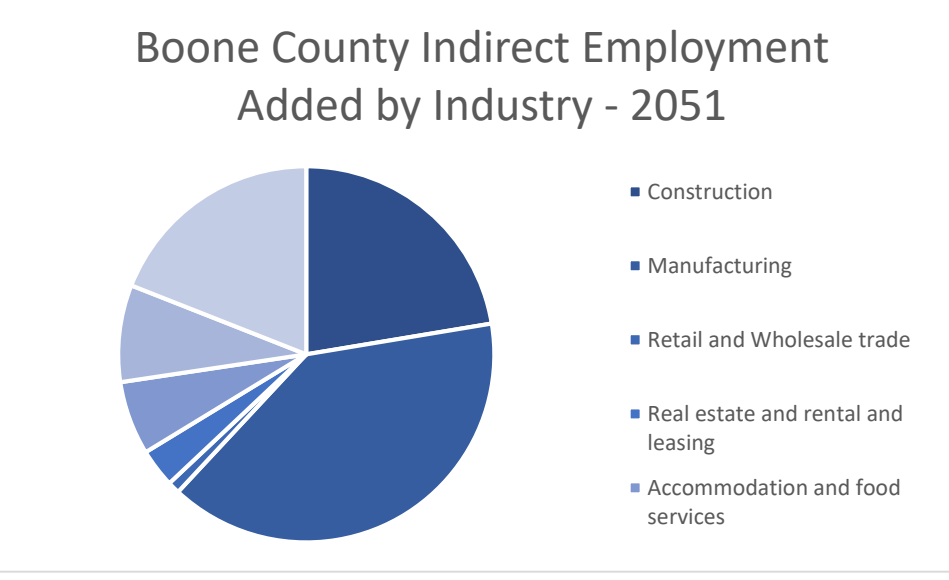
Graph 31



Graph 32

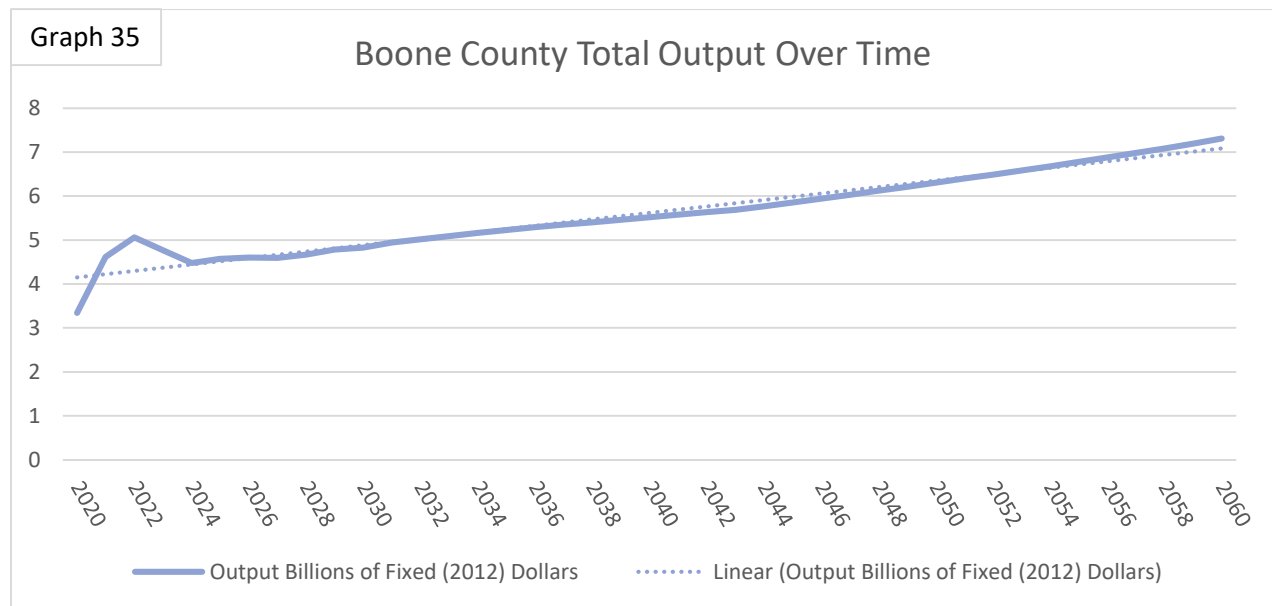
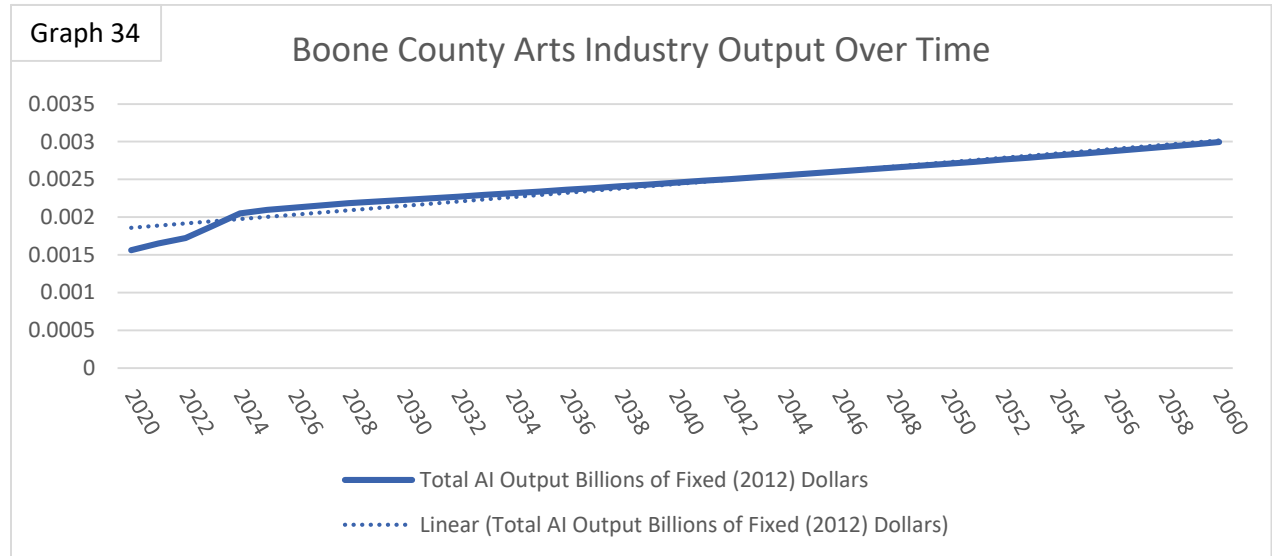


Graph 33



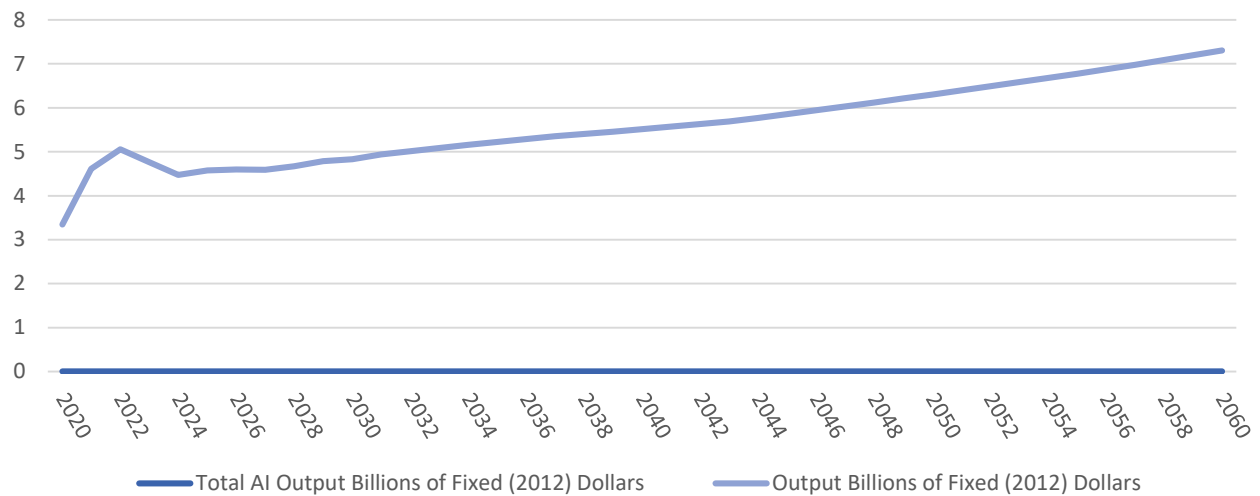
### Output – Current Trends

The current macroeconomic forecasts show a steady but slow increase in the total output for the Arts Industry in Boone County over time. See Graph 34. It should be noted that this trend closely followed the total output for the County. See Graph 35 and 36. Both trends increase roughly 1-2% per year after 2026.



Graph 36

## Boone County Total Output vs Arts Industry Output Over Time

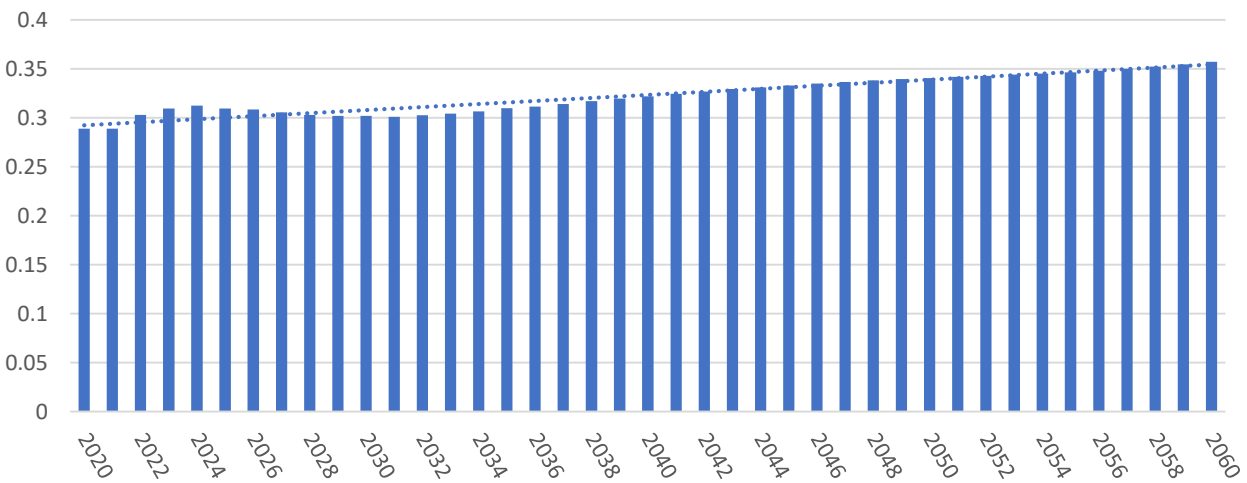


## Per additional dollar of output

To determine the indirect impact of each dollar of output, also read as each dollar invested, the same type of industry Footprint Analysis that was performed for Winnebago County was performed for Boone County using the REMI forecasting software. The regional Arts Industry output was forecast out to 2060 and then removed from the regional economy. This impact was analyzed to determine the per dollar direct and indirect impact for Boone County. The results show an increase in indirect economic impact per dollar of output. See Graph 37. This means that each dollar invested in the Arts Industry in Boone County continually creates more indirect economic impact per dollar over time. From 2020 to 2024 there is an increase in indirect impact of each dollar of output from the Arts Industry. This is in response to the COVID-19 relief money specifically meant to assist Arts Industry organizations. There is then a decrease in added impact in 2025 through 2030. During this time, each dollar invested in the Arts Industry creates roughly \$0.30 for every dollar invested. This is the expected settling of the economy after the injection of the COVID-19 relief funds. Then in 2031 through 2060 it is expected that the indirect impact will increase slightly, past pre-COVID 19 values at roughly \$0.34, climbing to \$0.36 in 2060.

Graph 37

## Boone County Indirect Output Added Over Time



The roughly \$0.34 of indirect economic impact is shared throughout the rest of the industries within the Boone County economy, however there are five key industries that are the most impacted. These impacted industries are: construction, real estate, professional, scientific, and technical services, state and local government, and retail trade. See Chart 8. for the forecasted share of the impact for every 10 years. See Graph 38, Graph 39, Graph 40, and Graph 41 for the visual breakdown.

Of these key industries, the Construction Industry is most impacted by every dollar invested in the Arts Industry. The Construction industry receives 20.41% of the \$0.34 in additional economic impact for every dollar invested in the Arts Industry. This is roughly \$0.07. The real estate, professional, scientific, and technical services, state and local government, and retail trade all show increases over time in terms of the impact the Arts Industry has on their output.

**Chart 8: Boone County 10 year Industry Share Breakdown – Indirect Output Added**

Industry	2021 Share	2031 Share	2041 Share	2051 Share
Construction	20.41%	20.99%	16.89%	14.70%
Real estate	6.06%	8.44%	10.07%	10.32%
Professional, scientific, and technical services	1.06%	2.02%	2.68%	3.08%
State and Local Government	4.99%	8.52%	9.16%	8.73%
Retail trade	0.87%	0.99%	2.43%	3.74%



In 2021, the Arts Industry created a total of \$1.6 million in output for Boone County. This created \$453,000 in indirect output in the economy, mainly in the five key industries stated above. The Construction Industry accounted for \$319 thousand, the Real Estate Industry accounted for \$95 thousand, and the State and Local Government Industry accounted for \$78 thousand of that \$453 thousand of indirect impact. See Chart 9.

These key industries, similar to the key industries impacted in Winnebago County, are indicative of the increase in the quality of life and attraction of the Arts in Boone County by showing the demand for these goods and services. As more people emigrate to Boone County, the demand for construction of houses and infrastructure increases, as does the demand for retail goods, such as clothing and food items.

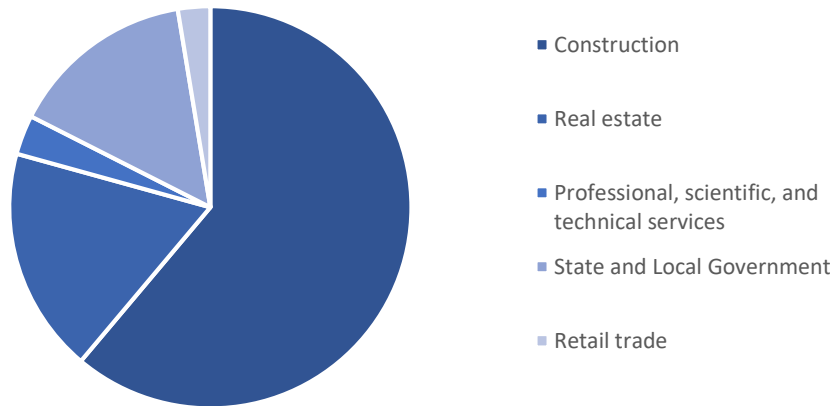
<b>Chart 9: Boone County 10 year Industry Output Breakdown – Indirect Output Added</b>				
<b>Industry</b>	<b>2021 Output</b>	<b>2031 Output</b>	<b>2041 Output</b>	<b>2051 Output</b>
Construction	\$97,371.88	\$142,183.93	\$135,960.34	\$137,307.37
Real estate	\$28,921.88	\$57,163.23	\$81,107.32	\$96,417.39
Professional, scientific, and technical services	\$5,053.84	\$13,704.65	\$21,559.71	\$28,804.48
State and Local Government	\$23,781.65	\$57,674.79	\$73,768.71	\$81,607.08
Retail trade	\$4,143.71	\$6,700.87	\$19,562.86	\$34,972.83

#### Summary Example:

In 2021, every \$1.00 of output created by the Arts Industry, an additional \$0.28 of output are created in the rest of the economy. This \$0.28 is primarily spread across 5 other industries listed in Chart 8. 20.41% of the \$0.28 added output is seen in the Construction Industry, and so on. These numbers can be expanded to the entire economy, where, in 2021, the Arts Industry created \$453,000 of output in Boone County. This created an additional \$453,000 of output in the economy. That \$83.99 million are primarily shared among the 5 other industries listed in Chart 8. Using the percentage of share for each industry, it can be determined that the Arts Industry created \$97,371.88 of output in the Construction Industry, and so on, as seen in Chart 9.

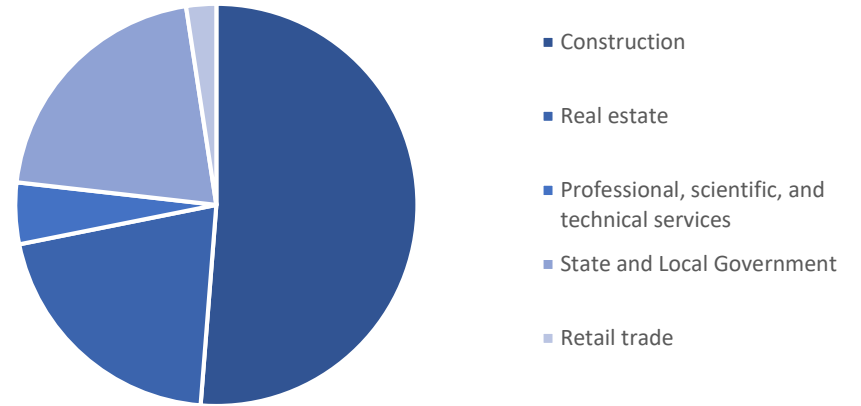
Graph 38

Boone County Indirect Output Added by Industry  
- 2021



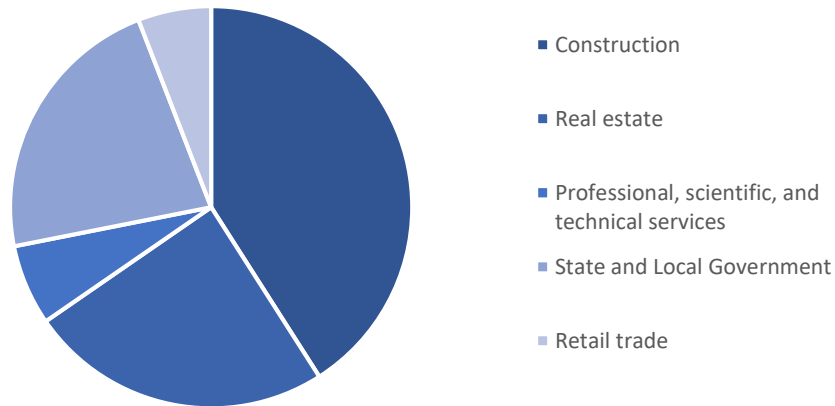
Graph 39

Boone County Indirect Output Added by Industry  
- 2031



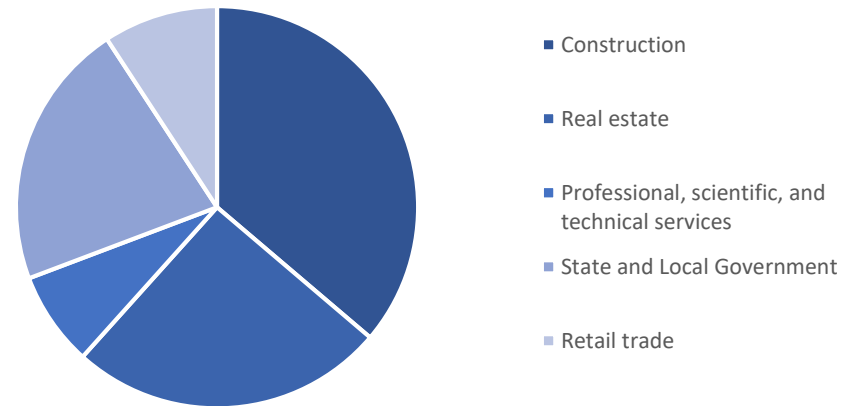
Graph 40

Boone County Indirect Output Added by Industry  
- 2041



Graph 41

Boone County Indirect Output Added by Industry  
- 2051





**Stronghold Castle**

Oregon, Illinois

*Photo Credit:*

Janna Bailey

**Egyptian Theatre**

DeKalb, Illinois

*Photo Credit:*

DeKalb County  
Convention and  
Visitors Bureau



## Ogle County & DeKalb County

Ogle County and DeKalb County are both member counties of the RAAC and add to the regional Arts industry. However, both counties differ from Winnebago County and Boone County.

Ogle County is a rural county south of and adjacent to Winnebago County with a population of 51,025.<sup>xxvi</sup> Its county seat is Oregon and its largest city is Rochelle. Ogle County hosts 10 school districts and a total of 25 schools, teaching 8,643 students in the 2020 school year.<sup>xxvii</sup>

It also hosts approximately 12 Arts organizations. Many of these organizations are historic sites and museums, including the Byron History Museum in Byron and Stronghold Center near Oregon. In 2019, Ogle County employed 273 employees in the Arts, Entertainment, and Recreation Industry which is 0.54% of their County population.<sup>xxviii</sup> Ogle County has little to no Arts Industry impact for the region.

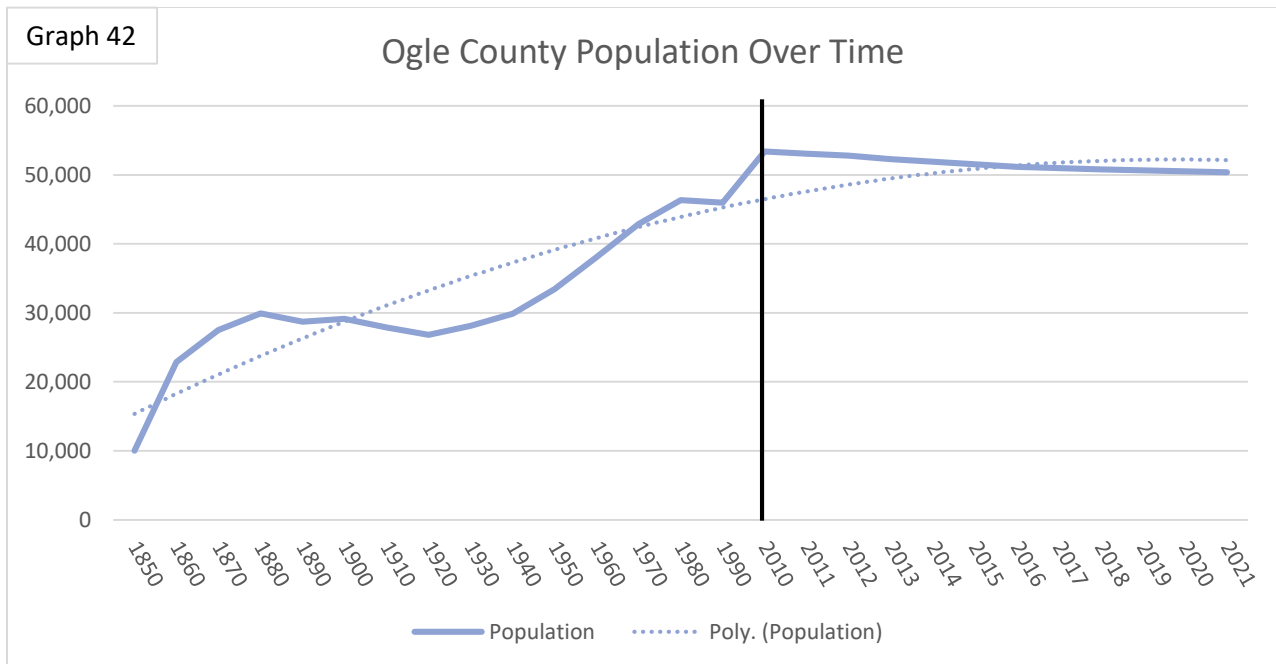
DeKalb County is adjacent to Ogle County and Boone County and is the southernmost county in RAAC's service area. It houses a population of 104,366.<sup>xxix</sup> Its county seat is Sycamore and its largest city is DeKalb. DeKalb County is also home to 8 school districts, 40 schools, and had 16,535 students enrolled in the 2020 school year.<sup>xxx</sup>

DeKalb County hosts approximately 17 Arts organization. For example, the city of DeKalb is home to Northern Illinois University (NIU), which has its own School of Theatre and Dance as well as a School of Art and Design. Kishwaukee Community College is just a few miles west of NIU and DeKalb. Arts organizations independent of NIU and Kishwaukee Community College include the Egyptian Theater, Stagecoach Theater, the Kishwaukee Symphony Orchestra, and the Sycamore/Rochelle Performing Arts Academy. In 2019, DeKalb County had 1,310 Arts, Entertainment, and Recreation Industry employees, which is 1.24% of the total County population.<sup>xxxi</sup> DeKalb County is the closest RAAC county to Chicago and the Chicago suburbs.

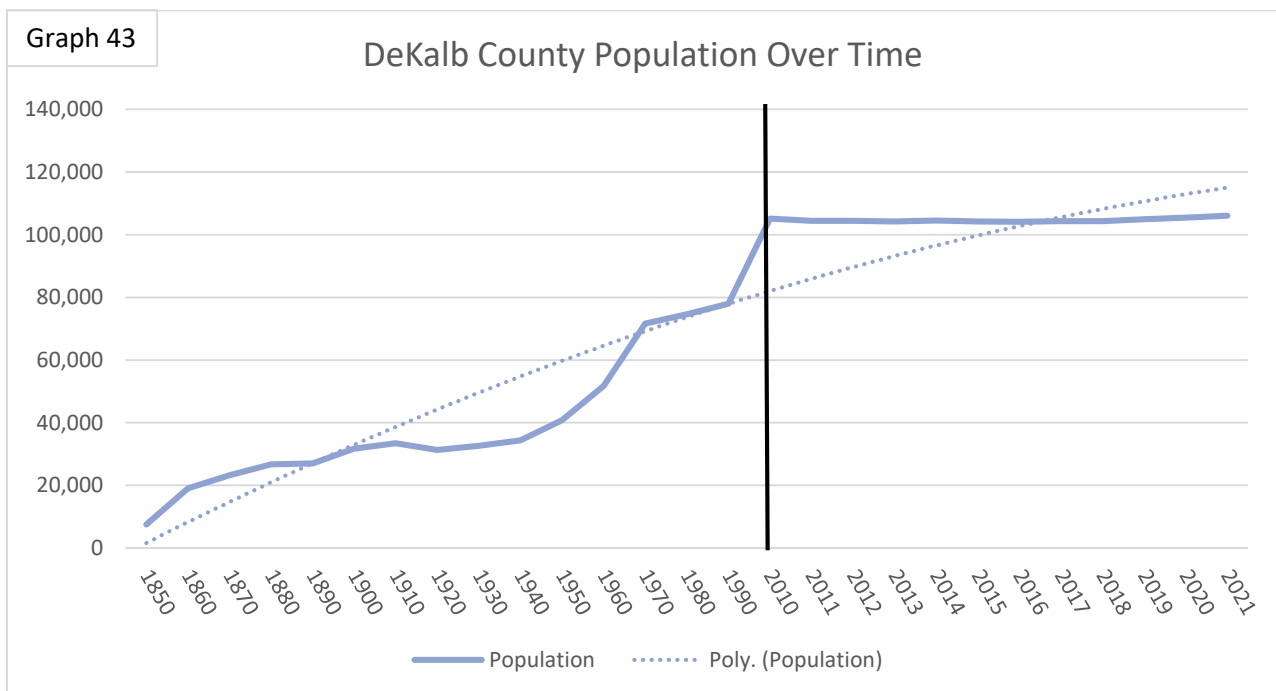
According to the 2020 SMU Arts Vibrancy Index Report, Ogle County has an overall Vibrancy score of 50-59, while DeKalb County has a Vibrancy score of 60-69. Ogle County's highest rating is also in Arts Providers, while DeKalb County's highest rating is in Other Leisure. Ogle County's lowest rating is in Other Leisure, and DeKalb County's lowest rating is in Arts Dollars.

Of the \$268,090 awarded to the Northern Illinois region, \$50,240 were awarded to DeKalb County, approximately 19% of the funds. Ogle County did not receive any grant awards.<sup>xxxii</sup> See Chart 1.

Ogle County has experienced a decline in population since 2011, when the population of the county peaked at 53,064. Since then the decline in population has been less than a percent a year, but has remained at a constant loss of 0.27% since 2019.<sup>xxxiii</sup> See Graph 42. This downward trend is expected to continue, exasperated by the eventual closing of the Byron Nuclear Plant, a major employer within the county.<sup>xxxiv</sup> This loss of employment and population will greatly impact the tax revenue and spending needs of the local government, indirectly impacting local funding for the Arts Industry both within Ogle County as well as the rest of the RAAC region.

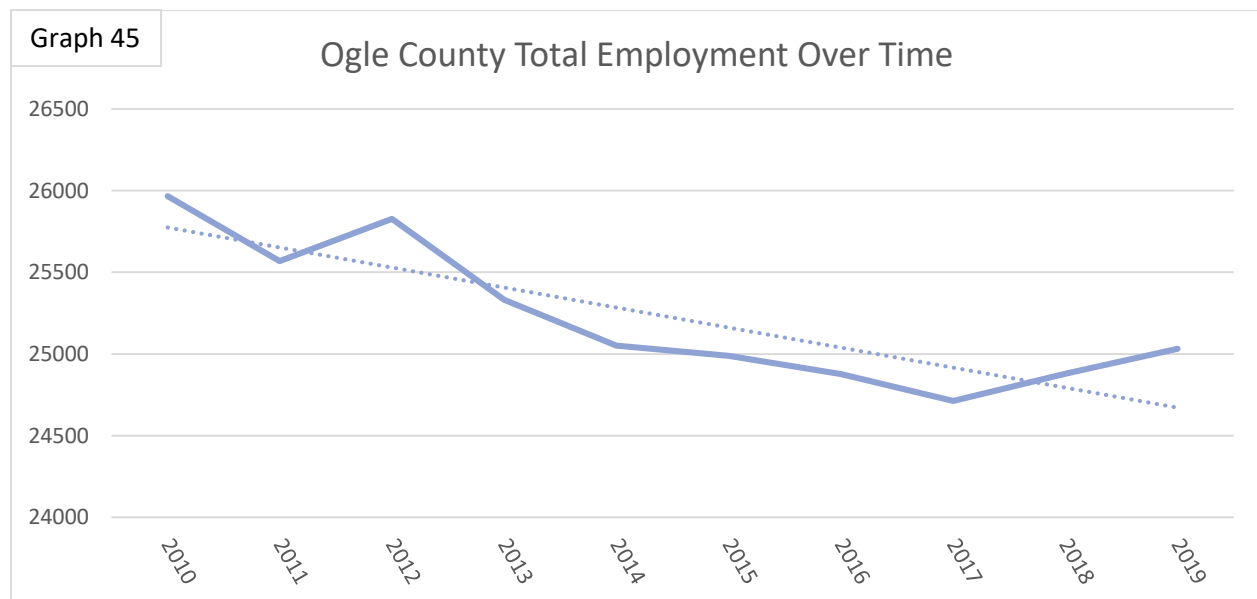
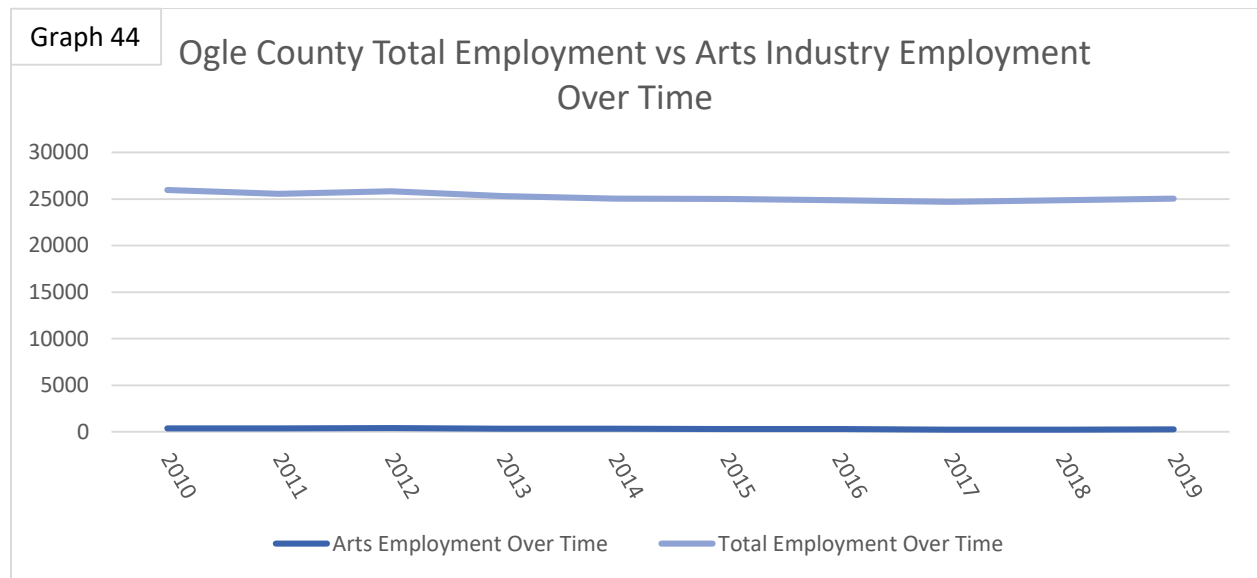


DeKalb County has seen a stable growth in population over time, with a small dip in population between 2011 – 2016. After 2016, the County has seen an increase of roughly 0.5% growth in population per year.<sup>xxxv</sup> See Graph 43. This trend is expended to continue as Chicagoland continues to expand into DeKalb County, bringing additional businesses and housing to the area. It is expected that the Arts Industry within DeKalb County will grow due to the increase of local funding opportunities and the increased demand for arts programs and entertainment from the increasing population.



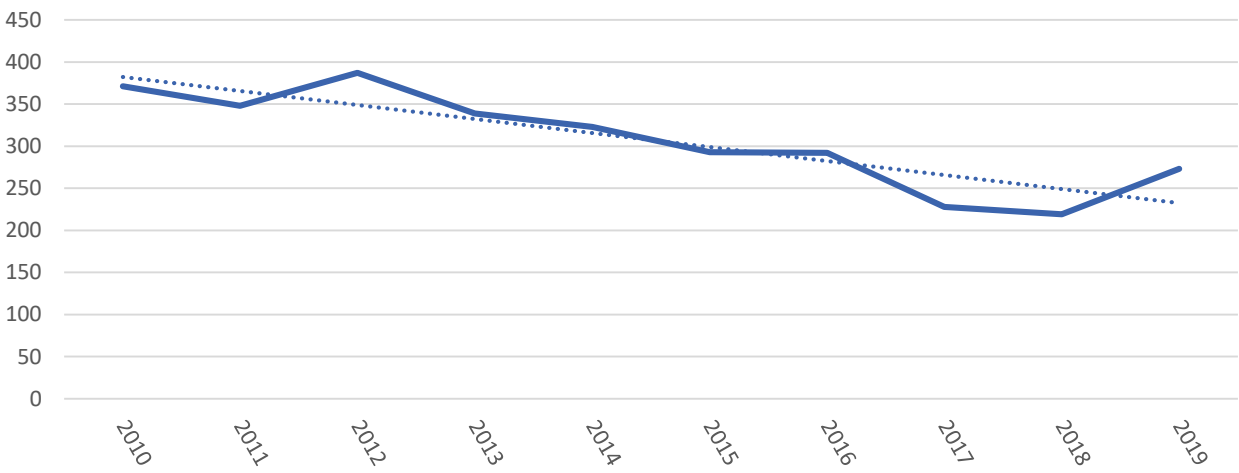
### Employment Trends - Ogle County

Current trends in employment data show Ogle County on a slight decrease over time, with a small recovery period over recent years. See Graph 44. Total employment trends show this reversal in direction starting in 2017, while the Art Industry's employment levels take roughly a year to follow suit. See Graphs 45 and 46. The employment trends are expected to follow the decreasing population trend, with a larger decrease in employment as the closure of the nuclear plant moves forward. This will also decrease the demand and opportunity for the Arts Industry in Ogle County, decreasing Arts Industry employment as well.



Graph 46

## Ogle County Arts Industry Employment Over Time

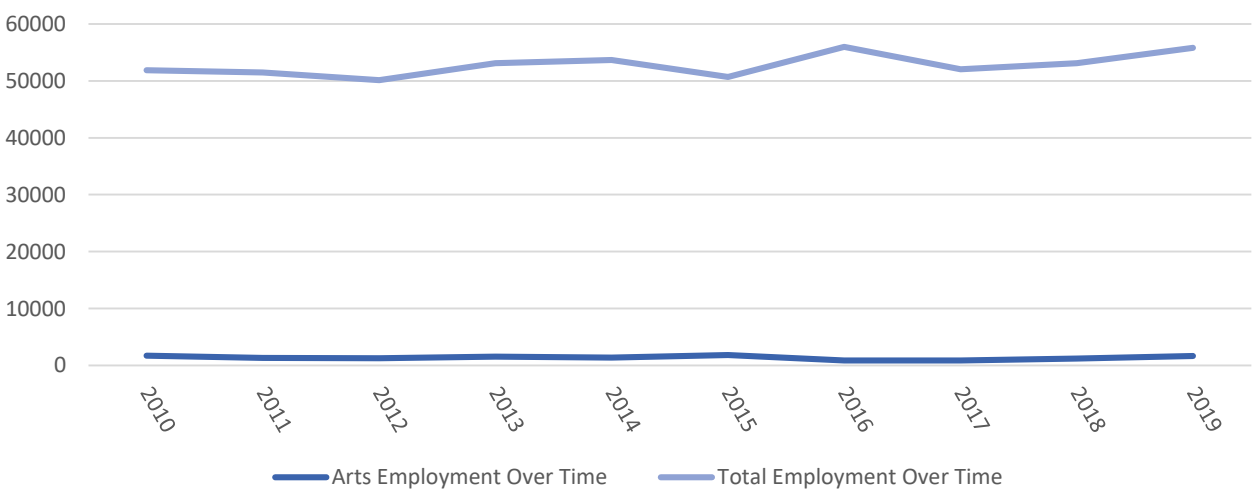


## Employment Trends - DeKalb County

DeKalb County shows an increase in total employment over the past decade. See Graph 47. The employment within DeKalb County is more volatile than in Ogle county, as seen in Graph 48, but overall shows an upward trend. It follows Ogle County in starting another increase in employment starting in 2017 and continuing through 2019. The Arts Industry also shows an increase in employment starting in 2016. See Graph 49. Both total employment and the Arts Industry employment are expected to increase over time, following the continued and expected increase in population.

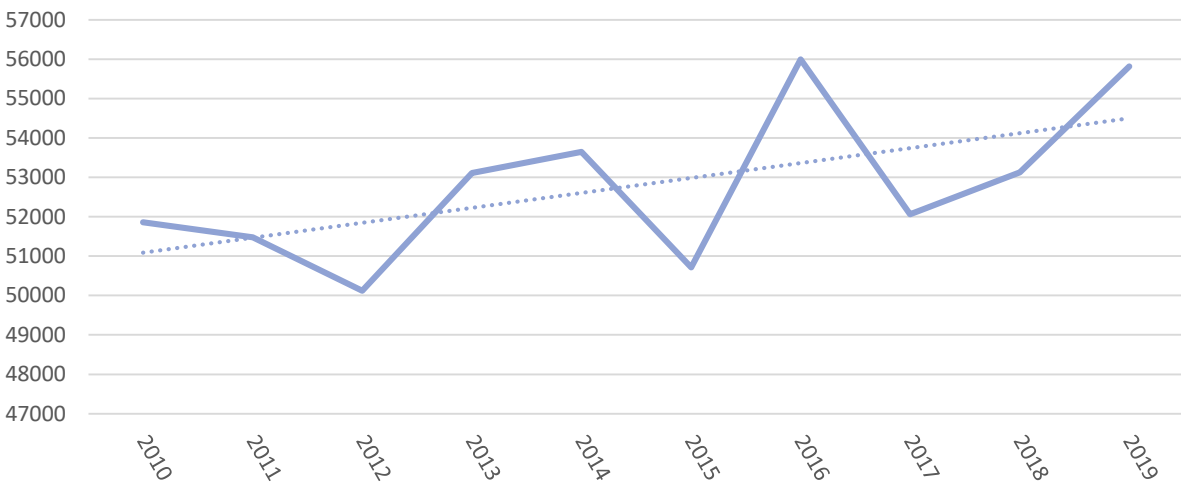
Graph 47

## DeKalb County Total Employment vs Arts Industry Employment Over Time



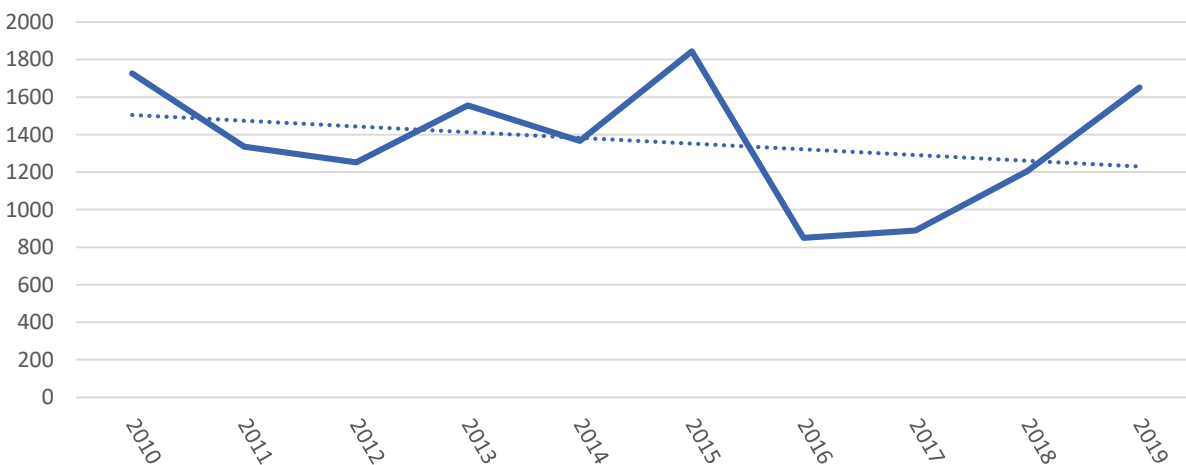
Graph 48

## DeKalb County Total Employment Over Time



Graph 49

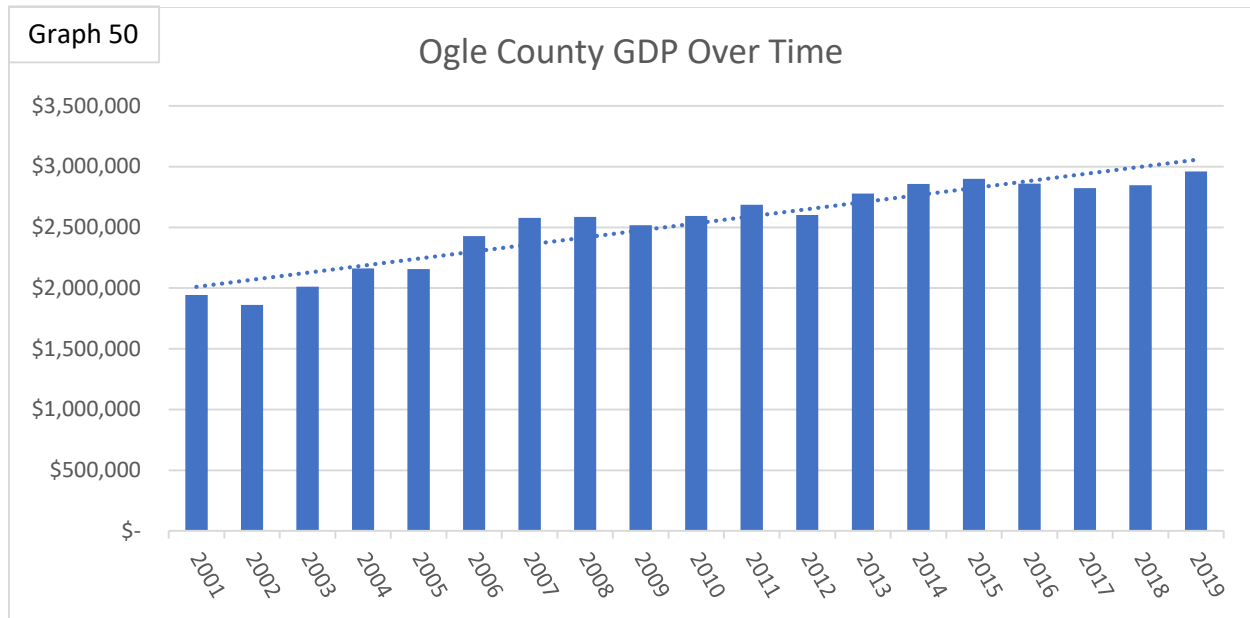
## DeKalb County Arts Industry Employment Over Time



## Gross Domestic Product (GDP) Trends - Ogle County

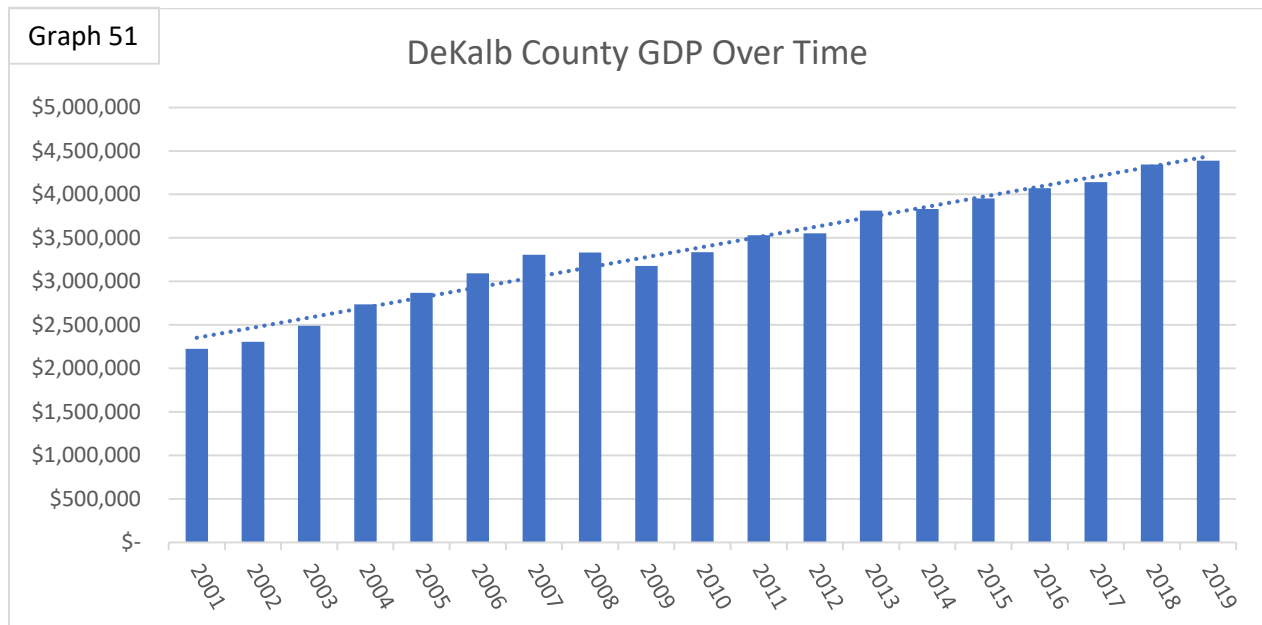
Ogle County's overall GDP shows an increasing trend over time. See Graph 50. This is mostly attributed to the growing Manufacturing, Construction, and Retail Trade Industries. The Arts, Entertainment, and Recreation Industry, however, has been following a slightly decreasing trend over the past decade.<sup>xxxvi</sup> It is expected that the eventual closing of the Byron Nuclear Plant will have a large and negative impact on the county's GDP, as the plant generates roughly 17% of the county's GDP.<sup>xxxvii</sup> This loss of a major company in the county is expected to directly decrease the GDP, as well as indirectly decrease the output in other industries, amplifying the decrease in GDP.





#### Gross Domestic Product (GDP) Trends - DeKalb County

DeKalb County's GDP shows a steeper and steady increase over time. See Graph 51. This can be attributed to the growing Health Care and Social Assistance Industry, Retail Trade Industry, and Manufacturing Industry. The Arts, Entertainment, and Recreation Industry has remained relatively stagnant over the same time period.<sup>xxxviii</sup> It is expected that this upward trend will continue for the County's total GDP, and it is expected that the Arts Industry share of the GDP will increase as demand and funding opportunities increase with the population increase



## COVID-19 and the Arts Industry

### National Impact

In 2020, COVID-19 spread through the United States and brought economic shutdowns in hopes of minimizing the health risks of the virus. Stay-at-home orders and social distancing guidelines created barriers between artists and the public, leading to postponed events, cancelled shows, business closures, and a skyrocketing unemployment rate. The Arts Industry was greatly impacted, being especially susceptible to economic downturns. This is due to the dependency on public consumption of their goods and services as well as a dependency on government funding. This funding was reallocated elsewhere or became nonexistent during the height of the pandemic.<sup>xxxix, xl</sup>

The national employment impact of COVID-19 was analyzed by the National Endowment for the Arts between the third quarter of 2019 and the third quarter of 2020. This time period saw an increase in unemployment and the unemployment rates for artists of different specialties.<sup>xli</sup> The Performing Arts sector saw the largest decrease in revenue over the same period, a decline of nearly 54%.<sup>xlii</sup> The Bureau of Economic Analysis shows a decrease in demand for tickets, decreasing to \$10.1 billion in September of 2020. This is roughly a quarter of the revenue brought in by tickets in September of 2019.<sup>xliii</sup>

Nationally, the Arts Industry is estimated to have lost 1.3 million jobs between February and April of 2020. The second year of the pandemic has seen the number of jobs has increased 0.5 million, from 1.2 million in April of 2020 to 1.7 million in July of 2021.<sup>xliv</sup> Financially, the nonprofit arts and culture organizations lost an estimated \$17.97 billion as of July, 2021.<sup>xlv</sup> This includes a loss of 557 million ticketed admissions due to cancelled events and showings. It is estimated that the indirect impact of these cancelled events is a loss of \$17.6 billion to sectors such as food and drink, accommodations, retail, and parking. Local government revenue has also seen losses estimated to be \$6.0 billion.<sup>xlvi</sup>

### State Impact

The state of Illinois followed national trends in terms of loss of employment and sales in the Arts Industry. From April 2020 to July 2020, it is estimated that the Arts Industry in Illinois lost 31.3% of the Arts Industry jobs, and lost 9.5% in sales due to the pandemic.<sup>xlvii</sup> This is a loss of 86,671 jobs and a total of \$2,783,000 in average monthly earnings.<sup>xlviii</sup> In gross numbers, Illinois had the fifth largest loss in jobs in the country, however, based on percentage of job loss, Illinois lost less jobs than the national average and was average in terms of Average Monthly Earnings lost. However, the Arts Industry saw larger job loss than 14 other industries in the state with the fifth largest decrease in jobs from February 2020 to June 2021, a loss of 25,200 jobs.<sup>xlix</sup> Between March of 2020 and February 2021, Americans for the Arts estimates that the Arts Industry in Illinois has incurred a financial loss of \$12.5 million, with the median loss to organizations being \$16,000 over that time period.<sup>l</sup>

### Regional Impact

In order to measure the regional impact of the Arts Industry within the RAAC boundaries, two survey were created and sent to all RAAC member organizations and individuals. The first survey asked for more in-depth information from 2017-2019 with a COVID impact section focusing on 2020. The second survey was a more condensed survey, which focused on changes between 2019-2020 and grants received over that time period. See Appendix G and Appendix H for the Survey Questions. Due to the limited response to the surveys, only limited regional assumptions may be made, but the responses did provide insight into the RAAC members and COVID-19's impact in the region's Art Industry.

Overall, survey respondents indicated the largest impacts were felt by the in person activities. This included student programs, field trips, arts festivals, and summer camps. Other impacts were felt in normal day to day functions, including the ability to fundraise, conduct on-going community partner programs, production of products, and overall lower membership and participation by the communities the organizations served.

Five organizations responded to the first survey, and an additional six responded to the second survey. Of the eleven organizations that responded to the surveys, five serve communities across multiple counties, while four focused on Winnebago County, one focused on Boone County, and one focused primarily in the City of Rockford. Eight of the eleven respondents reported no change in employment in 2020, where two reported a decrease in employment, and only one reported an increase in employment. Based on the first survey's results, these organizations rely heavily on volunteers and volunteer hours. From 2019 to 2020 all but one organization saw a decrease in the number of volunteers, with an overall drop from 360 total volunteers in 2019 to 208 volunteers in 2020. Despite the drop in total number of volunteers, the total numbers of volunteer hours worked increased from 7,220 hours in 2019 to 8,031 hours in 2020. See Chart 10. This is an average of 38.6 hours worked per volunteer in 2020. In 2019, this average was only 20.1 hours per volunteer. Based on the current estimated national value of a volunteer hours, this is a total value added of \$206,058.80 in 2019 and \$229,058.80 in 2020, a 10.1% increase.<sup>li</sup>

Chart 10: First Survey Results - Employment								
	Full Time Employees		Part Time Employees		Volunteers		Volunteer Hours	
	2019	2020	2019	2020	2019	2020	2019	2020
<b>A</b>	0	0	0	0	100	11	2,600	2,500
<b>B</b>	2	2	0	0	75	20	500	35
<b>C</b>	4	3	200	5	80	100		
<b>D</b>	1	1	0	0	15	12	120	96
<b>E</b>	0	0	0	0	90	65	4,000	5,400
<b>Total</b>	<b>7</b>	<b>6</b>	<b>200</b>	<b>6</b>	<b>360</b>	<b>208</b>	<b>7,220</b>	<b>8,031</b>
*Blacked out cells signify unknown, missing, or no data.								

Survey results also show an increase in profits from 2019 to 2020. Revenue increased and expenses decreased from 2019 to 2020, with revenue increasing 0.80% and expenses falling 13.34%. See Chart 11. It should be noted that one regional organization received a \$1.2 million one-time gift in 2020. This one-time gift was not included in the revenue data due to the one-time basis of the gift. Inclusion of that data would greatly skew the results of the analysis. It should also be noted that respondent J is a wholly volunteer based organization.

Chart 11: First and Second Survey Results - Total Revenue, Expenses, and Profits						
	Revenue		Expenses		Profits	
	2019	2020	2019	2020	2019	2020
A	\$47,900.00	\$16,500.00	\$23,000.00	\$12,000.00	\$24,900.00	\$4,500.00
B	\$104,835.00	\$286,533.00	\$280,777.00	\$227,558.00	\$(175,942.00)	\$58,975.00
C	\$1,320,790.56	\$1,258,801.06	\$1,370,655.00	\$1,228,808.00	\$(49,864.44)	\$29,993.06
D	\$255,000.00	\$134,000.00	\$206,000.00	\$114,000.00	\$49,000.00	\$20,000.00
E	\$14,850.00	\$6,800.00	\$15,000.00		\$ (150.00)	\$6,800.00
F	\$315,959.91	\$505,836.30	\$360,400.53	\$377,340.38	\$ (44,440.62)	\$128,495.92
G	\$500,000.00	\$500,000.00	\$485,000.00	\$490,000.00	\$15,000.00	\$10,000.00
H	\$700.00	\$300.00	\$1,000.00	\$1,000.00	\$ (300.00)	\$ (700.00)
I	\$437,869.00	\$307,799.00	\$327,071.00	\$208,413.00	\$110,798.00	\$99,386.00
J						
K	\$31,412.21	\$36,898.68	\$28,720.12	\$18,167.19	\$2,692.09	\$18,731.49
Total	\$3,029,316.68	\$3,053,468.04	\$3,097,623.65	\$2,684,486.57	\$ (68,306.97)	\$368,981.47
*Blacked out cells signify unknown, missing, or no data.						

The first survey shows that the majority of the revenue for those respondents came from ticket sales and grants in both years. The majority of the expenses for these organizations is the salary and hourly pay to employees. See Chart 12. Three of the five organizations that responded to the first survey also participated in the Paycheck Protection Program (PPP) in 2020 to help cover salary and hourly pay expenses. These three organizations were awarded a total of \$178,000 in PPP loans.

Chart 12: First Survey Results – Main Revenue Sources and Main Expense Sources								
	Main Revenue				Main Expense			
	Ticket Sales		Grants		Salary Pay		Hourly Pay	
	2019	2020	2019	2020	2019	2020	2019	2020
A	\$17,000.00	\$5,500.00	\$500.00	\$5,000.00				
B			\$86,798.00	\$132,850.00	\$114,763.00	\$115,948.00	\$47,328.00	\$2,925.00
C	\$586,957.56	\$426,702.06	\$183,745.00	\$235,425.00	\$308,196.54	\$310,332.41	\$302,426.30	\$250,687.84
D	\$18,000.00		\$109,000.00	\$15,000.00		\$75,000.00	\$52,000.00	
E	\$5,500.00							
Total	\$627,457.56	\$432,202.06	\$380,043.00	\$388,275.00	\$422,959.54	\$501,280.41	\$401,754.30	\$253,612.84
*Blacked out cells signify unknown, missing, or no data.								

The second survey asked more in-depth questions about grants applied for and received in 2020. Of the nine respondents, all had received grant opportunity notifications from the RAAC, with six applying for a total of nine grants. Five of the six applying organizations received grant funding as a result. Six organizations also applied to additional grants, receiving a total of \$79,250, with one organization still waiting on the notice of award to be posted. Between both surveys, respondents reported a total of \$475,725 of grant funding awarded in 2020. See Chart 13. Of the nine respondents to the second survey, six reported the main type of funding needed was for Programming needs, whereas two reported the main type of funding need was for Operations. Six of the nine respondents also reported that their organization still had financial needs resulting from the COVID-19 pandemic. Only three respondents indicated their financial needs had been met.

<b>Chart 13: First and Second Survey Results – 2020 Total Grant Applications and Awards</b>						
	Number of RAAC Grants Applied For	Number of Other Grants Applied For	Number of Total Grants Applied For	Total Award of RAAC Grants	Total Award of Other Grants	Total Award of Grants
<b>A</b>	3	1	4	\$5,000.00		\$5,000.00
<b>B</b>	1	2	3			\$132,850.00
<b>C</b>						\$235,425.00
<b>D</b>	1	3	4	\$12,000.00	\$51,000.00	\$63,000.00
<b>E</b>						
<b>F</b>	0	4	4			
<b>G</b>	2	3	5	\$9,200.00	\$13,850.00	\$23,050.00
<b>H</b>						
<b>I</b>	1	0	1	\$2,000.00	\$ -	\$2,000.00
<b>J</b>						
<b>K</b>	1	4	5	\$5,000.00	\$9,400.00	\$14,400.00
<b>Total</b>	<b>9</b>	<b>17</b>	<b>26</b>	<b>\$33,200.00</b>	<b>\$74,250.00</b>	<b>475,725.00</b>
*Blacked out cells signify unknown, missing, or no data.						

In order to minimize the impact of COVID-19, the Arts Industry had to adapt to the health guidelines in terms of social distancing and the stay-at-home orders. Many artists in the various Arts sectors were able to utilize the internet as a way to continue to share their art. As of August, 2021, 77% of Arts organizations nationwide were delivering content and programming via the internet. Americans for the Arts research shows 29% of arts organizations looking to improve their online content, while 18% expect to remain at the same level of online content. 45% of arts organizations plan on reducing their online presence as in-person activities and events resume, and 8% of organizations intend to completely remove their online presence.<sup>iii</sup> The second survey respondents reported that 67% of respondents in the RAAC region moved their services online, while 33% of respondents did not. Of those respondents that went online, 67%

reported they would continue with their online presence, 17% indicated they will not continue their online presence, and 17% were unsure what their organization would do in the future. Other sectors, not compatible with moving online, were able to alter their ticket availabilities and added new distancing guidelines of their own to their spaces. Others have invested heavily in their organizations infrastructure, such as new air filtration systems and sanitizing systems.<sup>liii</sup>

## Conclusion

Many studies have shown the importance of the Arts Industry in everyday life, from mental and physiological wellbeing, to pain management and health recovery efforts. The economic benefits of the Arts industry support the non-fiscal benefits as well as create additional economic activity within a region.

With the use of the REMI forecasting software, this study was able to show the forecasted increased employment and output for the Arts Industry in Winnebago County and Boone County. Using this same software, this study has shown the indirect impact the Arts Industry has on regional employment as well as regional output for every Art Industry employee added and for every dollar invested in the Arts Industry within Winnebago County and Boone County. This analysis highlights the other major and complimentary industries that benefit from the indirect increase in employment and output. This analysis shows and continued positive indirect impact for each employee added and for each additional dollar invested.

It has also shown and analyzed the trends in employment and GDP for the Arts Industry in Ogle County and DeKalb County, looking at the future expected demand of the Arts in those two counties, with Ogle County expecting a decrease in demand, whereas DeKalb County will see an increase in demand for the Arts.

The COVID-19 pandemic created immense economic hardship for the Arts Industry across the nation, losing over a million jobs and an estimated \$17.97 billion, in revenue with the indirect impact of this loss being estimated at \$17.6 billion for complimentary industries. Similar trends were found at the state and regional level, with health guidelines pushing organizations and artists to cancel events, lose jobs, employees, and revenue, and at times to even close their doors.

Despite the challenges brought by the pandemic, that Arts Industry has continued to adapt and bounce back from the economic hardships faced in 2020. This is done, in part, by the resilience, willingness, and drive of artists, as well as the demand for the Arts by the public. Funding and supporting the Arts Industry will build off of this resiliency and create waves of positive economic activity through direct and indirect impacts for the Northern Illinois region.

## Appendix A: Vibrancy Index for RAAC Member Counties

Arts Industry Vibrancy Index				
Each of these measures are scored akin to percentiles – i.e., if your county has a score of 56, it means it did better than 56% of communities on that measure. This study included just over 3100 counties within the United States.				
	Winnebago County	Boone County	Ogle County	DeKalb County
<b>Arts Providers</b>	<b>86</b>	<b>67</b>	<b>63</b>	<b>67</b>
Arts & Culture Employees	98	83	74	74
Arts & Culture Organizations	68	59	43	65
Arts, Culture & Entertainment Firms	83	58	58	64
Independent Artists	69	58	75	66
<b>Arts Dollars</b>	<b>78</b>	<b>56</b>	<b>50</b>	<b>51</b>
Program Revenue	80	59	54	58
Contributed Revenue	72	51	46	49
Total Expenses	78	55	50	51
Total Compensation	82	62	56	52
<b>Government Support</b>	<b>80</b>	<b>55</b>	<b>51</b>	<b>56</b>
State Arts Dollars	78	55	53	64
State Arts Grants	68	59	42	61
Federal Arts Dollars	80	66	74	64
Federal Arts Grants	85	69	72	58
<b>Other Leisure</b>	<b>75</b>	<b>49</b>	<b>43</b>	<b>70</b>
Hotel Rank	47	23	34	31
Restaurant Rank	73	51	49	69
Zoo & Botanical Rank	89	87	76	73
Cinema Rank	75	50	43	87
Professional Sports	68	63	56	71
Bar Rank	84	77	68	77
SMU DataArts, Arts Vibrancy Report Index Map, <a href="https://sites.smu.edu/Meadows/ArtsVibrancyMap/#">https://sites.smu.edu/Meadows/ArtsVibrancyMap/#</a> , September 2020.				

## Appendix B: School District Breakdown by County for 2020

Winnebago County by District							
District Name	No. of Schools	Elementary Schools	Middle Schools	High Schools	Charter Schools	Total 2020 Enrollment	Enrollment % of Total
District 320	5	3	1	1	0	938	2.11%
District 322	3	1	1	1	0	625	1.40%
District 122	11	9	1	1	0	6,361	14.30%
District 207	1	0	0	1	0	1,916	4.31%
District 131	4	3	1	0	0	1,797	4.04%
District 321	3	1	1	1	0	900	2.02%
District 133	2	1	1	0	0	729	1.64%
District 205	44	30	5	6	3	28,194	63.36%
District 140	3	2	1	0	0	1,599	3.59%
District 134	1	1	0	0	0	98	0.22%
District 323	4	2	1	1	0	1,340	3.01%
<b>TOTAL</b>	<b>81</b>	<b>53</b>	<b>13</b>	<b>12</b>	<b>3</b>	<b>44,497</b>	<b>100%</b>
Boone County by District							
District Name	No. of Schools	Elementary Schools	Middle Schools	High Schools	Charter Schools	Total 2020 Enrollment	Enrollment % of Total
District 100	10	6	2	2	0	7,681	82.88%
District 200	6	4	1	1	0	1,587	17.12%
<b>TOTAL</b>	<b>16</b>	<b>10</b>	<b>3</b>	<b>3</b>	<b>0</b>	<b>9,268</b>	<b>100%</b>
Ogle County by District							
District Name	No. of Schools	Elementary Schools	Middle Schools	High Schools	Charter Schools	Total 2020 Enrollment	Enrollment % of Total
District 226	3	1	1	1	0	1,512	17.49%
District 161	1	1	0	0	0	92	1.06%
District 269	1	1	0	0	0	74	0.86%
District 221	3	2	0	1	0	794	9.19%
District 144	1	1	0	0	0	88	1.02%
District 223	4	2	1	1	0	1,584	18.33%
District 220	3	1	1	1	0	1,493	17.27%
District 222	3	1	1	1	0	554	6.41%
District 231	5	4	1	0	0	1,623	18.78%
District 212	1	0	0	1	0	829	9.59%
<b>TOTAL</b>	<b>25</b>	<b>14</b>	<b>5</b>	<b>6</b>	<b>0</b>	<b>8,643</b>	<b>100%</b>
DeKalb County by District							
District Name	No. of Schools	Elementary Schools	Middle Schools	High Schools	Charter Schools	Total 2020 Enrollment	Enrollment % of Total
District 428	12	9	2	1	0	6,604	39.94%
District 424	4	2	1	1	0	1,587	9.60%
District 426	2	1	0	1	0	442	2.67%
District 429	3	1	1	1	0	717	4.34%
District 425	3	1	1	1	0	726	4.39%
District 430	6	4	1	1	0	1,940	11.73%
District 432	3	1	1	1	0	806	4.87%
District 427	7	5	1	1	0	3,713	22.46%
<b>TOTAL</b>	<b>40</b>	<b>24</b>	<b>8</b>	<b>8</b>	<b>0</b>	<b>16,535</b>	<b>100%</b>
Illinois Report Card, 2019-2020, <a href="https://www.illinoisreportcard.com/">https://www.illinoisreportcard.com/</a> .							



# Appendix C: School District Racial/ Ethnic Diversity by County for 2020

State of Illinois							
	White (%)	Black (%)	Hispanic (%)	Asian (%)	American Indian (%)	Pacific Islander (%)	≥Two Races (%)
State of Illinois	47.5	16.6	26.6	5.2	0.3	0.1	3.8
Winnebago County by District							
District Name	White (%)	Black (%)	Hispanic (%)	Asian (%)	American Indian (%)	Pacific Islander (%)	≥Two Races (%)
District 320	60.1	4.1	27.1	0.9	0.1	0	7.8
District 322	91.7	0.2	2.4	0.3	0.6	0.2	4.6
District 122	70.6	5.7	14.1	1.7	0.4	0.1	7.3
District 207	82.5	2.1	8.8	2.8	0.1	0.2	3.6
District 131	82.5	2.6	7.7	1.8	0.1	0.1	5.2
District 321	89.1	2.2	4.6	0.8	0.3	0	3
District 133	87.8	1.6	4	2.2	0.1	0.1	4.1
District 205	28.2	31.7	28.5	4	0.2	0	7.3
District 140	82.2	1.7	8.8	1	0.3	0.1	5.8
District 134	92.9	0	2	0	3.1	0	2
District 323	83.8	3.2	7.3	0.7	0.4	0.1	4.4
Boone County by District							
District Name	White (%)	Black (%)	Hispanic (%)	Asian (%)	American Indian (%)	Pacific Islander (%)	≥Two Races (%)
District 100	53.5	3.3	38.6	0.8	0.4	0.1	3.3
District 200	65.2	1.2	25.6	0.4	0.1	0	7.5
Ogle County by District							
District Name	White (%)	Black (%)	Hispanic (%)	Asian (%)	American Indian (%)	Pacific Islander (%)	≥Two Races (%)
District 226	86.5	0.8	7.3	0.7	0.3	0	4.5
District 161	76.1	5.4	15.2	0	0	0	3.3
District 269	78.4	0	17.6	0	0	0	4.1
District 221	89.5	0	3.3	0.6	0.3	0	6.3
District 144	90.9	1.1	5.7	0	0	0	2.3
District 223	83.1	1.3	11.8	0.4	0.4	0.1	2.9
District 220	83.7	0.9	10.3	0.6	0.1	0	4.4
District 222	87.9	0.9	5.2	0	0.2	0	5.8
District 231	48.1	2	45	0.4	0.2	0.1	4.2
District 212	60.3	2.4	35.1	0.7	0.2	0	1.2
DeKalb County by District							
District Name	White (%)	Black (%)	Hispanic (%)	Asian (%)	American Indian (%)	Pacific Islander (%)	≥Two Races (%)
District 428	40.4	22.8	29.1	1.4	0.3	0.1	6
District 424	75.7	1.4	20.7	1	0	0	1.1
District 426	81.7	0.7	13.6	0	0	0	4.1
District 429	85.4	0.4	10.5	1.4	0	0	2.4
District 425	84.6	1.5	9.2	0.1	0.1	0	4.4
District 430	77	0.6	18.6	0.6	0.1	0	3.1
District 432	87.5	1.2	9.7	0.6	0.1	0	0.9
District 427	82.7	3.2	8.8	1.8	0.3	0	3.2
Illinois Report Card, 2019-2020, <a href="https://www.illinoisreportcard.com/">https://www.illinoisreportcard.com/</a> .							

## Appendix D: Winnebago County and Boone County Population by Age Over Time

	WINNEBAGO COUNTY BY AGE GROUP				BOONE COUNTY BY AGE GROUP			
YEAR	0-14	15-24	25-64	65+	0-14	15-24	25-64	65+
2020	53,630	34,138	142,040	52,189	10,071	7,710	27,372	9,202
2021	53,051	33,724	140,973	53,387	9,733	7,690	27,490	9,571
2022	52,414	33,711	139,682	54,689	9,468	7,618	27,559	9,961
2023	51,884	33,701	138,647	55,872	9,249	7,498	27,644	10,375
2024	51,522	33,771	137,662	57,017	9,132	7,252	27,783	10,791
2025	51,268	33,300	137,196	58,339	9,046	6,909	28,051	11,193
2026	51,046	33,220	136,542	59,622	9,006	6,685	28,166	11,622
2027	51,065	32,916	136,208	60,735	8,962	6,474	28,349	12,022
2028	51,037	32,798	136,062	61,701	9,040	6,252	28,455	12,424
2029	51,085	32,662	135,909	62,668	9,070	6,084	28,574	12,852
2030	51,091	32,676	135,955	63,495	9,163	5,903	28,646	13,303
2031	51,084	32,733	136,394	63,954	9,320	5,638	28,898	13,634
2032	51,151	32,627	137,177	64,221	9,478	5,449	29,116	13,946
2033	51,382	32,397	137,925	64,486	9,677	5,256	29,328	14,249
2034	51,699	32,208	138,476	64,864	9,888	5,145	29,441	14,583
2035	52,017	32,083	138,866	65,387	10,096	5,052	29,519	14,965
2036	52,328	31,954	139,405	65,780	10,291	4,997	29,608	15,324
2037	52,627	32,033	140,006	65,913	10,469	4,928	29,807	15,609
2038	52,908	32,026	140,903	65,833	10,629	4,977	29,993	15,816
2039	53,174	32,083	141,869	65,622	10,766	4,977	30,239	16,041
2040	53,423	32,064	142,835	65,498	10,880	5,041	30,483	16,226
2041	53,643	32,015	143,993	65,197	10,966	5,167	30,737	16,352
2042	53,829	32,011	145,043	64,929	11,023	5,297	31,018	16,453
2043	53,980	32,158	145,842	64,726	11,053	5,469	31,250	16,564
2044	54,099	32,369	146,495	64,578	11,060	5,659	31,463	16,685
2045	54,189	32,565	146,927	64,658	11,047	5,848	31,626	16,858
2046	54,244	32,737	147,477	64,606	11,015	6,031	31,898	16,918
2047	54,255	32,889	147,996	64,566	10,966	6,203	32,122	17,023
2048	54,228	33,021	148,496	64,524	10,905	6,359	32,405	17,066
2049	54,161	33,143	148,952	64,508	10,840	6,499	32,659	17,140
2050	54,065	33,257	149,203	64,698	10,773	6,617	32,943	17,193
2051	53,938	33,361	149,477	64,855	10,709	6,710	33,208	17,272
2052	53,781	33,446	149,841	64,903	10,650	6,775	33,567	17,262
2053	53,597	33,506	150,234	64,899	10,600	6,814	33,875	17,308
2054	53,400	33,550	150,338	65,180	10,565	6,829	34,173	17,372
2055	53,204	33,582	150,228	65,695	10,546	6,823	34,490	17,431
2056	53,008	33,591	150,124	66,205	10,546	6,799	34,774	17,537
2057	52,819	33,576	149,971	66,778	10,565	6,759	35,048	17,664
2058	52,638	33,536	149,883	67,297	10,603	6,708	35,314	17,810
2059	52,473	33,472	149,756	67,878	10,661	6,652	35,490	18,065
2060	52,328	33,390	149,756	68,363	10,740	6,594	35,602	18,402

Appendix E: Winnebago County and Boone County Population by Ethnicity Over Time

	WINNEBAGO COUNTY BY AGE GROUP	BOONE COUNTY BY AGE GROUP
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YEAR	White- NonHispanic	Black- NonHispanic	Other- NonHispanic	Hispanic	White- NonHispanic	Black- NonHispanic	Other- NonHispanic	Hispanic
2020	190,487	37,260	15,664	38,586	38,856	1,318	1,673	12,508
2021	188,541	37,411	15,916	39,268	38,755	1,325	1,692	12,712
2022	186,749	37,583	16,182	39,983	38,642	1,331	1,713	12,920
2023	185,119	37,779	16,468	40,738	38,550	1,339	1,736	13,141
2024	183,659	38,001	16,773	41,539	38,474	1,348	1,762	13,374
2025	182,364	38,250	17,100	42,388	38,425	1,358	1,792	13,624
2026	181,186	38,518	17,446	43,279	38,395	1,370	1,826	13,889
2027	180,098	38,803	17,811	44,212	38,389	1,384	1,864	14,170
2028	179,108	39,106	18,195	45,188	38,402	1,399	1,906	14,464
2029	178,132	39,412	18,591	46,189	38,439	1,416	1,951	14,774
2030	177,243	39,734	19,007	47,232	38,489	1,433	1,999	15,094
2031	176,373	40,056	19,436	48,300	38,561	1,451	2,049	15,428
2032	175,526	40,379	19,879	49,392	38,646	1,470	2,102	15,771
2033	174,670	40,695	20,331	50,494	38,743	1,490	2,155	16,123
2034	173,828	41,012	20,794	51,614	38,854	1,510	2,210	16,483
2035	173,006	41,330	21,268	52,750	38,980	1,533	2,267	16,852
2036	172,183	41,645	21,747	53,892	39,112	1,557	2,325	17,226
2037	171,356	41,954	22,231	55,037	39,245	1,582	2,384	17,603
2038	170,518	42,256	22,718	56,178	39,381	1,607	2,445	17,983
2039	169,676	42,550	23,205	57,317	39,517	1,632	2,507	18,368
2040	168,835	42,840	23,692	58,454	39,650	1,656	2,570	18,755
2041	167,976	43,119	24,175	59,579	39,769	1,678	2,633	19,141
2042	167,086	43,384	24,653	60,687	39,872	1,699	2,696	19,523
2043	166,167	43,636	25,124	61,778	39,956	1,721	2,758	19,901
2044	165,224	43,875	25,590	62,853	40,028	1,740	2,820	20,278
2045	164,268	44,105	26,053	63,915	40,084	1,761	2,881	20,653
2046	163,281	44,319	26,509	64,956	40,120	1,780	2,939	21,022
2047	162,259	44,515	26,958	65,973	40,134	1,799	2,996	21,385
2048	161,204	44,694	27,401	66,968	40,125	1,817	3,051	21,742
2049	160,126	44,856	27,839	67,942	40,104	1,833	3,104	22,097
2050	159,041	45,005	28,275	68,902	40,070	1,849	3,158	22,449
2051	157,940	45,139	28,707	69,845	40,022	1,866	3,213	22,798
2052	156,817	45,255	29,135	70,766	39,959	1,884	3,271	23,141
2053	155,665	45,350	29,558	71,662	39,886	1,900	3,330	23,481
2054	154,512	45,431	29,981	72,545	39,812	1,913	3,393	23,822
2055	153,376	45,504	30,407	73,422	39,738	1,928	3,461	24,163
2056	152,243	45,563	30,834	74,289	39,670	1,945	3,533	24,508
2057	151,121	45,611	31,265	75,147	39,609	1,963	3,608	24,855
2058	150,009	45,648	31,699	75,998	39,560	1,982	3,685	25,207
2059	148,917	45,677	32,140	76,844	39,530	2,003	3,768	25,567
2060	147,855	45,702	32,590	77,692	39,521	2,024	3,857	25,937

Appendix F: School District Student Statistics by County for 2020

State of Illinois				
	English Learners (%)	With IEPs* (%)	Low Income (%)	Chronic Truants (%)

State of Illinois	12.5	14.8	48.5	9.6
Winnebago County by District				
District Name	English Learners (%)	With IEPs* (%)	Low Income (%)	Chronic Truants (%)
District 320	9.1	10.6	58	6.3
District 322	0.2	17.3	30.6	2.7
District 122	4.4	17	56.6	14.3
District 207	0.5	11.5	20.1	6.1
District 131	1.8	17.5	23	1.1
District 321	0.7	18.1	26.7	2
District 133	0.5	15.8	16.6	0.8
District 205	18.4	14.2	64.9	24.7
District 140	0.9	15	23.7	1.8
District 134	1	13.3	32.7	11.4
District 323	1.9	15.9	23.1	1.8
Boone County by District				
District Name	English Learners (%)	With IEPs* (%)	Low Income (%)	Chronic Truants (%)
District 100	17.4	15	45.9	5.7
District 200	9.8	14.3	42.7	0
Ogle County by District				
District Name	English Learners (%)	With IEPs* (%)	Low Income (%)	Chronic Truants (%)
District 226	1.5	16.6	21.7	0.6
District 161	0	12	40.2	0
District 269	4.1	13.5	40.5	0
District 221	0	16.5	30.5	1.1
District 144	0	13.6	34.1	0
District 223	3.6	12.2	23.7	1.6
District 220	1.2	14.9	44.5	5.4
District 222	0	11.4	46	1.3
District 231	24.9	16.8	50.7	1.3
District 212	9.8	14.1	24.7	9.2
DeKalb County by District				
District Name	English Learners (%)	With IEPs* (%)	Low Income (%)	Chronic Truants (%)
District 428	14.9	15.6	59.5	13.4
District 424	8.3	9.5	33.8	4.1
District 426	2.3	19.5	40.5	1.7
District 429	0.3	16.3	5.3	2.2
District 425	1.9	13.9	24.5	0.3
District 430	4.4	15.3	34.1	2.6
District 432	0.1	14.1	33.1	0.3
District 427	2	13.7	21.2	2.1
*IEP – Individual Education Plan Illinois Report Card, 2019-2020, <a href="https://www.illinoisreportcard.com/">https://www.illinoisreportcard.com/</a> .				

#### Appendix G: RAAC Economic Impact Survey Questions – Organizations

##### **RAAC Economic Impact Survey Questions - Organizations**

1. What classification does your organization fall under: Performing Arts, Art Education, Theatre, Visual Arts, Museums, Other?

2. How many Full Time Employees (FT) did you have at your maximum employment in 2019, 2018, 2017?
3. How many Part Time Employees (PT) did you have at your maximum employment in 2019, 2018, 2017?
4. How many Volunteers did you have in 2019, 2018, 2017?
  - a. How many total hours did volunteers work in your organization in 2019, 2018, 2017?
5. Did your organization utilize in-kind services?
  - a. If yes, how many times per year?
  - b. If yes, what is the total value of the in-kind services provided?
6. What was the number of people served who attended special programming? (after school programs, classes, etc.)
  - a. Number of Adults
  - b. Number of Children/ Young Adults
7. If known, how many “out of towners” did your organization service/ attract per year? (visitors outside of Winnebago, Boone, Ogle, or DeKalb Counties)
8. If applicable, how many of each type of ticket did you sell in 2019, 2018, 2017?
  - a. Child Tickets
  - b. Adult Tickets
  - c. Senior Tickets
9. How many free days did your organization have per year? How often?
  - a. If known, how many visitors did you have?
10. How many special events did your organization hold per year?
  - a. If known, how many attendees attended your biggest event?
11. What was your organizations total revenue in 2019, 2018, 2017?
  - a. Ticket sales
  - b. Grants
  - c. Membership fees
  - d. Other funding streams
12. What were your total expenses in 2019, 2018, 2017?
  - a. Please provide an expense breakdown
    - i. Rent/ mortgage for the organization
    - ii. Property taxes
    - iii. Total Payroll
      1. Salary
      2. Hourly
    - iv. Income taxes
    - v. Equipment purchased
    - vi. Office supplies
    - vii. Other
13. Did your organization outsource any services? (i.e. IT, Human Resources, Bookkeeping/ Accounting, Legal Services, Cleaning, Marketing/ Social Media, Web Development)
  - a. If so, how much money was spent on outsourcing in 2019, 2018, 2017?
    - i. Please provide a breakdown with the service and total amount spent in the year.
14. Is your organization planning on hiring new staff in 2021 or 2022?
  - a. If so how many?
    - i. Full Time Employee
    - ii. Part Time Employee
15. What municipality is your organization located in?
16. What zip code is your organization located in?

17. What zip codes does your organization serve?
18. What school/ school districts does your organization serve?
19. What school district do the majority of your students come from?

COVID-19 Impact Questions:

1. Did you close your doors/ shut down your operations in 2020?
  - a. If yes, for how many business days?
2. How many Full Time Employees (FT) did you have at your maximum employment in 2020?
3. How many Full Time Employees (FT) did you lose in 2020?
4. How many Part Time Employees (PT) did you have at your maximum employment in 2020?
5. How many Part Time Employees (PT) did you lose in 2020?
6. How many Volunteers did you have in 2020?
  - a. How many total hours did volunteers work in your organization in 2020?
7. Did your organization utilize in-kind services?
  - a. If yes, how many times in 2020?
  - b. If yes, what is the total value of the in-kind services provided?
8. What was the number of people served who attended special programming (in-person or online)? (after school programs, classes, etc.)
  - a. Number of Adults
  - b. Number of Children/ Young Adults
9. If known, how many “out of towners” did your organization service/ attract in 2020?
10. If applicable, how many of each type of ticket did you sell in 2020?
  - a. Child Tickets
  - b. Adult Tickets
  - c. Senior Tickets
11. How many free days did your organization have in 2020? How often?
  - a. If known, how many visitors did you have?
12. How many special events did your organization hold in 2020?
  - a. If known, how many attendees attended your biggest event?
13. What was your organizations total revenue in 2020 (excluding PPP loans and ERTC)?
  - a. Ticket sales
  - b. Grants
  - c. Membership fees
  - d. Other funding streams
14. Did your organization utilize any Paycheck Protection Program (PPP) loans?
  - a. If so, what was the total value of those loans?
15. Did your organization utilize the Employee Retention Tax Credit (ERTC) incentive?
  - a. If so, what is the total amount of credit your organization received?
16. What were your total expenses in 2020?
  - a. Please provide an expense breakdown
    - i. Rent/ mortgage for the organization
    - ii. Property taxes
    - iii. Total Payroll
      1. Salary
      2. Hourly
    - iv. Income taxes

- v. Equipment purchased
- vi. Office supplies
- vii. Other

17. Did your organization outsource any services? (i.e. IT, Human Resources, Bookkeeping/ Accounting, Legal Services, Cleaning, Marketing/ Social Media, Web Development)

- a. If so, how much money was spent on outsourcing in 2020?
  - i. Please provide a breakdown with the service and total amount spent in the year.

**Arts Council Condensed Survey Questions - Organizations**

1. What classification does your organization fall under: Performing Arts, Art Education, Theatre, Visual Arts, Museums, Other?
  - a. What is the name of your organization?
  - b. What town is your organization located in?
2. What was your organizations total Revenue for 2019, 2020?
3. What was your organizations total Expenses for 2019, 2020?
4. Did the employment within your organization decrease, remain the same, or increase from 2019 to 2020?
5. Did your organization receive the list of grant opportunities from the RAAC?
  - a. If yes, did your organization apply for any of these grants in 2020?
    - i. If yes, did your organization receive any funding from those grants?
      1. If known, how much?
6. Did your organization apply to any other grants in 2020?
  - a. If yes, what were the other grants applied for? If known, how much funding was received from those grants?
7. If applicable, was the majority of the funding received for programming or operating needs?
8. Does your organization still have funding needs resulting from the COVID-19 pandemic?
9. Did your organization offer online programming in response to the COVID-19 pandemic?
  - a. If yes, will your organization continue to offer online programming in the future?
10. Were there other areas of your business or organization that were greatly impacted by the COVID-19 pandemic?  
If yes, please explain.



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Date:	11/22/2022
Department:	Chief Joe Corl
Contact Person:	Marlana Dokken
Email:	
ORG code	TBD

## American Rescue Plan Phase IV

Note all requests must be reviewed by our compliance agents

Blackhawk Fire Protection District		
(1) New Ambulance (Including Powerload System)	300000	During COVID our personnel found themselves frequently delayed when transferring patient care to facility staff, sometimes for hours. Furthermore, after each suspected exposure we would decontaminate the ambulance upon our return to the station adding even more time spent out of service reducing our capabilities to respond. During these times our community was deprived of essential services should a need for an ambulance arise. The acquisition of a New Ambulance would allow our current aging ambulance to be moved in to a secondary response role providing a greater level of service capabilities to our community. It would also allow us to increase the service life of our ambulances by allowing the ability to rotate the ambulance between primary and secondary roles.
(1) New Power Load Compatible Cot	30000	With the potential addition of the power load system in the new ambulance a compatible cot will be required. These new cots will allow us to provide a more comfortable environment for the patient as they provide a significantly larger mattress for the patient to rest on. These new cots would also allow us to more easily decontaminate the surfaces as significant design changes have made the cot more accessible. This would improve overall infection control.
(1) LUCAS Automatic Chest Compression Device	23000	In an effort to make our staff more efficient, while reducing our risk of close physical contact with potential infected patients, the purchase of a LUCAS automatic chest compression device would allow our personnel to provide nearly hands free resuscitation of patients in cardiac arrest. The LUCAS also provides more consistent and effective compression without fatigue improving patient outcomes. Lastly, it will allow our providers to focus their attention on additional interventions creating an all around more efficient process.
Replacement of Failing Parking Lot	100000	As we altered our staffing model from Volunteer to a Combination Fire Department with on duty personnel daily in an effort to better provide services to our community we realized that our existing parking lot was in need for major repairs. Over the past two years we have significantly increased the traffic volume on the existing parking lot further degrading an already aged surface. Replacing the parking lot provides a much safer, level ground for both visitors and employees to navigate when entering and exiting the building.
(10) Portable Dual-Band Motorola Radios	110000	In an effort to improve communications and interoperability during responses we need to replace our aging portable radios. This will ensure personnel are effectively able to communicate during incidents with additional responding units as well as dispatch creating a safer environment in which notification can be both received and transmitted as clearly as possible.
(20) Complete Turnout Gear Ensembles (Coat, Pants, Boots, Gloves and Helmet) (4,000.00/each)	80000	During COVID we our organization experienced significant hardships created by only having one set of turnout gear. While working on emergency scene we would have known exposures to COVID positive patients. When personnel experience these exposures they would return to quarters and wash their turnouts which left the individual out of service until their turnout gear was clean and dry. This resulted in decreasing staffing for the duration of this process. By purchasing each member a second set of Turnouts we would increase our efficiency and keep our personnel healthy. Not to mention the benefits this would create in our effort to reduce carcinogen exposure as well.
(6) Ballistic Vests Universal Fit with Rifle Plates	7300	Since COVID our agency has seen a significant increase in violent crimes involving the use of weapons. Currently we have no ballistic protection for our personnel and in the event of having to render aid during an active incident our responders would be unprotected.
Replacement of Existing Carpet Flooring throughout Station	25000	In light of COVID we found it difficult to ensure proper decontamination of the carpeting throughout the station. By replacing the carpet we could further reduce the risk of infection by ensuring a more thorough decontamination process.
New Self Contained Breathing Apparatus	115000	Our current SCBA's are nearing the end of their useful life. In order to provide safe and effective respiratory protection during fires or high risk exposures to airborne pathogens an SCBA provides the most comprehensive protection. As our current SCBA age we often find them needing repairs, reducing our capabilities.
		<b>790,300</b>

Cherry Valley Fire Protection District		
Remove and Replace asphalt in parking lot and training grounds	747941	Necessary to continue to have training in a safe environment
Apexsee Apparatus Bay at Station 1	62472	Necessary to eliminate slips, trips and falls.
Update sprinkler system at Station #2	60000	Provides a safe work environment.
Pierce Enforcer PUC Rescue Pumper	857900	Allow first responders to respond to emergency.
Horton Ambulance	424997	Allows Cherry Valley Fire District to transport patient to the hospital.
		<b>2,153,310</b>

Harlem Roscoe Fire Protection District		
Zoll 12 lead Monitor/ Defibrillator	41314.75	Necessary for our fourth ambulance as that monitor is old and not supported by Zoll anymore. We had been able to purchase 3 previously for our other ambulances.
Video Laryngoscope	33159	To improve intubation of airways of patients who are not breathing so that paramedics can secure an airway for administration of oxygen.
Mobile Radios	22916	17 mobile radios 4 of which are in our ambulances that need upgrading to comply with the updated frequencies now used.

Ambulance	326963	We have a fleet of 4 ALS ambulances. We purchased 1 in 2020 and another in 2021. Our oldest ambulance now has 154000 miles on it and our calls for service continue to rise along with the need for increased staffing of personnel. The time frame for delivery is 20 - 22 months henceforth that ambulance would be around 17500 miles. Our personnel need the tools to do their job.
Stryker Power Load Cots	140288	Power load cots for our fleet of ambulances. With 2 personnel on an ambulance will assist in loading patient into ambulance while minimizing injury to personnel.
Battery-powered ventilation fans	28225	Allow for ventilation of toxic areas of smoke, natural gas, etc.
Battery hydraulic cutters/spreaders	67489	A tool used for rescuing people out of vehicles, machinery, etc.
Hand tools	4914	Misc. tools to assist personnel in various call situations.

665,269

<b>North Park Fire Protection District</b>		
Replace department's mobile and portatable radio equipment	312,000	This equipment is mission critical to insure personnel and apparatus respond in a timely manner and safely. Current equipment is over 17 years old
Add additional EMS equipment to improve response capabilities, including two LUCAS CPR devices, one 12 lead cardiac monitor, as well as associated required equipment.	87,200	This will allow us to equip our second in service ambulance at the same level as our primary, as well as equip a Deputy Chief vehicle to be capable of advance life support response.
Purchase and equip two pickup trucks to act as incident command response vehicles to replace worn and aging existing vehicles.	142,900	This is to purchase the vehicle, bed cap, lights, sirens and all required equipment to make two turn key ready response vehicles.
Station alerting equipment to improve response times, as well as specialized HVAC equipment for new station to allow for better air purification to protect our staff on duty.	515,600	This will assist with equipping our new fire station (currently in the design phase) with state of the art alerting equipment for call notification, as well as HVAC equipment that protects personnel for the next pandemic.

1,057,700

<b>Northwest Fire Protection District</b>		
Addition to Northwest Fire Station 1, 3222 N. Central Ave., Rockford, IL	1500000	Funds will help us put an addition on our fire station. This will help bring our fire station up to NFPA standards, and allow room for an industrial washer and dryer, allow room to properly clean and disinfect vehicles and equipment. It will allow enough room to add separate rooms for turnout gear to prevent cross contamination from vehicles to personnel's gear. It will allow us to build a storm shelter to protect first responders responding to weather related emergency calls. These funds will allow us to add male and female shower rooms for showering and cleaning after certain calls to prevent taking contaminates home to spread to friends and family members. In addition, this money will allow us not to have to put the burden of cost on our taxpayers. We have limited funds and are capped at a certain tax amount. We cannot get more money from our taxpayers. We have no income from sales tax. What we get is what we get and are on an extremely limited budget to fund the capital improvements that need to be completed at the fire station for the safety of our firefighters and their families. We work on an extremely small budget. That small budget has to maintain two fire stations, multiple pieces of apparatus, pay for training classes, pay for firefighters, gear and uniforms, firefighting equipment, etc. Funds like this are not in our budget without having to take a loan or pass a bond referendum and put the cost on our taxpayers. These funds would tremendously help our department. This building was constructed in the 1950s-1960s, and while there has been some work done to it through the years, there is still much more that needs to be done to make it safe and effective for the personnel. While our District has been fiscally responsible through the years, the major capital improvements that need to be done to our fire stations, and the apparatus that needs to be purchased, would put a major strain on the financial needs of our department with such a small and limited budget.
Remodel to Northwest Fire Station 2, 6414 Old River Road, Rockford, IL	1500000	Funds will help us put an addition on our fire station. This will help bring our fire station up to NFPA standards, and allow room for an industrial washer and dryer, allow room to properly clean and disinfect vehicles and equipment. It will allow enough room to add separate rooms for turnout gear to prevent cross contamination from vehicles to personnel's gear. It will allow us to build a storm shelter to protect first responders responding to weather related emergency calls. These funds will allow us to add male and female shower rooms for showering and cleaning after certain calls to prevent taking contaminates home to spread to friends and family members. In addition, this money will allow us not to have to put the burden of cost on our taxpayers. We have limited funds and are capped at a certain tax amount. We cannot get more money from our taxpayers. We have no income from sales tax. What we get is what we get and are on an extremely limited budget to fund the capital improvements that need to be completed at the fire station for the safety of our firefighters and their families. We work on an extremely small budget. That small budget has to maintain two fire stations, multiple pieces of apparatus, pay for training classes, pay for firefighters, gear and uniforms, firefighting equipment, etc. Funds like this are not in our budget without having to take a loan or pass a bond referendum and put the cost on our taxpayers. These funds would tremendously help our department. This building was constructed in the 1950s-1960s, and while there has been some work done to it through the years, there is still much more that needs to be done to make it safe and effective for the personnel. While our District has been fiscally responsible through the years, the major capital improvements that need to be done to our fire stations, and the apparatus that needs to be purchased, would put a major strain on the financial needs of our department with such a small and limited budget.

New Fire Engine to replace 24-year old Engine	800000	One of our fire engines is a 1998 engine, and is in need of being replaced. It was found during the pandemic that due to the age of the vehicle, it was extremely difficult to sanitize and clean the inside of the engine after calls. New fire engines are designed to be cleaned and disinfected easier to prevent cross contamination. They are designed with 'clean cabs' that have room in the back of the engines for turnout gear and uniforms that may have been contaminated during a call, and easier to be cleaned. Our engine is nearly 25 years old, and not even taking into account the amount of money that it is costing our District to maintain such an old piece of equipment, we found over the course of the pandemic that it was impossible to clean and disinfect the cab and prevent cross contamination of equipment.
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3,800,000

<b>Win-Bur-Sew FPD</b>		
2024 F 550 Ford/Horton Ambulance	396266	Replace 2010 ambulance with 2023-2024 model
Combination Fire Truck/tender	650000	Replace 1993 3000 gal tanker and 1996 engine with combination truck meeting needs of both vehicles
Mobil, portable radios, base station	50000	13 (\$1545 ea.) mobile radios, 30 portable radios (\$450) ea. to replace ageing radio system. Installation, batteries, chargers
Fire turn out gear	35000	Per NFPA turn out gear ages out at 10 years. Seeking 10 sets to replace gear in need of retirement in next 5 years
AMKUS battery powered Extrication tools	45845	Battery powered extrication tools are more versatile with increased mobility vs using hydraulic tools dependent on pumps with hoses
Fire Hose (1.75", 2", 2.5", 3", 5" ) 700' of each dimension ;Total 3500'	21035	Replace aged fire hose which is in need of replacement to enhance safety on fire scenes
Zoll Cardiac Monitor (2)	69210	Replace two cardiac monitors, which are 10 years old, with updated technology and capability

1,267,356

<b>New Milford Fire Protection District</b>		
(1) New Ambulance (Including Powerload System)	300000	During COVID our personnel found themselves frequently delayed when transferring patient care to facility staff, sometimes for hours. Furthermore, after each suspected exposure we would decontaminate the ambulance upon our return to the station adding even more time spent out of service reducing our capabilities to respond. During these times our community was deprived of essential services should a need for an ambulance arise. The acquisition of a New Ambulance would allow our current aging ambulance to be moved in to a secondary response role providing a greater level of service capabilities to our community. It would also allow us to increase the service life of our ambulances by allowing the ability to rotate the ambulance between primary and secondary roles.
(1) New Power Load Compatible Cot	30000	With the potential addition of the power load system in the new ambulance a compatible cot will be required. These new cots will allow us to provide a more comfortable environment for the patient as they provide a significantly larger mattress for the patient to rest on. These new cots would also allow us to more easily decontaminate the surfaces as significant design changes have made the cot more accessible. This would improve overall infection control.
(1) LUCAS Automatic Chest Compression Device	23000	In an effort to make our staff more efficient, while reducing our risk of close physical contact with potential infected patients, the purchase of a LUCAS automatic chest compression device would allow our personnel to provide nearly hands free resuscitation of patients in cardiac arrest. The LUCAS also provides more consistent and effective compression without fatigue improving patient outcomes. Lastly, it will allow our providers to focus their attention on additional interventions creating an all around more efficient process.
Station Upgrades and Automation (Replacement of tile flooring throughout the station, painting, transition to touchless lighting/eliminate switches)	40000	As we altered our staffing model from Volunteer to a Combination Fire Department with on duty personnel daily in an effort to better provide services to our community we realized that our existing environment was not conducive to a high quality of life for the personnel on duty. The station has undergone nearly no improvements since it was originally built in 1999. The tile flooring is cracking creating a tripping/slipping hazard. Furthermore it makes it difficult to clean the flooring properly to ensure it is being disinfected. Painting throughout the station is needed to improve the aesthetics as well as improve our ability to maintain a clean environment. Having properly painted walls would improve our ability to disinfect the hard walls. These funds would also be used to replace the existing light switches to a more automated system using infrared sensors, when people enter or exit a room the lights would automatically come on. This improves overall safety as well as reducing the risk of cross contamination to pathogens as multiple people a day will no longer have to physically turn on and off lights.
(10) Portable Dual-Band Motorola Radios	110000	In an effort to improve communications and interoperability during responses we need to replace our aging portable radios. This will ensure personnel are effectively able to communicate during incidents with additional responding units as well as dispatch creating a safer environment in which notification can be both received and transmitted as clearly as possible.
(20) Complete Turnout Gear Ensembles (Coat, Pants, Boots, Gloves and Helmet) (4,000.00/each)	80000	During COVID we our organization experienced significant hardships created by only having one set of turnout gear. While working on emergency scene we would have known exposures to COVID positive patients. When personnel experience these exposures they would return to quarters and wash their turnouts which left the individual out of service until their turnout gear was clean and dry. This resulted in decreasing staffing for the duration of this process. By purchasing each member a second set of Turnouts we would increase our efficiency and keep our personnel healthy. Not to mention the benefits this would create in our effort to reduce carcinogen exposure as well.
(6) Ballistic Vests Universal Fit with Rifle Plates	7300	Since COVID our agency has seen a significant increase in violent crimes involving the use of weapons. Currently we have no ballistic protection for our personnel and in the event of having to render aid during an active incident our responders would be unprotected.
Vehicle Stabilization Equipment	30000	During COVID we have seen an increase in vehicle accidents as well as the severity of accidents that occur. During Vehicle Extrication it is essential that the vehicle be properly stabilized. With the increase of the incidents we would like to add stabilization struts and airbags to our inventory to maximize our capabilities to manage these incidents.

New Self Contained Breathing Apparatus	115000	Our current SCBA's are nearing the end of their useful life. In order to provide safe and effective respiratory protection during fires or high risk exposures to airborne pathogens an SCBA provides the most comprehensive protection. As our current SCBA age we often find them needing repairs, reducing our capabilities.
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735,300

<b>Pecatonica Fire Protection District</b>		
Fire Station Addition	150000	Add additional apparatus bays and crew quarters to the existing Pecatonica Station.
Rescue/Pumper	800000	Upgrade older existing engine and rescue trucks to one new Rescue/Pumper.
Handheld Radios/Mobil Repeater System	100000	Eliminate poor or dead spots of radio reception in the fire district.
Cardiac Monitors/Stryker Gurneys	130000	Upgrade old and tired equipment on ambulances to new technology and better ergonomics.

2,530,000

<b>Rockton Fire Protection District</b>		
1 - 2024 Ford F550 Ambulance	380,000	Replacement of 2014 ambulance / twenty-three month build time and delivery. Ambulance delivered in 2024.
1 - 2024 Ford F550 Ambulance	380,000	Replacement of 2016 ambulance / twenty-three month build time and delivery. Ambulance delivered in 2024.

760,000

<b>West Suburban Fire Department</b>		
Alexis Demo #2491 Equalizer Pumper Truck	534000	Replace 2007 Spartan pumper with bigger tank truck. There are very few fire hydrants in the district to help with water in fires.
10 SCBA air pack sets	97039	Replace outdated air systems for Fire Fighters
Replace outdated portable radios	109175.1	10 portable radios with batteries and chargers
Fire turn out gear	35000	Per NFPA turn out gear ages out at 10 years. Seeking 10 sets to replace gear in need of retirement in next 5 years
2 Covert Armor Protective Vests	3448.92	One size fits all protective vests for personnel assisting on violent force scenes
EW 30 Extractor w/Decon Ready Starter package and Firehouse Mobil Dryer	15405.9	Ability to decontaminate trucks and equipment after an exposure
2 X Series Advanced Monitor/Defibrillators	70766.08	Replace older defibrillators with modern technology and better in field capabilities
LUCAS 3,v3.1 Chest Compression System	15086.78	Provide a second device to be used on second medical response vehicle

879,922

<b>Winnebago County Fire Protection Dist. #1</b>		
upgrade radios to 100 watt digital mobile radios in all rigs / digital portables & pagers	398200	Provide adequate communication capabilities throughout our district and mutual aid areas within our division. Would also provide for interoperable communications with other disciplines in the event of a large scale incident or disaster.
Power-PRO power lift patient cot for ambulance	28750	Only 2 operators are needed to move/load a loaded patient cot into the ambulance. With limited personnel, remote district, and possible Covid-19 exposure keeping number of personnel who have patient contact is critical.
Station Restroom Remodel-Shirland	30000	Restrooms are showing age and would like to have them more aesthetically appealing for our personnel as well as outside community and visitors to our station.
Station Flooring-Shirland	102435	Bay area and training room. Allow for better footing and maintenance to keep personnel and apparatus in areas of safety.
Extrication Equipment	38900	Upgrade outdated equipment that would allow for faster and more efficient extrication of victims on scene.
Re-surface west parking lot-Durand	9500	Asphalt parking area at Durand Station is cracked and broken needing replacement.
UTV Rescue Apparatus, Small pump, lights, stokes basket and trailer	125000	Provide better service to victims that are in hard to reach areas in wooded or rural areas where large apparatus are unable to access.
X Series Zoll Cardiac Monitor	35383.04	The Level 1 Trauma Center and closest hospital with a cath lab for cardiac patients for our District has changed. The hospital we had previously transported these patients to has reduced its level of service. Our new facility is now 20 minutes farther away. With this increased transport time having the ability to transmit a 12 lead heart rhythm saves valuable time which gives the patient a greater chance of survival and a positive outcome.
LUCAS 3 v3.1 CPR Chest Compression System	15087	Because our transport time to the closest appropriate hospital is 30-45 minutes, if we transport a patient in cardiac arrest we have great difficulty performing quality CPR in the back of an ambulance for such a long period of time. Due to staff shortages we many times are unable to get additional personnel to assist with this task, so having this item allows for the best outcome for treatment of the patient.
Firefighter turnout gear / PPE (28 sets)	83359.36	Firefighter turnout gear has a 10 year service life. We have to be able to provide serviceable personal protective equipment (PPE) for all of our personnel to have adequate protection for all fire and EMS personnel.

866,614

<b>TOTAL REQUEST</b>	<b>\$15,505,770.93</b>	
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General Fund Capital Budget Request 2023				
Fund	ORG	Item Requested	Cost	Purpose
0001	Admin	Replace HVAC CPU's are no longer supported (Obsolete)	50,000	The CPU's are 21 years old. There are multiple in the Administration Building that need to be replaced
0001	Admin	Replace Carpet	60,000	The Administration Buildings carpet is 19 years old
0001	Admin	New Truck	55,000	Need to move people and goods to County Buildings.
0001	Admin	Replace Fire Panel may have to replace devices	30,000	Administration Building Fire Panel is 20 years old. It is an addressable fire panel that is no longer supported for repairs and upgrades.
0001	Admin	New Truck and Plow	56,000	Replace truck and Plow
0001	Health Dept	Replace HVAC CPU's are no longer supported (Obsolete)	50,000	
0001	Health Dept	Replace Fire Panel	60,000	
0001	Highway Department	New Garage	2,500,000	Build a garage to house trucks and road equipment
0001	River Bluff	Replace Heating Coils	45,000	
0001	River Bluff	Replace Cooling Coils	45,000	
0001	River Bluff	Add HVAC Control to Air handlers	85,000	
0001	River Bluff	Replace 1998 John Deere Tractor with attachments mower deck/ bucket/ hood guard/ canopie/ turf tires	52,000	Mowing and Snow Removal
0001	River Bluff	Replace pnumatic room thermostats with electronic	75,000	
0001	River Bluff	Replace Steam Kettles	90,000	
0001	River Bluff	Replace humidifiers	35,000	
0001	River Bluff	Replace Sheet Pans on Air Handlers	75,000	
0001	River Bluff	Repair Underground Collapsed Ducts E 1hall 402 to 407	40,000	Abate Asbestos Tile and Mastic. Cut into Floors, Replace Collapsed Duct Work
0001	River Bluff	Reroof Paito A/B gazebo	30,000	
0001	Supervisor of Assessments	ADA Compliant Automatic Door Opener	3,000	Employee and Taxpayer accessibility
0001	Supervisor of Assessments	Security Badge Access employee entrance doors x 2	3,000	Improve Office security and ease of employee access

Total Request from General Fund      \$    3,439,000

Budgeted Excess Reserve:                      \$    39,319,000



Public Safety Sales Tax Capital Budget Request 2023				
Fund	ORG	Item Requested	Cost	Purpose
0101	Circuit Court	Jury box construction	60,000	Increase courtroom functionality Pretrial Fairness Act Operational Changes
0101	CJC	Replace HVAC CPU's are no longer supported (Obsolete)	80,000	The CJC CPU's are the same era as the rest of the County Buildings. There are multiple located through out the CJC and the jail that need to be replaced
0101	CJC	Chiller Rebuild	95,000	CJC chillers located in the power plant are 15 years old.
0101	CJC	Replace Carpet and Flooring	55,000	The carpet is just worn from all the traffic
0101	CJC	Energy Recovery Wheel Retro-Fit	300,000	The CJC energy recovery wheels located in AHU J3.1 and J3.3 need the medium replaced for heat and cold transfer between duct work
0101	CJC	Obsolete Elevator Brakes	60,000	Elevator 1 will need to budget the rest one or two each year
0101	Coroner	Coroner Vehicles X 3	200,000	Selling current vehicles and moving around other vehicles to add one to the fleet for deputies
0101	Coroner	Office Furniture	15,000	Previous furniture was owned by former employee and taken when they left
0101	Coroner	Office Equipment	100,000	Electric power cot, Surface Pro tablets, tactical bag, crime scene barriers, safety, flashlights, fire protection gear
0101	Courthouse	Replace HVAC CPU's	50,000	The CPU's are 21 years old. There are multiple located through out the Courthouse that need to be replaced
0101	Courthouse	Replace Carpet	90,000	Some of the carpet in the Courthouse is 21 years old. Other carpet is just worn from all the traffic
0101	Courthouse	Elevator Controllers are no longer supported (Obsolete)	850,000	All 3 Courthouse Elevator controls will need to be replaced. Parts or computer boards are no longer available. Will become a safety issue soon.
0101	Courthouse	Replace Fire Panel may have to replace devices	50,000	The Courthouse Fire Panel is 20 years old. It is an addressable fire panel that is no longer supported for repairs and upgrades.
0101	JJC	Replace HVAC CPU's are no longer supported (Obsolete)	50,000	
0101	JJC	Repave North Lot	150,000	
0101	JJC	Repave South Lot	150,000	
0101	JJC	Replace Roof	100,000	



Public Safety Sales Tax Capital Budget Request 2023				
Fund	ORG	Item Requested	Cost	Purpose
0101	JJC	Replace Cooling Tower	150,000	
0101	Juvenile Det	Replace HVAC CPU's are no longer supported (Obsolete)	50,000	
0101	Juvenile Det	Repave Parking Lot	250,000	
0101	Old Courthouse	Elevator Controllers are no longer supported (Obsolete)	550,000	All 3 Old Courthouse Elevator controls will need to be replaced. Parts or computer boards are no longer available. Will become a safety issue soon.
0101	Old Courthouse	Replace Fire Panel may have to replace devices	25,000	The Old Courthouse Fire Panel is 20 years old. It is an addressable fire panel. It is no longer supported for repairs and upgrades.
0101	Old Courthouse	Replace HVAC CPU's	30,000	The CPU's are 21 years old. There are multiple located through out the Old Courthouse that need to be replaced
0101	Public Defender	Interior Door in Room 244 in th Courthouse	3,000	Allow the PD's Office to Convert unused room 233 into a larger break/ lunch room and conver old break room to office space
0101	Sheriff	Replace four (4) detective squad cars (unmarked)	157,680	Replace and outfit 4 Detective unmarked Vehicles.
0101	Sheriff	Patrol Boat	60,000	New Boat is needed for the River Patrol. This is needed as a back-up for the current boat (2013 Well Craft). This boat will be used to patrol and conduct river rescues in shallow water ways, which we are unable to do with the current boat.
0101	Sheriff	X-Ray System for Bomb Squad	60,000	Purchase a complete portable x-ray system including all the necessary accessories plus a two year warranty. Our Bomb Squad cover approximately a 10 County area and has 6 Bomb Technicians. This machines would add to the capabilities the current machines does not have.
0101	Sheriff	Axon Cameras/Recording System	194,512	Need to update the eight (8) Interview Rooms and two (2) Holding Cells with Axon Cameras and Recording System. The current system is outdated. This amount can be paid over a five (5) year period.
0101	Sheriff	Axon Body Camera for Detective Bureau	106,586	Effective January 1, 2023 the new state law requires all employees in the Detective Bureau to wear Body Cameras (24 Cameras)

Public Safety Sales Tax Capital Budget Request 2023				
Fund	ORG	Item Requested	Cost	Purpose
0101	Sheriff	New/Used Bomb Vehicle	80,000	In need of a new/used bomb squad vehicle. The current vehicle is from 2002 and out of date.
0101	Sheriff	6 New Patrol vehicles (\$60,000.00 per unit which includes equipment)	360,000	Replace 6 vehicles each year to replace aging vehicles. Instead of replacing the whole patrol fleet at one time.
0101	Sheriff	Aruba Wireless Access Points	26,375	PER COUNTY IT: Replace Legacy WAPs in DB, Admin, 911, EOC, etc.
0101	Sheriff	RMS/CAD	190,501	PER COUNTY IT: Inform RMS/CAD/Mobile Project Manager
0101	Ware Building	Replace HVAC CPU's are no longer supported (Obsolete)	50,000	
0101	Ware Building	Replace Fire Panel will have to replace devices	95,000	
0101	Ware Building	Replace RTU	100,000	
0101	Ware Building	Replace Carpet and Flooring	45,000	
0101	Ware Building	Replace Roof Add More Roof Drains	200,000	

Total Request from Public  
Safety Sales Tax                      \$    5,238,654

Budgeted Excess Reserve        \$    9,190,000



## Resolution Executive Summary

**Prepared By:** Lafakeria S. Vaughn  
**Committee:** Finance Committee  
**Committee Date:** December 15, 2022  
**Resolution Title:** Resolution Authorizing County Contribution for State's Attorneys Appellate Prosecutor's Program  
**County Code:** Not Applicable  
**Board Meeting Date:** December 22, 2022

**Budget Information:**

<b>Was item budgeted?</b> Yes	<b>Appropriation Amount:</b> \$41,000
<b>If not, explain funding source:</b> N/A	
<b>ORG/OBJ/Project Code:</b>	<b>Budget Impact:</b> None

**Background Information:** The Office of the State's Attorneys Appellate Prosecutor was created to provide services to the State's Attorneys in Counties containing fewer than 3,000,000 inhabitants. The funding for the Office is funded two-thirds by the State and one-third from the respective Counties that allocate funds. The Office provides various services to the State's Attorney's Office including filing of appellate briefs for appeals, assistance in the prosecution of certain drug cases, labor negotiations, and tax objections. These services are based on the request of the State's Attorney. The allocated amount for the County is \$41,000 and is budgeted each year in the State's Attorney's budget.

Due to severe Agency procurement/supply chain constraints, the Board of Governors of the State's Attorneys Appellate Prosecutor voted unanimously to keep the payment categories the same as the prior period.

**Recommendation:** Continue to fund the State's Attorneys Appellate Prosecutor's Program

**Contract/Agreement:** Invoice from the State for \$41,000

**Legal Review:** Request for funding submitted from the State's Attorney's Office

**Follow-Up:** N/A

**RESOLUTION  
OF THE  
COUNTY BOARD OF THE COUNTY OF WINNEBAGO, ILLINOIS**

2022 CR \_\_\_\_\_

SUBMITTED BY: FINANCE COMMITTEE

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**RESOLUTION AUTHORIZING COUNTY CONTRIBUTION FOR STATE'S  
ATTORNEYS APPELLATE PROSECUTOR'S PROGRAM**

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**WHEREAS**, the Office of the State's Attorneys Appellate Prosecutor was created to provide services to State's Attorneys in Counties containing fewer than 3,000,000 inhabitants; and

**WHEREAS**, the powers and duties of the Office of the State's Attorneys Appellate Prosecutor are defined and enumerated in the "State's Attorneys Appellate Prosecutor's Act," 725 ILCS 210/1, *et seq.* as amended; and

**WHEREAS**, the Illinois General Assembly appropriates monies for the ordinary and contingent expenses of the Office of the State's Attorneys Appellate Prosecutor, one-third from the State's Appellate Prosecutor's County Fund and two-thirds from the General Revenue Fund, provided that such funding receives approval and support from respective Counties eligible to apply; and

**WHEREAS**, the Office of the State's Attorneys Appellate Prosecutor shall administer the operation of the appellate offices so as to insure that all participating State's Attorneys continue to have final authority in preparation, filing, and arguing of all appellate briefs and any trial assistance.

**NOW, THEREFORE BE IT RESOLVED**, by the County Board of the County of Winnebago, in regular session, this 22<sup>nd</sup> day of December, 2022, does hereby support the continued operation of the Office of the State's Attorneys Appellate Prosecutor and designates the Office of the State's Attorneys Appellate Prosecutors as its Agent to administer the operation of the appellate offices and process said appellate court cases for the County.

**BE IT FURTHER RESOLVED**, that the attorneys employed by the Office of the State's Attorneys Appellate Prosecutor are hereby authorized to act as Assistant State's Attorneys on behalf of the State's Attorney of the County of Winnebago in the appeal of all cases when requested to do so by the State's Attorney, and with the advice and consent of the State's Attorney prepare, file, and argue appellate briefs for those cases; and also, as may be

requested by the State's Attorney, to assist in the prosecution of cases under the Illinois Controlled Substances Act, the Cannabis Control Act, the Drug Asset Forfeiture Procedure Act and the Narcotics Profit Forfeiture Act. Such attorneys are further authorized to assist the State's Attorney in the State's Attorneys duties under the Illinois Public Labor Relations Act, including negotiations thereunder, as well as in the trial and appeal of tax objections.

**BE IT FURTHER RESOLVED**, that the Office of the State's Attorneys Appellate Prosecutor will offer Continuing Legal Education training programs to the State's Attorneys and Assistant State's Attorneys.

**BE IT FURTHER RESOLVED**, that the attorneys employed by the Office of the State's Attorneys Appellate Prosecutor may also assist the States Attorney of the County of Winnebago in the discharge of the State's Attorneys duties in the prosecution and trial of other cases, and may act as Special Prosecutor if duly appointed to do so by a court having jurisdiction.

**BE IT FURTHER RESOLVED**, that if the Office of the State's Attorneys Appellate Prosecutor is duly appointed to act as Special Prosecutor in the County of Winnebago by a court having jurisdiction to do so, the County will provide reasonable and necessary clerical and administrative support on an as-needed basis.

**BE IT FURTHER RESOLVED**, that the County Board of the County of Winnebago hereby agrees to participate in the service program of the Office of the State's Attorneys Appellate Prosecutor for Fiscal Year 2023, commencing December 1, 2022 and ending November 30, 2023, by hereby appropriating the sum of \$41,000.00 as consideration for the express purpose of providing apportion of the funds required for financing the operation of the Office of the State's Attorneys Appellate Prosecutor and agrees to deliver the same to the Office of the State's Attorneys Appellate Prosecutor on request during the Fiscal Year 2023.

**BE IT FURTHER RESOLVED**, that the Clerk of the County Board is hereby authorized to prepare and deliver certified copies of this Resolution to the Winnebago County State's Attorney and to the Director of the Office of the State's Attorneys Appellate Prosecutor.

**Respectfully submitted,**

**FINANCE COMMITTEE**

**AGREE**

\_\_\_\_\_  
John Butitta, Chairman

\_\_\_\_\_  
Jaime Salgado

\_\_\_\_\_  
Jean Crosby

\_\_\_\_\_  
Keith McDonald

\_\_\_\_\_  
Joe Hoffman

\_\_\_\_\_  
John F. Sweeney

\_\_\_\_\_  
Michael Thompson

**DISAGREE**

\_\_\_\_\_  
John Butitta, Chairman

\_\_\_\_\_  
Jaime Salgado

\_\_\_\_\_  
Jean Crosby

\_\_\_\_\_  
Keith McDonald

\_\_\_\_\_  
Joe Hoffman

\_\_\_\_\_  
John F. Sweeney

\_\_\_\_\_  
Michael Thompson

The above and foregoing Resolution was adopted by the County Board of the County of Winnebago, Illinois, this \_\_\_\_ day of \_\_\_\_\_, 2022.

\_\_\_\_\_  
Joseph V. Chiarelli, Chairman of the  
County Board of the  
County of Winnebago, Illinois

ATTEST:

\_\_\_\_\_  
Lori Gummow, Clerk of the  
County Board of the  
County of Winnebago, Illinois



# STATE'S ATTORNEYS APPELLATE PROSECUTOR

Administrative Office • 725 South Second Street • Springfield, IL 62704 • 217-782-1628 • Fax 217-782-6305

PATRICK J. DELFINO  
DIRECTOR

BEN GOETTEN  
CHAIRMAN

November 14, 2022

Honorable J. Hanley  
Winnebago County State's Attorney  
Winnebago County Courthouse  
400 W. State Street, Suite 619  
Rockford, Illinois 61101

## COLLECTION OF COUNTY MATCHING FUNDS December 1, 2022 - November 30, 2023

County contribution for participation in the State's Attorneys Appellate Prosecutor's Program.

AMOUNT DUE: \$41,000.00

Make check payable to **State's Attorneys Appellate Prosecutor's County Fund** and remit to:

Gloria Mundy  
Chief Fiscal Officer  
State's Attorneys Appellate Prosecutor  
725 South Second Street  
Springfield, Illinois 62704

For questions please contact Gloria Mundy at 217-782-1632 or [gmundy@ilsaap.org](mailto:gmundy@ilsaap.org).

**PLEASE NOTE: A signed resolution must be returned to the Agency as soon as possible. The resolution serves as your contract with the Agency and must be kept by the Agency for auditing purposes.**

PLEASE SUBMIT PAYMENT TO THE AGENCY FOR YOUR **COUNTY CONTRIBUTIONS ONLY** ... do not include payment for any other billing statement such as for special prosecution charges, cannabis fines, etc.



## Resolution Executive Summary

**Prepared By:** Winnebago County Sheriff's Office  
**Committee:** Finance Committee  
**Committee Date:** December 15, 2022  
**Resolution Title:** RESOLUTION AWARDING PURCHASE OF VEHICLE FOR ESDA COORDINATOR  
**County Code:** Winnebago County Purchasing Ordinance  
**Board Meeting Date:** December 22, 2022  
**Budget Information:**

<b>Was item budgeted?</b>	Yes - ARP Funded	<b>Appropriation Amount:</b> \$54,980.00
<b>Baker-Tilly ARP Compliance Review:</b> completed and approved		
<b>ORG/OBJ/Project Code:</b> 61300 – 46410 – RP073 <b>Budget Impact:</b> None - using ARP funds		

### Background Information:

The Sheriff's Office is requesting a vehicle for the ESDA Coordinator with ARP funds.

### Recommendation:

Sheriff Gary Caruana has approved the request to purchase this vehicle.

### Baker Tilly:

Approved the purchase as ARP compliant.

### Follow-Up:

Vehicle will be ordered once approved. We have been told by the dealership that it will be approximately 26 to 28 weeks to get the vehicles.



**R E S O L U T I O N**  
**of the**  
**COUNTY BOARD OF THE COUNTY OF WINNEBAGO, ILLINOIS**

Sponsored by: John Butitta, Committee Chairman

Submitted by: Finance Committee

2022 CR

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**RESOLUTION AWARDING PURCHASE OF VEHICLE FOR ESDA COORDINATOR**

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**WHEREAS**, the Winnebago County Sheriff requests a County vehicle for the ESDA Coordinator with ARP funds; and

**WHEREAS**, the Finance Committee of the County Board for the County of Winnebago, Illinois, has reviewed the Pricing Summary for Illinois Government Agency, received for the aforementioned vehicle and recommends awarding as proposed; and

**WHEREAS**, the Finance Committee has determined that the funding for the aforementioned purchase shall be paid as follows:

**61300 – 46410 - RP073**

**NOW, THEREFORE, BE IT RESOLVED**, by the County Board of the County of Winnebago, Illinois that the County is authorized to execute, on behalf of the County of Winnebago, a Purchase Order to MORROW BROTHERS FORD, INC., 1242 MAIN STREET, GREENFIELD, ILLINOIS, 62044 in the amount of \$54,980.00, as set forth in Resolution Exhibit A.

**BE IT FURTHER RESOLVED** that this Resolution shall be in full force and effective immediately upon its adoption and the Clerk of the County Board is hereby authorized to prepare and deliver certified copies of this Resolution to the Winnebago County Sheriff, Director of Purchasing, Finance Director, County Board Office and County Auditor.

Respectfully Submitted,  
**FINANCE COMMITTEE**

**AGREE**

**DISAGREE**

\_\_\_\_\_  
JOHN BUTITTA, CHAIRMAN

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JOHN BUTITTA, CHAIRMAN

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JAIME SALGADO

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JAIME SALGADO

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JEAN CROSBY

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JEAN CROSBY

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KEITH McDONALD

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KEITH McDONALD

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JOE HOFFMAN

\_\_\_\_\_  
JOE HOFFMAN

\_\_\_\_\_  
JOHN F. SWEENEY

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JOHN F. SWEENEY

\_\_\_\_\_  
MICHAEL THOMPSON

\_\_\_\_\_  
MICHAEL THOMPSON

The above and foregoing Resolution was adopted by the County Board of the County of

Winnebago, Illinois this \_\_\_\_\_ day of \_\_\_\_\_ 2022.

ATTESTED BY:

\_\_\_\_\_  
**JOSEPH CHIARELLI**  
CHAIRMAN OF THE COUNTY BOARD  
OF THE COUNTY OF WINNEBAGO, ILLINOIS

\_\_\_\_\_  
**LORI GUMMOW**  
CLERK OF THE COUNTY BOARD  
OF THE COUNTY OF WINNEBAGO, ILLINOIS

Prepared for:

ILLINOIS GOVERNMENT AGENCY  
Prepared by: Richie Wellenkamp  
11/14/2022



Morrow Brothers Ford, Inc. | 1242 Main Street Greenfield Illinois | 62044

2023 Expedition 4dr 4x4 XL (U1G)

Price Level: 315

Pricing Summary - Single Vehicle

	MSRP
<i>Vehicle Pricing</i>	\$57,030.00
<b>Subtotal</b>	\$57,030.00
<i>Discount Adjustments</i>	
Discount Adjustments	-\$2,050.00
<b>Total</b>	\$54,980.00

Customer Signature

Acceptance Date

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**Prepared for:**

ILLINOIS GOVERNMENT AGENCY

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11/14/2022



Morrow Brothers Ford, Inc. | 1242 Main Street Greenfield Illinois | 62044

**2023 Expedition 4dr 4x4 XL (U1G)**

Price Level: 315

**As Configured Vehicle**

Code	Description	MSRP
Base Vehicle		
U1G	Base Vehicle Price (U1G)	\$55,955.00
Powertrain		
998	Engine: 3.5L EcoBoost V6	Included
44U	Transmission: 10-Speed Automatic w/SelectShift	Included
STDGV	GVWR: 7,450 lbs	Included
Seats & Seat Trim		
L	Cloth Front Captain's Chairs	Included
	5-passenger seating. Includes 8-way power driver seat (power function for tilt and lumbar, manual recline), 4-way manual passenger seat (fore/aft, recline) and 2-way manually adjustable head restraints.	
Other Options		
PAINT	Monotone Paint Application	STD
122WB	122" Wheelbase	STD
STDRD	Radio: AM/FM Stereo w/MP3 Capable	Included
	Includes speed-compensated volume and 6 speakers.	
52L	Auto Start-Stop Removal	-\$50.00
	Required option.	
41H	Engine Block Heater	\$100.00
Emissions		
425	50 States Emissions System	STD
Exterior Color		
UM_03	Agate Black Metallic	N/C
Interior Color		

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Morrow Brothers Ford, Inc. | 1242 Main Street Greenfield Illinois | 62044

**2023 Expedition 4dr 4x4 XL (U1G)**

Price Level: 315

**As Configured Vehicle (cont'd)**

Code	Description	MSRP
LH_02	Black Onyx w/Cloth Front Captain's Chairs	N/C

**Fleet Options**

102A	<b>Equipment Group 102A SSV Package</b> <i>Includes:</i> - Engine: 3.5L EcoBoost V6 - Transmission: 10-Speed Automatic w/SelectShift - ControlTrac w/3.73 Axle Ratio <i>Includes eLSD (Electronic Limited-Slip Differential).</i> - 2-Speed Automatic 4WD <i>Includes neutral towing capability.</i> - GVWR: 7,450 lbs - Cloth Front Captain's Chairs <i>5-passenger seating. Includes 8-way power driver seat (power function for tilt and lumbar, manual recline), 4-way manual passenger seat (fore/aft, recline) and 2-way manually adjustable head restraints.</i> - Radio: AM/FM Stereo w/MP3 Capable <i>Includes speed-compensated volume and 6 speakers.</i> - Column Shifter - Vinyl Floor Covering - Vinyl 2nd Row Seating - Skid Plates - Center Console Delete <i>Deletes armrest and covered media bin.</i> - USB Port Delete <i>Deletes second row smart charging USB port - one (1) A and one (1) C in the back side of the center floor console.</i> - Manual Telescoping Steering Wheel Delete - Push Button Keyless Start Delete	-\$2,080.00
62E	<b>ControlTrac w/3.73 Axle Ratio</b> <i>Includes eLSD (Electronic Limited-Slip Differential).</i>  <i>Includes:</i> - 2-Speed Automatic 4WD <i>Includes neutral towing capability.</i>	Included
NONTR2	<b>Tires: P275/65R18 AT OWL w/536</b>	Included
64X	<b>Wheels: 18" Machined-Face Aluminum w/536</b> <i>Includes magnetic-painted pockets.</i>	Included
21B	<b>2nd Row 40/20/40 Cloth Bench Seat</b> 40/20/40 bench is the standard second row seat. The cloth feature is optional.	\$110.00
18B	<b>Black Platform Running Boards</b>	\$405.00
536	<b>Heavy-Duty Trailer Tow Package</b>	\$795.00

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**2023 Expedition 4dr 4x4 XL (U1G)**

Price Level: 315

**As Configured Vehicle (cont'd)**

<b>Code</b>	<b>Description</b>	<b>MSRP</b>
	<i>Includes:</i> <ul style="list-style-type: none"><li>- Wheels: 18" Machined-Face Aluminum w/536</li><li>- Includes magnetic-painted pockets.</li><li>- Tires: P275/65R18 AT OWL w/536</li><li>- 26mm Engine Radiator</li><li>- Integrated Trailer Brake Controller</li><li>- Pro Trailer Backup Assist</li><li>- Rear View Digital Camera</li><li>- Reverse Brake Assist</li></ul>	
<b>SUBTOTAL</b>		<b>\$55,235.00</b>
<b>Destination Charge</b>		<b>\$1,795.00</b>
<b>TOTAL</b>		<b>\$57,030.00</b>

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11/14/2022



Morrow Brothers Ford, Inc. | 1242 Main Street Greenfield Illinois | 62044

## 2023 Expedition 4dr 4x4 XL (U1G)

Price Level: 315

# Selected Equip & Specs

## Dimensions

- \* **Conventional Capacity: 9,200 lbs.**
  - Vehicle body length: 210"
  - Vehicle body height: 76"
  - Rear door opening width: 51"
  - Front track: 68"
  - Vehicle turning radius: 20'
  - Rear brake diameter: 13.5"
  - Interior cargo volume with seats folded: 64 cu.ft.
  - Headroom first-row: 42.0"
  - Leg room first-row: 43.9"
  - Shoulder room first-row: 64.9"
  - Hip room first-row: 62.2"
- \* **GCWR: 15,500 lbs.**
  - Vehicle body width: 80"
  - Rear door opening height: 33"
  - Wheelbase: 122"
  - Rear track: 67"
  - Front brake diameter: 13.0"
  - Interior cargo volume: 64 cu.ft.
  - Max interior cargo volume: 105 cu.ft.
  - Headroom second-row: 40.0"
  - Leg room second-row: 41.5"
  - Shoulder room second-row: 64.8"
  - Hip room second-row: 62.6"

## Powertrain

- 3.5L V-6 port/direct injection, DOHC, variable valve control, twin turbo, engine with 380HP
- Spark ignition system
- Torque: 470 lb.-ft. @2250 RPM
- Radiator
- Driver selectable drivetrain mode
- \* **Control-Trac automatic full-time 4WD**
  - Recommended fuel: regular unleaded
- \* **Electro-mechanical limited slip differential**
  - Auto locking hub control
- Engine cylinders: V-6
- Horsepower: 380 HP@5000 RPM
- \* **Engine block heater**
  - Auto stop-start engine
  - 10-speed automatic
  - Four-wheel drive
  - Easy Fuel capless fuel filler
  - All-speed ABS and driveline traction control
  - Electronic transfer case shift

## Fuel Economy and Emissions

- LEV3-ULEV50 emissions

## Suspension and Handling

- Standard ride suspension
- Gas-pressurized rear shock absorbers
- Gas-pressurized front shock absorbers

## Driveability

- 4-wheel disc brakes
- 4-wheel antilock (ABS) brakes
- Electronic parking brake
- Automatic brake hold
- Hill descent control
- Front and rear ventilated disc brakes
- Four channel ABS brakes
- Ford Co-Pilot360 - Post Collision Braking automatic post-collision braking system
- Brake assist system
- Hill start assist

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## 2023 Expedition 4dr 4x4 XL (U1G)

Price Level: 315

### Selected Equip & Specs (cont'd)

- Independent front suspension
- Front anti-roll bar
- Independent rear suspension
- Rear anti-roll bar
- Electric power-assist steering system
- Driver selectable steering effort
- Double wishbone front suspension
- Front coil springs
- Multi-link rear suspension
- Speed sensitive power steering
- Rack-pinion steering
- 2-wheel steering system

### Body Exterior

- Trailer wiring harness
- Running boards
- Roof rails
- Monotone paint
- Stainless steel side window trim
- Body-coloured door handles
- Grey front bumper rub strip
- Rear bumper step
- Black grille with chrome surround
- Standard style side mirrors
- Conventional right rear passenger door
- Active grille shutters
- 18 x 8.5-inch front and rear machined w/painted accents aluminum wheels
- \* **Pro Trailer Backup Assist trailer backup assist**
- 4 doors
- Clearcoat paint
- Black bodyside cladding
- Black windshield trim
- Body-coloured front bumper
- Body-coloured rear bumper
- Grey rear bumper rub strip
- Black door mirrors
- Conventional left rear passenger door
- Liftgate rear cargo door
- \* **P275/65RH18 AS BSW front and rear tires**

### Convenience

- Power door locks with 2 stage unlocking
- All-in-one remote fob and ignition key
- Power tailgate/rear door lock
- Smart device engine start control
- Power first-row windows
- Fixed interval rear windshield wipers
- Flip-up rear windshield
- Front beverage holders
- 15 beverage holders
- Rear door bins
- Retained accessory power
- Trip computer
- Keyfob activated door locks
- Auto-locking doors
- Cruise control with steering wheel mounted controls
- Day/Night rearview mirror
- Rear window cargo access keyfob release
- Rear window defroster
- Locking glove box
- Rear beverage holders
- Driver and passenger door bins
- Dashboard storage
- PRND in IP
- Over the air updates

### Comfort

- Automatic climate control
- Rear climate control system with separate controls
- Rear under seat climate control ducts
- Dual-zone front climate control
- Cabin air filter
- Auxiliary rear heater

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## 2023 Expedition 4dr 4x4 XL (U1G)

Price Level: 315

### Selected Equip & Specs (cont'd)

- Rear headliner/pillar climate control ducts
- Full headliner coverage
- \* **Full vinyl floor covering**
- Cloth rear seat upholstery
- Manual tilting steering wheel
- Cloth headliner material
- Vinyl door trim insert
- Full floor coverage
- Carpet rear seatback upholstery
- Leather steering wheel

### Seats and Trim

- Seating capacity: 5
- Driver seat with 8-way directional controls
- Height adjustable front seat head restraints
- Manual reclining driver seat
- Power driver seat fore/aft control
- Manual reclining passenger seat
- Fixed rear seats
- Rear seats with manual fore and aft
- Driver seat with 2-way power lumbar
- Metal-look console insert
- Bucket front seats
- Front passenger seat with 4-way directional controls
- Manual front seat head restraint control
- Power height adjustable driver seat
- Power driver seat cushion tilt
- Manual passenger seat fore/aft control
- Split-bench rear seat
- Foldable rear seat head restraints
- Cloth front seat upholstery

### Entertainment Features

- 2 total number of 1st row displays
- Primary monitor touchscreen
- Seek scan
- Auxiliary input jack
- Speakers number: 6
- Steering wheel mounted audio controls
- 8 inch primary LCD display
- AM/FM/Satellite-prep radio
- Radio data system (RDS)
- SYNC 4 external memory control
- Standard grade speakers
- SYNC 4 with Enhanced Voice Recognition voice activated audio controls
- Bluetooth wireless audio streaming
- Speed sensitive volume
- Window grid audio antenna

### Lighting, Visibility and Instrumentation

- Digital/analog instrumentation display
- In-radio display clock
- Exterior temperature display
- Gauge cluster display size (inches): 8.00
- Oil pressure gauge
- Voltmeter
- Aero-composite headlights
- Auto on/off headlight control
- Delay-off headlights
- DRL preference setting
- Trip odometer
- Compass
- Vehicle systems monitor
- Tachometer
- Engine/electric motor temperature gauge
- Deep tinted windows
- LED low and high beam headlights
- Multiple enclosed headlights
- Ford Co-Pilot360 - Auto High Beam auto high-beam headlights
- Variable intermittent front windshield wipers

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## 2023 Expedition 4dr 4x4 XL (U1G)

Price Level: 315

### Selected Equip & Specs (cont'd)

- Speed sensitive wipers
- Illuminated entry
- Variable instrument panel light
- High mounted center stop light
- Fade interior courtesy lights
- Front reading lights
- Rear reading lights
- Daytime running lights
- Remote activated perimeter approach lighting

### Technology and Telematics

- SYNC 4 Connected Navigation integrated navigation system with voice activation
- Travel Link real-time weather
- SYNC 4 handsfree wireless device connectivity
- FordPass Connect 4G mobile hotspot internet access
- SiriusXM Traffic real-time traffic
- SYNC 4 911 Assist emergency SOS system via mobile device
- SYNC 4 AppLink/Apple CarPlay/Android Auto smart device mirroring
- \* **1 USB port**

### Safety and Security

- Driver front impact airbag
- Safety Canopy System curtain first, second and third-row overhead airbags
- Seat mounted side impact front passenger airbag
- 6 airbags
- Front height adjustable seatbelts
- 2 seatbelt pre-tensioners
- Remote panic alarm
- Security system
- Ford Co-Pilot360 - BLIS (Blind Spot Information System)
- \* **Ford Co-Pilot360 - Reverse Brake Assist collision mitigation**
- Ford Co-Pilot360 - Driver Alert
- MyKey restricted driving mode
- Electronic stability control system with anti-roll
- Manual rear child safety door locks
- Seat mounted side impact driver airbag
- Passenger front impact airbag
- Airbag occupancy sensor
- Rear seat center 3-point seatbelt
- Front seatbelt pretensioners
- SecuriLock immobilizer
- Vehicle tracker
- Lane Keeping Alert
- Ford Co-Pilot360 - Pre-Collision Assist with Pedestrian Detection
- Ford Co-Pilot360 - Pre-Collision Assist with Pedestrian Detection
- Following distance alert
- \* **High Resolution Digital Camera rear mounted camera**
- Reverse Sensing System rear parking sensors

### Dimensions

#### General Weights

Curb weight .....	5,623 lbs.	Rear curb weight .....	2,779 lbs.
GVWR .....	7,450 lbs.	Payload .....	1,700 lbs.

#### Trailer Weights

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**2023 Expedition 4dr 4x4 XL (U1G)**

Price Level: 315

**Selected Equip & Specs (cont'd)**

* <b>Conventional capacity</b> .....	<b>9,200 lbs.</b>	* <b>GCWR</b> .....	<b>15,500 lbs.</b>
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**Front Weights**

Front curb weight .....	2,913 lbs.	GAWR front .....	3,450 lbs.
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**Rear Weights**

GAWR rear .....	4,380 lbs.
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**Off Road**

Min ground clearance .....	9.8"	Loading floor height .....	35.3 "
Approach angle .....	23.3	Departure angle .....	21.9

**Exterior Measurements**

Vehicle body length .....	210"	Vehicle body width .....	80"
Vehicle body height .....	76"	Rear door opening height .....	33"
Rear door opening width .....	51"	Wheelbase .....	122"
Front brake diameter .....	13.0"	Rear brake diameter .....	13.5"
Front track .....	68"	Rear track .....	67"
Vehicle turning radius .....	20'		

**Interior Measurements**

Interior cargo volume .....	64 cu.ft.	Max interior cargo volume .....	105 cu.ft.
Interior cargo volume with seats folded .....	64 cu.ft.		

**Headroom**

Headroom first-row .....	42.0"	Headroom second-row .....	40.0"
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**Legroom**

Leg room first-row .....	43.9"	Leg room second-row .....	41.5"
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**Shoulder Room**

Shoulder room first-row .....	64.9"	Shoulder room second-row .....	64.8"
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**Hip Room**

Hip room first-row .....	62.2"	Hip room second-row .....	62.6"
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**Powertrain****Engine**

Engine .. 3.5L V-6 port/direct injection, DOHC, variable valve control, twin turbo, engine with 380HP	Valves per cylinder .....	4
Engine cylinders .....	V-6	
Ignition .....	Spark ignition system	
Engine block material ..	Aluminum engine block	
Engine location .....	Front mounted engine	
Engine mounting direction ..	Longitudinal mounted engine	
Cylinder head material ..	Aluminum cylinder head	

**Engine Specs**

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**2023 Expedition 4dr 4x4 XL (U1G)**

Price Level: 315

**Selected Equip & Specs (cont'd)**

Displacement .....	3.5L	cc .....	213.3 cu.in.
Bore .....	3.64"	Stroke .....	3.41"
Compression ratio .....	10.5		

**Engine Power**

Horsepower .....	380 HP@5000 RPM	Torque .....	470 lb.-ft. @2250 RPM
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**Alternator**

Alternator amps .....	150A	Alternator type .....	Regular duty alternator
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**Battery**

Battery amps .....	72Ah	Battery type .....	Lead acid battery
Battery rating .....	675CCA	Battery run down protection .....	Battery run down protection

**Engine Extras**

* <b>Block heater</b> .....	<b>Engine block heater</b>	Radiator .....	Radiator
Start-stop engine .....	Auto stop-start engine	Drivetrain selectable .....	Driver selectable drivetrain mode

**Transmission**

Transmission .....	10-speed automatic	Transmission electronic control .....	Transmission electronic control
Overdrive transmission .....	Overdrive transmission	Lock-up transmission .....	Lock-up transmission
First gear ratio .....	4.696	Second gear ratio .....	2.985
Third gear ratio .....	2.146	Fourth gear ratio .....	1.769
Fifth gear ratio .....	1.52	Sixth gear ratio .....	1.275
Reverse gear ratio .....	4.866	Seventh gear ratio .....	1
Eighth gear ratio .....	0.854	Ninth gear ratio .....	0.689
Tenth gear ratio .....	0.636	Selectable mode transmission .....	Selectable mode transmission
Sequential shift control .....	SelectShift Sequential shift control	Transmission oil cooler .....	Transmission oil cooler

**Drive Type**

* <b>4WD type</b> .....	<b>Control-Trac automatic full-time 4WD</b>	Drive type .....	Four-wheel drive
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**Drivetrain**

* <b>Axle ratio</b> .....	<b>3.73</b>
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**Exhaust**

Tailpipe .....	Stainless steel single exhaust
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**Fuel**

Fuel type .....	regular unleaded
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## 2023 Expedition 4dr 4x4 XL (U1G)

Price Level: 315

## Selected Equip & Specs (cont'd)

### Fuel Tank

Capless fuel filler ..... Easy Fuel capless fuel filler      Fuel tank capacity ..... 23.30 gal.

### Drive Feature

\* **Limited slip differential** ..... **Electro-mechanical limited slip differential**      Traction control ..... All-speed ABS and driveline traction control  
Locking hub control ..... Auto locking hub control      Transfer case ..... Electronic transfer case shift

## Fuel Economy and Emissions

### Emissions

Emissions ..... LEV3-ULEV50 emissions      Emissions tiers ..... Tier 3 Bin 50 emissions

## Suspension and Handling

### Suspension

Suspension ..... Standard ride suspension      Front shock absorbers ..... Gas-pressurized front shock absorbers  
Rear shock absorbers ..... Gas-pressurized rear shock absorbers

## Driveability

### Brakes

Brake type ..... 4-wheel disc brakes      Ventilated brakes Front and rear ventilated disc brakes  
ABS brakes ..... Four channel ABS brakes      Electronic parking brake Electronic parking brake  
ABS brakes ..... 4-wheel antilock (ABS) brakes      Post collision braking system Ford Co-Pilot360 - Post Collision Braking automatic post-collision braking system

### Brake Assistance

Hill start assist ..... Hill start assist      Hill control ..... Hill descent control  
Brake assist system ..... Brake assist system

### Front Suspension

Anti-roll bar front ..... Front anti-roll bar      Suspension ride type front ..... Independent front suspension  
Suspension type front ..... Double wishbone front suspension

### Front Spring

Regular front springs ..... Regular front springs      Springs front ..... Front coil springs

### Rear Spring

Springs rear ..... Rear coil springs      Rear springs ..... Regular grade rear springs

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**2023 Expedition 4dr 4x4 XL (U1G)**

Price Level: 315

**Selected Equip & Specs (cont'd)***Rear Suspension*

Anti-roll bar rear ..... Rear anti-roll bar  
 Suspension ride type rear ..... Independent rear  
 suspension

Suspension type rear ..... Multi-link rear suspension

*Steering*

Steering ..... Electric power-assist steering system  
 Speed sensitive steering ..... Speed sensitive power  
 steering  
 Steering type number of wheels ..... 2-wheel steering  
 system

Steering type ..... Rack-pinion steering  
 Driver selectable steering effort ..... Driver selectable  
 steering effort

**Exterior***Front Wheels*

Front wheels diameter ..... 18"

Front wheels width ..... 8.5"

*Rear Wheels*

Rear wheels diameter ..... 18"

Rear wheels width ..... 8.5"

*Front And Rear Wheels*

Appearance ..... machined w/painted accents

Material ..... aluminum

*Front Tires*

Aspect ..... 65

Diameter ..... 18"

\* **Sidewalls** ..... **BSW**\* **Speed** ..... **H**\* **Tread** ..... **AS**

Type ..... P

Width ..... 275mm

*Rear Tires*

Aspect ..... 65

Diameter ..... 18"

\* **Sidewalls** ..... **BSW**\* **Speed** ..... **H**\* **Tread** ..... **AS**

Type ..... P

Width ..... 275mm

**Body Exterior***Trailer*\* **Towing capability** ..... **Heavy-duty towing  
capability**

Towing class ..... Class IV tow rating

Towing hitch ..... Trailer hitch

Towing wiring harness ..... Trailer wiring harness

\* **Towing brake controller** ..... **Trailer brake  
controller**

Towing trailer sway ..... Trailer sway control

\* **Towing backup assist** ..... **Pro Trailer Backup  
Assist trailer backup assist**

Prices and content availability as shown are subject to change and should be treated as estimates only. Actual base vehicle, package and option pricing may vary from this estimate because of special local pricing, availability or pricing adjustments not reflected in the dealer's computer system. See salesperson for the most current information.

## Prepared for:

ILLINOIS GOVERNMENT AGENCY

Prepared by: Richie Wellenkamp

11/14/2022



Morrow Brothers Ford, Inc. | 1242 Main Street Greenfield Illinois | 62044

## 2023 Expedition 4dr 4x4 XL (U1G)

Price Level: 315

## Selected Equip & Specs (cont'd)

### Exterior Features

Number of doors ..... 4 doors

\* Skid plate ..... 3 underbody skid plates

Running boards ..... Running boards

### Body

Body panels .... Galvanized steel and aluminum  
body panels with side impact beams

### Spare Tire

Spare tire .... Full-size spare tire with steel wheel

Spare tire location ..... Crank-down spare tire

### Aerodynamics

Spoiler ..... Rear lip spoiler

### Wheels

Dual rear wheels ..... Dual rear wheels

## Convenience

### Door Locks

Door locks ..... Power door locks with 2 stage  
unlocking

Keyfob door locks .... Keyfob activated door locks

All-in-one key All-in-one remote fob and ignition  
key

Auto door locks ..... Auto-locking doors

Tailgate control .... Power tailgate/rear door lock

### Cruise Control

Cruise control ..... Cruise control with steering  
wheel mounted controls

### Key Fob Controls

Fob remote engine controls .... Smart device engine  
start control

### Rear View Mirror

Day/Night rearview mirror ..... Day/Night rearview  
mirror

### Exterior Mirrors

Door mirrors ..... Power door mirrors  
Heated door mirrors ..... Heated driver and  
passenger side door mirrors

Folding door mirrors Manual folding door mirrors

### Front Side Windows

First-row windows ..... Power first-row windows

### Overhead Console



**Prepared for:**

ILLINOIS GOVERNMENT AGENCY

Prepared by: Richie Wellenkamp

11/14/2022

Morrow Brothers Ford, Inc. | 1242 Main Street Greenfield Illinois | 62044

**2023 Expedition 4dr 4x4 XL (U1G)**

Price Level: 315

**Selected Equip & Specs (cont'd)**

Overhead console ..... Mini overhead console

Overhead console storage ..... Overhead console storage

**Driver Visor**

Visor driver mirror ..... Driver visor mirror

Visor illuminated driver mirror ..... Illuminated driver visor mirror

Visor driver expandable coverage Driver visor with expandable coverage

**Passenger Visor**

Visor passenger mirror ..... Passenger visor mirror

Visor illuminated passenger mirror ..... Illuminated passenger visor mirror

Visor passenger expandable coverage Passenger visor with expandable coverage

**Power Outlets**

12V power outlets ..... 4 12V power outlets

**Cargo Features**

Cargo light ..... Cargo area light

Concealed cargo storage Cargo area concealed storage

**Cargo Trim**

Cargo floor type ..... Carpet cargo area floor

Trunk lid trim ..... Plastic trunk lid trim

**Remote Releases**

Rear window cargo access ..... Rear window cargo access keyfob release

**Rear Windshield**Rear window defroster ..... Rear window defroster  
Rear windshield wipers ..... Fixed interval rear windshield wipers

Rear windshield ..... Flip-up rear windshield

**Storage**Door bins front Driver and passenger door bins  
Number of beverage holders 15 beverage holders  
Beverage holders rear ..... Rear beverage holders  
Dashboard storage ..... Dashboard storageDoor bins rear ..... Rear door bins  
Beverage holders ..... Front beverage holders  
Glove box ..... Locking glove box**Windows Feature**

One-touch up window ..... Driver and passenger one-touch up windows

One-touch down window ..... Driver and passenger one-touch down windows

**Windows Rear Side**

Second-row windows ..... Power second-row windows

Third-row windows ..... Fixed third-row windows



## Prepared for:

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Morrow Brothers Ford, Inc. | 1242 Main Street Greenfield Illinois | 62044

## 2023 Expedition 4dr 4x4 XL (U1G)

Price Level: 315

## Selected Equip & Specs (cont'd)

### Miscellaneous

Trip computer ..... Trip computer  
Accessory power ..... Retained accessory power

PRND in IP ..... PRND in IP  
Over the air updates ..... Over the air updates

## Comfort

### Climate Control

Climate control ..... Automatic climate control  
  
Dual-zone front climate control ..... Dual-zone front climate control  
Rear climate controlRear climate control system with separate controls  
Auxiliary rear heater ..... Auxiliary rear heater

Rear headliner/pillar ducts ... Rear headliner/pillar climate control ducts  
Cabin air filter ..... Cabin air filter  
  
Rear under seat ducts ... Rear under seat climate control ducts

### Headliner

Headliner material ..... Cloth headliner material

Headliner coverage ..... Full headliner coverage

### Door Trim

Door trim insert ..... Vinyl door trim insert

### Floor Trim

\* **Floor covering** ..... **Full vinyl floor covering**

Floor coverage ..... Full floor coverage

### Second-Row Seat Trim

Rear seat upholstery ... Cloth rear seat upholstery

Rear seatback upholstery ... Carpet rear seatback upholstery

### Steering Wheel

Steering wheel material ... Leather steering wheel

Steering wheel tilt ... Manual tilting steering wheel

## Seats and Trim

### Seat Capacity

Seating capacity ..... 5

### Front Seats

Driver seat direction ..... Driver seat with 8-way directional controls  
Driver seat fore/aft control ..... Power driver seat fore/aft control  
Passenger seat direction ... Front passenger seat with 4-way directional controls  
Reclining passenger seat ..... Manual reclining passenger seat

Height adjustable driver seat ..... Power height adjustable driver seat  
Driver seat cushion tiltPower driver seat cushion tilt  
Split front seats ..... Bucket front seats  
  
Passenger seat fore/aft control Manual passenger seat fore/aft control

## Prepared for:

ILLINOIS GOVERNMENT AGENCY

Prepared by: Richie Wellenkamp

11/14/2022



Morrow Brothers Ford, Inc. | 1242 Main Street Greenfield Illinois | 62044

## 2023 Expedition 4dr 4x4 XL (U1G)

Price Level: 315

### Selected Equip & Specs (cont'd)

Front head restraints ..... Height adjustable front  
seat head restraints  
Reclining driver seatManual reclining driver seat

Front head restraint control ..... Manual front seat  
head restraint control

#### Rear Seats

Bench seats ..... Split-bench rear seat  
Folding second-row seats ..... 40-20-40 folding rear  
seats  
Rear seat direction ..... Front facing rear seat

Rear seats fixed or removable ..... Fixed rear seats  
Reclining second-row rear seats ..... Manual reclining  
rear seats  
Rear seat folding position ..... Fold forward rear  
seatback  
Rear head restraints ..... Foldable rear seat head  
restraints

Fore and aft seat ..... Rear seats with manual fore  
and aft

Number of rear head restraints ..... 3 rear seat head  
restraints

#### Lumbar Seats

Driver lumbar ..... Driver seat with 2-way power  
lumbar

#### Front Seat Trim

Front seat upholstery Cloth front seat upholstery

Front seatback upholstery ..... Cloth front seatback  
upholstery

#### Interior Accents

Interior accents ..... Metal-look interior accents

#### Gearshifter Material

Gearshifter material ..... Metal-look gear shifter  
material

#### Console Trim

Console insert material Metal-look console insert

### Entertainment Features

#### LCD Displays

Primary monitor touchscreen ..... Primary monitor  
touchscreen

LCD primary display size ..... 8 inch primary LCD  
display

Number of first-row LCD displays2 total number of  
1st row displays

#### Radio Features

Aux input jack ..... Auxiliary input jack

Seek scan ..... Seek scan

External memory ..... SYNC 4 external memory  
control

RDS ..... Radio data system (RDS)

#### Speakers

## Prepared for:

ILLINOIS GOVERNMENT AGENCY

Prepared by: Richie Wellenkamp

11/14/2022



Morrow Brothers Ford, Inc. | 1242 Main Street Greenfield Illinois | 62044

## 2023 Expedition 4dr 4x4 XL (U1G)

Price Level: 315

## Selected Equip & Specs (cont'd)

Speakers ..... Standard grade speakers

Speakers number ..... 6

### Audio Features

Steering mounted audio control ..... Steering wheel  
mounted audio controls

Speed sensitive volume ..... Speed sensitive volume

Voice activated audio ..... SYNC 4 with Enhanced  
Voice Recognition voice activated audio  
controls

Wireless streaming ..... Bluetooth wireless audio  
streaming

## Lighting, Visibility and Instrumentation

### Instrumentation

Trip odometer ..... Trip odometer

Instrumentation display ..... Digital/analog  
instrumentation display

### Instrumentation Displays

Temperature display ..... Exterior temperature  
display

Systems monitor ..... Vehicle systems monitor

Clock ..... In-radio display clock

Compass ..... Compass

### Instrumentation Gauges

Tachometer ..... Tachometer  
Voltmeter ..... Voltmeter

Oil pressure gauge ..... Oil pressure gauge  
Engine/electric motor temperature  
gauge ..... Engine/electric motor temperature  
gauge

Gauge cluster display size (inches) ..... 8.00

### Instrumentation Warnings

Engine temperature warning ..... Engine temperature  
warning

Oil pressure warning ..... Oil pressure warning

Low fuel warning ..... Low fuel warning

Low washer fluid warning ..... Low washer fluid  
warning

Low brake fluid warning ..... Low brake fluid warning

Battery charge warning ..... Battery charge warning

Headlights on reminder ..... Headlights on reminder

Key in vehicle warning ..... Key in vehicle warning

Door ajar warning ..... Door ajar warning

Trunk warning ..... Rear cargo ajar warning

Low tire pressure warning ..... Tire specific low air  
pressure warning

Rear seat check warning ..... Rear seat check  
warning

### Glass

Tinted windows ..... Deep tinted windows

### Headlights

Headlights ..... LED low and high beam headlights

Headlight type ..... Aero-composite headlights

Auto headlights ..... Auto on/off headlight control

Multiple headlights ..... Multiple enclosed headlights

Delay off headlights ..... Delay-off headlights

Auto high-beam headlights ..... Ford Co-Pilot360 -  
Auto High Beam auto high-beam headlights

## Prepared for:

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Prepared by: Richie Wellenkamp

11/14/2022



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## 2023 Expedition 4dr 4x4 XL (U1G)

Price Level: 315

## Selected Equip & Specs (cont'd)

DRL preference setting... DRL preference setting

### Front Windshield

Wipers... Variable intermittent front windshield wipers

Speed sensitive wipers... Speed sensitive wipers

### Interior Lighting

Illuminated entry... Illuminated entry

Variable panel light... Variable instrument panel light

Front reading lights... Front reading lights

Rear reading lights... Rear reading lights

### Lights

Running lights... Daytime running lights

Interior courtesy lights... Fade interior courtesy lights

High mount stop light... High mounted center stop light

Perimeter approach lighting... Remote activated perimeter approach lighting

## Technology and Telematics

### Navigation

Integrated navigation... SYNC 4 Connected Navigation integrated navigation system with voice activation

### Connectivity

Handsfree... SYNC 4 handsfree wireless device connectivity

Real time traffic... SiriusXM Traffic real-time traffic

Emergency SOS... SYNC 4 911 Assist emergency SOS system via mobile device

Smart device integration... SYNC 4 AppLink/Apple CarPlay/Android Auto smart device mirroring

Real time weather... Travel Link real-time weather

### Internet Access

Internet access... FordPass Connect 4G mobile hotspot internet access

### USB Ports

\* USB ports... 1 USB port

## Safety and Security

### Airbags

Front impact airbag driver... Driver front impact airbag

Number of airbags... 6 airbags

Front impact airbag passenger... Passenger front impact airbag

Front side impact airbag driver... Seat mounted side impact driver airbag

## Prepared for:

ILLINOIS GOVERNMENT AGENCY

Prepared by: Richie Wellenkamp

11/14/2022



Morrow Brothers Ford, Inc. | 1242 Main Street Greenfield Illinois | 62044

## 2023 Expedition 4dr 4x4 XL (U1G)

Price Level: 315

### Selected Equip & Specs (cont'd)

Front side impact airbag passenger. Seat mounted side impact front passenger airbag  
Overhead airbags. Safety Canopy System curtain first, second and third-row overhead airbags

#### Seatbelts

3-point seatbelt. Rear seat center 3-point seatbelt  
Seatbelt pretensioners. Front seatbelt pretensioners

#### Security System

Immobilizer. SecuriLock immobilizer  
Vehicle tracker. Vehicle tracker

#### Active Driving Assistance

Lane departure. Lane Keeping Alert  
  
Forward collision warningFord Co-Pilot360 - Pre-Collision Assist with Pedestrian Detection  
Pedestrian detection. Ford Co-Pilot360 - Pre-Collision Assist with Pedestrian Detection  
Distance alert. Following distance alert

#### Cameras

\* **Rear camera. High Resolution Digital Camera rear mounted camera**

#### Traction Control

Electronic stability control. Electronic stability control system with anti-roll

#### Parking Sensors

Parking sensors. Reverse Sensing System rear parking sensors

#### Occupant Safety

Child door locks. Manual rear child safety door locks

Occupancy sensor. Airbag occupancy sensor

Height adjustable seatbelts. Front height adjustable seatbelts  
Seatbelt pretensioners number. 2 seatbelt pretensioners

Remote panic alarm. Remote panic alarm  
Security system. Security system

Blind spot. Ford Co-Pilot360 - BLIS (Blind Spot Information System)

\* **Rear collision warning. Ford Co-Pilot360 - Reverse Brake Assist collision mitigation**  
Driver attention monitorFord Co-Pilot360 - Driver Alert  
Restricted driving mode. MyKey restricted driving mode



## Resolution Executive Summary

**Prepared By:** David J. Rickert  
**Committee:** Finance Committee  
**Committee Date:** 12-15-2022  
**Resolution Title:** Resolution to Fund Water Resources Strategic Plan with ARPA Funds  
**County Code:** N/A  
**Board Meeting Date:** 12-22-2022

**Budget Information:**

<b>Was item budgeted?</b> Yes	<b>Appropriation Amount:</b> \$212,673
<b>If not, explain funding source:</b> American Rescue Plan Act	
<b>ORG/OBJ/Project Code:</b> 61300	<b>Budget Impact:</b> N/A

**Background Information:** This cost will allow Winnebago County to develop a regional water management plan that will ensure all communities in Winnebago County to have access to safe, clean, affordable drinking water and wastewater services, as well as to set forth a framework for the management of water supplies and resiliency to floods, drought, and other risks. This plan will inform Winnebago County for the next 20 years.

**Recommendation:** Administration Supports

**Contract/Agreement:** See attachment

**Legal Review:** N/A

**Baker Tilly Review:** Approved

**Follow-Up:** Funds are subject to compliance with ARPA requirements with any agreement subject to review by the States Attorney's office.

**RESOLUTION**  
**of the**  
**COUNTY BOARD OF THE COUNTY OF WINNEBAGO, ILLINOIS**

Sponsored by: John Butitta, Committee Chairman

Submitted by: Finance Committee

2022 CR

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**RESOLUTION TO FUND**  
**WATER RESOURCES STRATEGIC PLAN**  
**WITH ARPA FUNDS**

---

**WHEREAS,** This cost will allow Winnebago County to develop a regional water management plan that will ensure all communities in Winnebago County to have access to safe, clean, affordable drinking water and wastewater services, as well as to set forth a framework for the management of water supplies and resiliency to floods, drought, and other risks. This plan will inform Winnebago County for the next 20 years and;

**WHEREAS,** in May 2021, Winnebago County Board received funds through the American Rescue Plan; and

**WHEREAS,** the Finance Committee of the County Board for the County of Winnebago, Illinois, has reviewed the proposal for the aforementioned request and recommends awarding; and

**NOW, THEREFORE, BE IT RESOLVED,** by the County Board of the County of Winnebago, Illinois, that the County Board Chairman is authorized to contract with Region 1 Planning Council for a water resources strategic plan for Winnebago County. For a sum not to exceed \$212,673.

**BE IT FURTHER RESOLVED,** that this Resolution shall be in full force and effective immediately upon its adoption and the Clerk of the County Board is hereby authorized to prepare and deliver certified copies of this Resolution to Director of Purchasing, Finance Director, County Board Office, and County Auditor.

Respectfully Submitted,  
**FINANCE COMMITTEE**

**AGREE**

**DISAGREE**

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JOHN BUTITTA, CHAIRMAN

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JOHN BUTITTA, CHAIRMAN

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JAIME SALGADO, VICE CHAIRMAN

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JAIME SALGADO, VICE CHAIRMAN

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JEAN CROSBY

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JEAN CROSBY

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KEITH McDONALD

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KEITH McDONALD

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JOE HOFFMAN

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JOE HOFFMAN

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JOHN F. SWEENEY

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JOHN F. SWEENEY

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MICHAEL THOMPSON

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MICHAEL THOMPSON

The above and foregoing Resolution was adopted by the County Board of the County of Winnebago, Illinois this \_\_\_\_ day of \_\_\_\_\_ 2022.

ATTESTED BY:

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**JOSEPH CHIARELLI**  
CHAIRMAN OF THE COUNTY BOARD  
OF THE COUNTY OF WINNEBAGO, ILLINOIS

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**LORI GUMMOW**  
CLERK OF THE COUNTY BOARD  
OF THE COUNTY OF WINNEBAGO, ILLINOIS





## Professional Services Agreement

Contract #: **202108-WC-PSA01**

Project Name: **Regional Water Management Plan**

This Professional Services Agreement (the “Agreement”) is made and entered into **November** \_\_\_\_, **2022**, (the “Effective Date”) by and between Region 1 Planning Council (“RPC”) and Winnebago County (“Client”).

WHEREAS, the Client wishes to obtain the professional services of RPC; and,

WHEREAS, RPC has the knowledge, skill, and capability to perform such services for the Client.

NOW THEREFORE, in consideration of the foregoing, RPC agrees to provide services to the Client under the terms and conditions of this Agreement.

**1. Services.** The Client hereby retains RPC to provide professional services (“Services”) as follows:

- (a) **Purpose:** RPC staff shall work with designated the Client representatives to develop a regional water management plan that will ensure all communities in Winnebago County to have access to safe, clean, affordable drinking water and wastewater services, as well as to set forth a framework for the management of water supplies and resiliency to floods, drought, and other climate risks associated with pollution. This plan will inform Winnebago County for the next 20 years. RPC will also address other factors such as water equity and its relevance to locations of waste, ground, and surface water in relation to point source pollution and nearby populations.
- (b) **Scope:** Creation and completion of the project shall include data collection and analysis, stakeholder engagement, report documentation, and development of a water map & tool. The following work products shall be produced, including:
  - a. **Phase 0. Project Management:** Project management will be ongoing throughout the planning process and will include at minimum the following: project work plan preparation and execution of deliverables; project scheduling and budget tracking; and meeting facilitation.
  - b. **Phase 1. Water Resource Assessment:** This assessment will gather community health feedback and historic and current data on smaller community and non-community water systems in Winnebago County.
    - **Phase 1.1. Determinant of Health:** Identify priority determinant of health by working with stakeholders to identify determinants of health and develop a ranking system for the determinants of health, in addition to different components associated with these determinants
    - **Phase 1.2. Data Collection:** Gathering existing data to establish baseline conditions and to identify current and historic issues with water quality and community water systems.
    - **Phase 1.3. Data Analysis:** involve performing a complete analysis on the data collected. The end result will be a baseline conditions GIS map with all data points as separate layers. This map will reflect risk and resilience in Winnebago County, highlighting priority communities (i.e., those most vulnerable), based on water quality, quantity, and social demographic considerations.
    - **Phase 1.4. Community Water Recommendations and Decision Alternatives:** This phase will consist of targeted community engagement to identify priority health concerns based on the

community specific vulnerabilities identified in the previous phases. Once priority concerns have been compiled, all decision alternatives under consideration by decision-makers will be clearly identified to address these concerns. The following community engagement will take place:

- Steering Committee Meetings (3)
- Subcommittee (Small Community Water System) Meetings (4)
- Focus Groups (3): Members of Environmental Justice communities, interested community members, water reclamation districts, Illinois State Water Survey, Illinois Groundwater Association, other specialty groups in the region for more focused discussions as needed.
- Stakeholder Interviews (Mainly Small Community Water Systems)

c. **Phase 2. Health Impact Assessment:** The Health Impact Assessment phase will analyze the potential impacts of community water recommendations formed by community outreach, stakeholder engagement, and data analysis in Phase 1. These recommendations will be examined through several lenses, including public health, equity, and economic impacts.

- **Phase 2.1. Screening:** Once the proposed recommendations and decision alternatives under consideration by decision-makers are clearly identified in Phase 1, the potential for those proposals to impact health and the value the Health Impact Assessment (HIA) could provide - including effects on public health and potential for unequally distributed impacts - will be considered.
- **Phase 2.2. Scoping:** Determine the scope of health determinants and health effects that will be included in the HIA, the populations affected, the sources of data and the methods to be used in Assessment. The ongoing community outreach and data efforts initiated as part of Phase 1 will be utilized in these determinations.
- **Phase 2.3. Assessment:** Establish the baseline conditions related to health status and the health determinants to be included in the HIA (utilizing efforts from Phase 1 to the extent possible) and systematically consider the full range of potential impacts of the proposal on health determinants, health status, the equitable distribution of these impacts, and cost-benefit considerations.
- **Phase 2.4: Recommendations:** Provide recommendations, as needed, on feasible and effective actions to promote the potential positive health impacts and mitigate the potential negative health impacts of the proposed decision, identifying, where appropriate, alternatives or modifications to the proposal. This phase will also include funding source identification and describe both current and future system maintenance needs as it relates to public health.
- **Phase 2.5: Reporting:** Produce a publicly accessible report that includes, at minimum, documentation of the HIA's purpose, findings, recommendations, and documentation of the processes and methods involved. The report will be shared with decision-makers and other stakeholders.
- **Phase 2.6: Evaluation of the Process:** Determine the effectiveness of how the HIA was designed and undertaken, including preparation, research, reporting, and follow-up. Ideally, partners will provide feedback throughout the duration of the HIA process.

d. **Phase 3. Water Action Plan:** The Water Action Plan will be the road map to implementing recommendations identified from the HIA process. This plan will outline goals, responsible parties, timelines, prioritized steps, and implementation monitoring. Phase 3 will result in a Water Action Plan detailing key implementation strategies and actions as a result from the decision points/projects identified in Phase 1 and assessed in Phase 2. The plan will tentatively cover the below (to be determined by information gathered during community outreach):

- Summarize Phase 1 and 2.
- Form an implementation plan structure.
- Outline goals in connection to implementation steps.

- Establish monitoring and outcome evaluation.
- Propose indicators, actions, and responsible parties, where indicated, for a plan to monitor the implementation of recommendations, as well as health effects and outcomes of the proposal.
- Gather feedback from the public and steering committee via community engagement efforts outlined in Phase 1.5.

During Phase 3, the following community engagement will take place:

- Steering Committee Meetings (1)
- Subcommittee (Small Community Water System) Meetings (1)
- Community Open Houses/Public Meetings (2)
- Public Presentations (5)

- e. **Phase 4. Water Map & Tool:** The Water Map and Tool will be further refined in Phases 1-3 based upon Winnebago County and the stakeholders' most pressing needs. The public facing map may highlight information such as key findings and objectives of the HIA & Action Plan, while also displaying a general overview of the project. The development process of the water map and tool will involve the following:
- Description and outline of what needs the tool can address;
  - Coordination with WinGIS to formulate and update tool with available data; and
  - Creation of online water management map and tool.

- (c) **Completion Date:** Services to be performed under this Agreement shall be completed no later than July 30, 2023 or sooner pending the level of participation by primary sources.

(d)

Services	Start Date	End Date	Duration
Phase 0: Project Management	05/15/2022	9/30/2023	Ongoing
Phase 1: Community Water Resource Assessment	6/01/2022	9/15/2022	4 Months
Phase 2: Health Impact Assessment	9/15/2022	3/15/2023	6 Months
Phase 3: Water Action Plan	3/15/2023	6/15/2023	3 Months
Phase 4: Water Map & Tool	5/15/2023	7/15/2023	2 Months
Final Plan Approved and Adopted	5/15/2022	7/15/2023	15 Months

Materials required by RPC from the Client to complete the proposal will be requested in writing (via email). Information required to meet the standards of a third-party, independent analysis will be provided by the Client to the RPC on a timely basis. Without timely reply, RPC cannot guarantee timely completion of the analytical report and accompanying recommendations.

RPC will make a written request (via email) to the Client to provide RPC with data, documents or other materials needed to complete the scope of work. The Client shall provide materials to RPC within 48 hours of RPC's written request. If the Client requires additional time to gather requested materials, Client shall notify RPC within 24 hours to negotiate a mutually agreed upon timeframe for the delivery of materials.

- (e) **Estimated Cost per Hour and Hours:** In exchange for the Services provided by RPC to the Client under the terms of this Agreement, the Client shall pay RPC for work performed at the following rates:

Services	Est. Hours	Rate
Previous Hours & Cost Accrued	780	\$66,300.00
Phase 0: Project Management	505	\$35,998.00
Phase 1: Community Water Resource Assessment	574	\$37,075.00
Phase 2: Health Impact Assessment	531	\$33,405.00
Phase 3: Water Action Plan	552	\$33,915.00
Phase 4: Water Map & Tool	91	\$5,980.00

<b>Total Project Cost:</b>	<b>3,033</b>	<b>\$212,673.00</b>
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If for any reason more time is needed to prepare and submit the proposal, RPC shall inform the Client of the reason for the deviation, the estimated amount of additional time needed, and the associated cost difference as soon as the need for the change is known. The Client will be afforded the opportunity to amend this agreement to accommodate the change or to cancel the project with no further obligation to RPC for any additional services rendered.

The Client agrees that the number of hours needed to complete the project will depend on the quantity, quality, and relevance of materials furnished by the Client to RPC staff. Accordingly, the more information provided to RPC by the Client, the less time will be needed to complete the project. The aforementioned estimate of hours is provided for planning purposes only and the actual amount billed to the Client will be based on the amount of time actually spent on the project. The Client will receive a detailed accounting of time, billed in quarter-hour increments (15 minutes), with all invoices.

(f) Assignment of Personnel: RPC may, at its sole discretion, assign personnel to perform the Services under this Agreement.

(g) Maximum Price: In no event shall the total amount charged for work performed under this Agreement exceed \$212,673.00 unless agreed to in writing by the parties.

**2. Term.** This Agreement will begin on the Effective Date and will remain in full force and effect until the Completion Date unless the Agreement term is extended by mutual written agreement of the parties or is terminated in accordance with Section 6.

**3. Payment.** RPC shall issue monthly invoices to Client for work performed under this Agreement. Fees shall be paid by Client within **30 days** (60 days, if subject to 30 ILCS 540/State Prompt Payment Act) of invoice receipt. Services may be suspended pending receipt of account balance paid in full.

**4. Confidentiality.** Unless otherwise required by law, RPC will exercise reasonable effort to maintain in confidence information disclosed or submitted to RPC by the Client as confidential information. Confidential information does not include information that:

- (a) is generally available in the public domain or becomes available to the public through no act of RPC; or
- (b) is independently known by RPC prior to receipt; or
- (c) made available to RPC as a matter of lawful right by a third party.

Unless otherwise required by law, all reports, documents, and other deliverables created by RPC pursuant to the terms of this Agreement shall be treated as confidential and will not be made available to any unintended third party without the prior written approval of the Client.

**5. Intellectual Property.** No reports or other documents produced in whole or in part pursuant to the terms of this Agreement shall be the subject of an application for copyright by either party.

**6. Termination.** Either party may terminate this Agreement for material breach upon thirty (30) days written notice, during which time the party alleged to have breached may cure. Additionally, either party may terminate this Agreement for convenience upon sixty (60) days written notice to the other party. Upon termination, the Client shall promptly pay RPC for all Services rendered up to and including the effective date of termination. All deliverables not paid for within 60 days of termination shall become the property of RPC.

**7. Relationship of the Parties.** The parties acknowledge and agree that the Services performed by RPC, its employees, agents, and sub-contractors shall be that of an independent contractor. Neither party is an agent or representative of

the other party and has no authority to bind or commit the other party to any agreements or other obligations except those that are within the scope of Services to be provided under this Agreement. Each party shall have the right to publish, distribute, advertise, or otherwise disclose the relationship and the general services created and performed under this Agreement.

**8. Indemnification.** The Client agrees to indemnify and hold RPC and its employees harmless from any loss, claim, damage, or liability arising out of or in connection with the action or inaction of the Client under this Agreement, including but not limited to provision of data and information used for research and analysis purposes. The Client shall indemnify and hold RPC and its employees harmless from any loss, claim, damage, or liability arising out of or in connection with the Client's use of deliverables provided under this Agreement. If the Client fails to provide information that is needed for the completion of the project, or such information is incorrect, RPC is not liable unless RPC was aware of the inaccuracy or was unaware of the inaccuracy as a result of gross negligence. The Client shall indemnify and hold RPC and its employees harmless from any loss, claim, damage, or liability arising out of or in connection with this failure to provide information if it results in an inability to submit the project by the submission deadline.

In the event that the Client elects to cancel the Agreement for any reason other than a material breach, RPC is hereby indemnified from any losses, potential or actual, incurred by the Client as a result of the project not being completed. RPC is further indemnified from any losses, potential or actual, incurred by the Client as a result of research and analytical report materials that are incomplete or of poor quality due to termination of the Agreement.

**9. Representations and Warranties.** RPC represents and warrants that:

- (a) it will perform the Services with reasonable care and skill; and
- (b) the Services and related materials provided under this Agreement will not infringe or violate any intellectual property rights or other right of any third party.

**10. Limitation of Liability.** Either party's liability in contract, tort, or otherwise (including negligence) arising directly out of or in connection with this Agreement or the performance or observance of its obligations under this Agreement and every applicable part of it shall be limited in aggregate to the applicable insurance limits.

**11. Severability.** The invalidity or illegality of one or more provisions of this Agreement shall not affect the enforceability of the remaining provisions.

**12. Applicable Law.** This Agreement shall be governed by the laws of the State of Illinois.

**13. Entire Agreement; Amendments.** This Agreement constitutes the entire understanding between the parties concerning the subject matter hereof. No amendments or changes to this Agreement shall be effective unless made in writing and signed by an authorized representative of each party.

**14. Counterparts.** This Agreement may be executed in counterparts (including facsimile signatures), each of which shall be deemed to be an original and both of which shall constitute one and the same Agreement.

IN WITNESS WHEREOF, the parties hereto have executed this Agreement by proper persons duly authorized.

Authorized Signors & Designated Contacts			
To ensure prompt and accurate delivery of applicable communications, please provide the contact information for each of the relevant topics below.			
Party	Region 1 Planning Council	Party	Winnebago County

Signature		Signature	
Date Signed		Date Signed	
Signor Name	Michael Dunn Jr.	Signor Name	Joseph V. Chiarelli
Signor Title	Executive Director	Signor Title	Winnebago County Chairman
Agreement	Michael Dunn Jr. <a href="mailto:mdunn@r1planning.org">mdunn@r1planning.org</a> (815) 319-4180	Agreement	
Services	Sydney Turner <a href="mailto:sturner@r1planning.org">sturner@r1planning.org</a> (815) 319-4185	Services	
Billing	Accounting <a href="mailto:accounting@r1planning.org">accounting@r1planning.org</a> (815) 319-4180	Billing	
Mail	127 N Wyman St, Ste 100 Rockford, IL 61101	Mail	



## Resolution Executive Summary

**Prepared By:** David J. Rickert  
**Committee:** Finance Committee  
**Committee Date:** 12-15-2022  
**Resolution Title:** Resolution to Fund Baker Tilly Professional Services for River Bluff Nursing Home  
**County Code:** N/A  
**Board Meeting Date:** 12-22-2022

**Budget Information:**

<b>Was item budgeted?</b>	Yes	<b>Appropriation Amount:</b>	\$45,000
<b>If not, explain funding source:</b>			
<b>ORG/OBJ/Project Code:</b>	70500/43190	<b>Budget Impact:</b>	N/A

**Background Information:** The engagement will provide information necessary to assist the planned for oversight board that Winnebago County plans to implement for the River Bluff Nursing Home. The engagement will consist of accounts receivable and a clinical operational review. The evaluation and assessment of River Bluff Nursing Home's operations will focus on identifying opportunities and areas for improvement. We will obtain a thorough understanding of the current accounts receivable and clinical operations, and provide recommendations aimed at strengthening the existing processes and improving operations.

**Recommendation:** Administration Supports

**Contract/Agreement:** See attachment engagement letter

**Legal Review:** Currently under review

**Follow-Up:** A report with recommendations concerning the operations of the business office of River Bluff Nursing Home will be a deliverable from this service.

**RESOLUTION**  
**of the**  
**COUNTY BOARD OF THE COUNTY OF WINNEBAGO, ILLINOIS**

Sponsored by: John Butitta, Committee Chairman

Submitted by: Finance Committee

2022 CR

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**RESOLUTION TO FUND BAKER TILLY PROFESSIONAL SERVICES**  
**FOR**  
**RIVER BLUFF NURSING HOME**

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**WHEREAS,** The engagement will provide information necessary to assist the planned for oversight board that Winnebago County plans to implement for the River Bluff Nursing Home. and;

**WHEREAS,** The engagement will consist of accounts receivable and a clinical operational review. The evaluation and assessment of River Bluff Nursing Home's operations will focus on identifying opportunities and areas for improvement. We will obtain a thorough understanding of the current accounts receivable and clinical operations, and provide recommendations aimed at strengthening the existing processes and improving operations. and;

**WHEREAS,** the Finance Committee of the County Board for the County of Winnebago, Illinois, has reviewed the proposal for the aforementioned request and recommends awarding; and

**NOW, THEREFORE, BE IT RESOLVED,** by the County Board of the County of Winnebago, Illinois, that the County Administrator is authorized to contract with Baker Tilly for professional services not to exceed \$45,000.

**BE IT FURTHER RESOLVED,** that this Resolution shall be in full force and effective immediately upon its adoption and the Clerk of the County Board is hereby authorized to prepare and deliver certified copies of this Resolution to Director of Purchasing, Finance Director, County Board Office, and County Auditor.



**Respectfully Submitted,  
FINANCE COMMITTEE**

**AGREE**

**DISAGREE**

\_\_\_\_\_  
JOHN BUTITTA, CHAIRMAN

J

\_\_\_\_\_  
JOHN BUTITTA, CHAIRMAN

\_\_\_\_\_  
JAIME SALGADO

\_\_\_\_\_  
JAIME SALGADO

\_\_\_\_\_  
JEAN CROSBY

\_\_\_\_\_  
JEAN CROSBY

\_\_\_\_\_  
KEITH McDONALD

\_\_\_\_\_  
KEITH McDONALD

\_\_\_\_\_  
JOE HOFFMAN

\_\_\_\_\_  
JOE HOFFMAN

\_\_\_\_\_  
JOHN F. SWEENEY

\_\_\_\_\_  
JOHN F. SWEENEY

\_\_\_\_\_  
MICHAEL THOMPSON

\_\_\_\_\_  
MICHAEL THOMPSON

**The above and foregoing Resolution was adopted by the County Board of the County of**

**Winnebago, Illinois this \_\_\_\_\_ day of \_\_\_\_\_ 2022.**

ATTESTED BY:

\_\_\_\_\_  
**JOSEPH CHIARELLI**

CHAIRMAN OF THE COUNTY BOARD

OF THE COUNTY OF WINNEBAGO, ILLINOIS

\_\_\_\_\_  
**LORI GUMMOW**

CLERK OF THE COUNTY BOARD

OF THE COUNTY OF WINNEBAGO, ILLINOIS

December 7, 2022

Patrick J. Thompson  
County Administrator  
Winnebago County  
(River Bluff Nursing Home)  
404 Elm Street, Room 533  
Rockford, IL 61101

**Re: Accounts Receivable and Clinical Operational Review**

Dear Patrick:

Baker Tilly US, LLP (Baker Tilly) is pleased to present this Engagement Letter to provide accounts receivable and clinical advisory services to Winnebago County (River Bluff Nursing Home).

**Engagement Background**

Our engagement will consist of a accounts receivable and clinical operational review of River Bluff Nursing Home. Our evaluation and assessment of River Bluff Nursing Home's operations will focus on identifying opportunities and areas for improvement. We will obtain a thorough understanding of the current accounts receivable and clinical operations, and provide recommendations aimed at strengthening the existing processes and improving operations.

We understand the engagement objective is for River Bluff Nursing Home's management team to receive the results of an evaluation and assessment of the current accounts receivable and clinical operations, and where opportunities may exist. The River Bluff Nursing Home management intends to use these results to ensure that sound practices are in place.

**Engagement Scope and Approach**

Management will evaluate the accounts receivable and clinical operations processes observations and recommendations to ensure that goals and objectives are met. Based on preliminary conversations with Management, our process for the accounts receivable and clinical operational review is currently expected to include the following:

The following is an outline of the procedures to be performed:

1. Schedule a planning/introductory meeting with management to discuss formally and in detail the current operating procedures in place.
2. Assign experienced team members to the engagement to perform an assessment of River Bluff Nursing Home accounts receivable and clinical operations.
3. We will conduct interviews with certain individuals within the accounts receivable and Minimum Data Set (MDS) departments.
4. We will review the River Bluff Nursing Home's revenue cycle for reimbursement opportunities. Areas impacted include:
  - a. Accounts Receivable
  - b. Billing/Collection Practices
  - c. Census and Payor Mix Analysis
  - d. Medicare Part A FFS Reimbursable Bad Debts

Patrick J. Thompson  
County Administrator  
Winnebago County  
(River Bluff Nursing Home)

December 7, 2022  
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5. We will review assessment practices and trending of clinical indicators that impact quality metrics and reimbursement within River Bluff Nursing Home. Areas impacted include:
  - a. Patient-Driven Payment Model
  - b. PHE Waiver Utilization Analysis
  - c. Medicare Advantage RUG-based Payments
  - d. Quality Measures

### **Engagement Team**

We have specifically assembled the members of your engagement team to ensure your objectives are met. Your engagement team has extensive experience providing consulting services to senior living providers.

#### **Edward A. Klik, Jr, Principal**

As engagement principal, Ed will be responsible for the project and the overall coordination of our senior living consulting services.

#### **Richard L. Snyder, Billing and Reimbursement Consultant**

Rick will be responsible for overall management of the project as well as the accounts receivable evaluation assessment.

#### **Kristopher S. Pattison, Senior Manager - Clinical Consultant**

Kris will be responsible for the overall management of the clinical operations review.

### **Management Responsibilities**

Our services are limited to those outlined above which will be performed in accordance with applicable professional standards. Baker Tilly will not assume Management responsibilities on behalf of Winnebago County (River Bluff Nursing Home). In addition, Baker Tilly, in its sole professional judgment, reserves the right to refuse to do any procedure or take any action that could be construed as making significant judgments and decisions that are the proper responsibility of Management.

In connection with Baker Tilly's provision of services under this agreement, Management will:

- Designate a location for Baker Tilly to use when conducting on-site work;
- Coordinate meetings and our work efforts and gather accurate, complete, and timely data requested for the engagement;
- Designate an employee with suitable skill, knowledge, and/or experience, preferably within senior management, to oversee the services we perform;
- Establish and monitor the performance of the engagement to ensure that it meets Management's objectives;
- Make all Management decisions and perform all Management functions;
- Evaluate the adequacy and results of our services; and
- Accept responsibility for our services.

### **Timing and Professional Fees**

We are prepared to commence this engagement, as previously outlined, upon receipt of a signed engagement letter signifying your acceptance of the terms described herein. We would anticipate this engagement commencing between January 2023 - March 2023, based on current scheduling and availability. Our fees will be based on our hourly professional rates. If we finish the accounts receivable and clinical operational review in less time than quoted below, we will bill less than the range included in this engagement letter. Based upon the scope of work outlined herein, we have *estimated* our professional fees as follows:

Patrick J. Thompson  
County Administrator  
Winnebago County  
(River Bluff Nursing Home)

December 7, 2022  
Page 3

Estimated 100 - 150 hours  
Optional – Formal Report

Estimated Fee: \$25,000 - \$40,000  
Estimated Fee: \$5,000

In addition to the professional fees, we will bill for travel time, at a rate of 50 percent of our hourly professional rates. Further, out-of-pocket expenses and administrative charges will also be billed directly to the Winnebago County (River Bluff Nursing Home).

We will immediately notify you if we believe additional professional time, beyond our estimated budget and related fees, will be required due to increased scope of work. Should we perform work beyond the scope of the services outlined above, our hourly rates for such additional work will range from \$200 to \$500 per hour, depending on the level of professional staff assigned. We will not render any additional billings to the Winnebago County (River Bluff Nursing Home) above and beyond the fees quoted above without Management's approval.

An invoice for \$25,000 will be rendered upon execution of this Engagement Letter. All remaining invoices for our services will be rendered as work progresses.

Any additional fees are payable upon presentation. A charge of 1.5% per month shall be imposed on accounts not paid within thirty (30) days of receipt of our statement for services provided. In accordance with our firm policies, work may be suspended if your account becomes thirty (30) days or more overdue and will not be resumed until your account is paid in full. If we elect to terminate our services for nonpayment, our engagement will be deemed to have been completed upon written notice of termination, even if we have not completed our report. You will be obligated to compensate us for all time expended and to reimburse us for all expenditures through the date of termination.

We appreciate the opportunity to be of service to you.

If there are any questions regarding the Engagement Letter, please contact Edward A. Klik, Jr., Principal on this engagement. Ed is available at 724-658-1565 and/or [ed.klik@bakertilly.com](mailto:ed.klik@bakertilly.com).

Sincerely,

BAKER TILLY US, LLP

The services and terms as set forth in this Engagement Letter are agreed to by:

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Officer signature

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Title

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Date

# Baker Tilly US, LLP

## Standard Business Terms

These Standard Business Terms ("Terms") govern the services provided by Baker Tilly US, LLP (Baker Tilly, we, us or our) set forth in the Statement of Work to which these Terms are attached (the "Services"). These Terms and any applicable online terms and conditions or terms of use ("Online Terms") related to online products or services made available to Company by Baker Tilly ("Online Offering"), together with the Statement of Work to which they are attached, constitute the entire understanding and agreement between the client identified on such Statement of Work (the "Client") and Baker Tilly with respect to the Services described in the Statement of Work (collectively, the Statement of Work and these Terms are referred to as the "Agreement") and supersede and incorporate all prior or contemporaneous representations, understandings or agreements, and may not be modified or amended except by an agreement in writing signed between the parties hereto. For clarity and avoidance of doubt, the terms of this Engagement Letter govern Baker Tilly's provision of the services described herein, and the Online Terms govern Company's use of the Online Offering. This Agreement's provisions shall not be deemed modified or amended by the conduct of the parties. If there is a conflict between these Terms and the terms of any Statement of Work, these Terms shall govern.

### **Section 1. Confidentiality**

With respect to this Agreement and any information supplied in connection with this Agreement and designated by the disclosing party (the "Disclosing Party") as "Confidential Information" either by marking it as "confidential" prior to disclosure to the receiving party (the "Recipient") or, if such information is disclosed orally or by inspection, then by indicating to the Recipient that the information is confidential at the time of disclosure and confirming in writing to the Recipient, the confidential nature of the information within ten (10) business days of such disclosure, the Recipient agrees to: (i) protect the Confidential Information in the same manner in which it protects its confidential information of like importance, but in no case using less than reasonable care; (ii) use the Confidential Information only to perform its obligations under this Agreement; and (iii) reproduce Confidential Information only as required to perform its obligations under this Agreement. This section shall not apply to information which is (A) publicly known, (B) already known to the recipient, (C) disclosed by Recipient to a third party without restriction, (D) independently developed, or (E) disclosed pursuant to legal requirement or order, or as is required by regulations or professional standards governing the Services performed. Subject to the foregoing, Baker Tilly may disclose Client's Confidential Information to its subcontractors and subsidiaries.

### **Section 2. Deliverables**

(a) Notwithstanding the above and solely with respect to ownership of deliverables in this Section, unless specified otherwise on the applicable Statement of Work, materials specifically prepared by Baker Tilly for Client as a deliverable under a Statement of Work (each a "Deliverable") may, when fully paid for by Client, be used, copied, distributed internally, and modified by Client but solely for its internal business purposes. Client shall not, without Baker Tilly's prior written consent, disclose to a third party, publicly quote or make reference to the Deliverables. Baker Tilly shall retain all right, title and interest in and to: (i) the Deliverables, including but not limited to, all patent, copyright, trademark and other intellectual property rights therein; and (ii) all methodologies, processes, techniques, ideas, concepts, trade secrets and know-how embodied in the Deliverables or that Baker Tilly may develop or supply in connection with this Agreement (the "Baker Tilly Knowledge"). Subject to the confidentiality restrictions contained in Section 1, Baker Tilly may use the Deliverables and the Baker Tilly Knowledge for any purpose. (b) The documentation for this engagement, including the workpapers, is not part of the Deliverables, is the property of Baker Tilly and constitutes confidential information. We may have a responsibility to retain the documentation for a period of time sufficient to satisfy any applicable legal or regulatory requirements for records retention. Baker Tilly does not retain any original client records and we will return such records to you at the completion of the Services rendered under this engagement. When such records are returned to you, it is the Company's responsibility to retain and protect its accounting and other business records for future use, including potential review by any government or other regulatory agencies. By your signature below, you acknowledge and agree that, upon the expiration of the documentation retention period, Baker Tilly shall be free to destroy our workpapers related to this engagement. If we are required by law, regulation or professional standards to make certain documentation available to Regulators, Client hereby authorizes us to do so.

### **Section 3. Acceptance**

Client shall accept Deliverables which (i) substantially conform to the specifications in the Statement of Work or (ii) where applicable, successfully complete the mutually agreed to acceptance test plan described in the Statement of Work. Client will promptly give Baker Tilly written notification of any nonconformance of the Deliverables with such requirements (Nonconformance) within thirty (30) days following delivery of such Deliverables, and Baker Tilly shall have a reasonable period of time, based on the severity and complexity of the Nonconformance, to

correct the Nonconformance so that the Deliverables substantially conform to the specifications. If Client uses the Deliverable before acceptance, fails to promptly notify Baker Tilly of any Nonconformance within such 30-day period, or delays the beginning of acceptance testing more than five (5) business days past the agreed upon date for the start of such acceptance testing as specified or otherwise determined under the Statement of Work, then the Deliverable shall be deemed irrevocably accepted by the Client.

### **Section 4. Standards of Performance**

Baker Tilly shall perform its Services in conformity with the terms expressly set forth in this Agreement. Accordingly, our Services shall be evaluated on our substantial conformance with such terms and standards. Any claim of nonconformance (and applicability of such standards) must be clearly and convincingly shown. Client acknowledges that the Services will involve the participation and cooperation of management and others of Client. Unless required by professional standards of Client and Baker Tilly otherwise agree in writing, Baker Tilly shall have no responsibility to update any of its work after its completion.

### **Section 5. Warranty**

(a) Each party represents and warrants to the other that it has full power and authority to enter into and perform this Agreement and any Statement of Work entered into pursuant hereto and the person signing this Agreement or such Statement of Work on behalf of each party hereto has been properly authorized and empowered to enter into this Agreement.

(b) Client warrants that it has the legal right and authority, and will continue to have the legal right and authority during the term of this Agreement, to operate, configure, provide, place, install, upgrade, add, maintain and repair (and authorize Baker Tilly to do any of the foregoing to the extent the same are included in the Services) the hardware, software and data that comprises any of Client's information technology system upon which or related to which Baker Tilly provides Services under this Agreement.

(c) Baker Tilly warrants that any Services that it provides to Client under this Agreement and any Statement of Work will be performed in accordance with generally accepted industry standards of care and competence. Client's sole and exclusive remedy for a breach of Baker Tilly's warranty will be for Baker Tilly, in its sole discretion, to either: (i) use its reasonable commercial efforts to re-perform or correct the Services, or (ii) refund the fee Client paid for the Services that are in breach of Baker Tilly's warranty. Client must make a claim for breach of warranty in writing within thirty (30) days of the date that the Services that do not comply with Baker Tilly's warranty are performed. This warranty is voided in the event that Client makes alterations to the Services provided by Baker Tilly or to the environment in which the Services are used (including the physical, network and systems environments) that are not authorized in writing by Baker Tilly. If Client does not notify Baker Tilly of a breach of Baker Tilly's warranty during that 30-day period, Client will be deemed to have irrevocably accepted the Services.

(d) Baker Tilly does not warrant any third-party product (each, a Product). All Products are provided to Client by Baker Tilly "AS IS." Baker Tilly will, to the extent it is allowed to by its vendors, pass through any warranties and indemnifications provided by the manufacturer of the Product. Client, recognizing that Baker Tilly is not the manufacturer of any Product, expressly waives any claim that Client may have against Baker Tilly based upon any product liability or infringement or alleged infringement of any patent, copyright, trade secret or other intellectual property right (each a Claim) with respect to any Product and also waives any right to indemnification from Baker Tilly against any such Claim made against Client by another. Client acknowledges that no employee of Baker Tilly or any other party is authorized to make any representation or warranty on behalf of Baker Tilly that is not in this Agreement.

## Baker Tilly US, LLP

### Standard Business Terms (cont.)

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(e) This section 5 is Baker Tilly's only warranty concerning the Services and any deliverable, and is made expressly in lieu of all other warranties and representations, express or implied, including any implied warranties of merchantability, ACCURACY, TITLE, noninfringement or fitness for a particular purpose, or otherwise.

#### **Section 6. Limitation on Damages and Indemnification**

(a) The liability (including attorney's fees and all other costs) of Baker Tilly and its present or former partners, principals, agents or employees related to any claim for damages relating to the Services performed under this Agreement shall not exceed the fees paid to Baker Tilly for the portion of the work to which the claim relates, except to the extent finally determined to have resulted from the willful misconduct or fraudulent behavior of Baker Tilly relating to such Services. This limitation of liability is intended to apply to the full extent allowed by law, regardless of the grounds or nature of any claim asserted, including the negligence of either party. Additionally, in no event shall either party be liable for any lost profits, lost business opportunity, lost data, consequential, special, incidental, exemplary or punitive damages, delays, interruptions or viruses arising out of or related to this Agreement even if the other party has been advised of the possibility of such damages.

(b) As Baker Tilly is performing the Services solely for the benefit of Client, Client will indemnify Baker Tilly, its subsidiaries and their present or former partners, principals, employees, officers and agents against all costs, fees, expenses, damages and liabilities (including attorneys' fees and all defense costs) associated with any third-party claim, relating to or arising as a result of the Services, Client's use of the Deliverables, or this Agreement.

(c) In the event Baker Tilly is requested by the Client; or required by government regulation, subpoena or other legal process to produce our engagement working papers or its personnel as witnesses with respect to its Services rendered for the Client, so long as Baker Tilly is not a party to the proceeding in which the information is sought, Client will reimburse Baker Tilly for its professional time and expenses, as well as the fees and legal expenses, incurred in responding to such a request.

(d) Because of the importance of the information that Client provides to Baker Tilly with respect to Baker Tilly's ability to perform the Services, Client hereby releases Baker Tilly and its present and former partners, principals, agents and employees from any liability, damages, fees, expenses and costs, including attorney's fees, relating to the Services, that arise from or relate to any information, including representations by management, provided by Client, its personnel or agents, that is not complete, accurate or current, whether or not management knew or should have known that such information was not complete, accurate or current.

(e) Each party recognizes and agrees that the warranty disclaimers and liability and remedy limitations in this Agreement are material bargained for bases of this Agreement and that they have been taken into account and reflected in determining the consideration to be given by each party under this Agreement and in the decision by each party to enter into this Agreement.

(f) The terms of this Section 6 shall apply regardless of the nature of any claim asserted (including, but not limited to, contract, tort or any form of negligence, whether of Client, Baker Tilly or others), but these Terms shall not apply to the extent finally determined to be contrary to the applicable law or regulation. These Terms shall also continue to apply after any termination of this Agreement.

(g) Client accepts and acknowledges that any legal proceedings arising from or in conjunction with the Services provided under this Agreement must be commenced within twelve (12) months after the performance of the Services for which the action is brought, without consideration as to the time of discovery of any claim or any other statutes of limitations or repose.

#### **Section 7. Personnel**

During the term of this Agreement, and for a period of six (6) months following the expiration or termination thereof, neither party will actively solicit the employment of the personnel of the other party involved directly with providing Services hereunder. Both parties acknowledge that the fee for hiring personnel from the other party, during the project term and within six months following completion, will be a fee equal to the hired person's annual salary at the time of the violation so as to reimburse the party for the costs of hiring and training a replacement.

#### **Section 8. Data Privacy and Security**

(a) To the extent the Services require Baker Tilly receive personal data or personal information from Client, Baker Tilly may process any personal data or personal information, as those terms are defined in applicable privacy laws, in accordance with the requirements of the

applicable privacy law relevant to the processing in providing Services hereunder. Applicable privacy laws may include any local, state, federal or international laws, standards, guidelines, policies or regulations governing the collection, use, disclosure, sharing or other processing of personal data or personal information with which Baker Tilly or its Clients must comply. Such privacy laws may include (i) the EU General Data Protection Regulation 2016/679 (GDPR); (ii) the California Consumer Privacy Act of 2018 (CCPA); and/or (iii) other laws regulating marketing communications, requiring security breach notification, imposing minimum security requirements, requiring the secure disposal of records, and other similar requirements applicable to the processing of personal data or personal information. Baker Tilly is acting as a Service Provider/Data Processor in relation to Client personal data and personal information, as those terms are defined respectively under the CCPA/GDPR. Client is responsible for notifying Baker Tilly of any data privacy laws the data provided to Baker Tilly is subject to and Client represents and warrants it has all necessary authority (including any legally required consent from data subjects) to transfer such information and authorize Baker Tilly to process such information in connection with the Services described herein. Client agrees that Baker Tilly has the right to generate aggregated/de-identified data from the accounting and financial data provided by Client to be used for Baker Tilly business purposes and with the outputs owned by Baker Tilly. For clarity, Baker Tilly will only disclose aggregated/de-identified data in a form that does not identify Client, Client employees, or any other individual or business entity and that is stripped of all persistent identifiers. Client is not responsible for Baker Tilly's use of aggregated/de-identified data.

(b) Baker Tilly has established information security related operational requirements that support the achievement of our information security commitments, relevant information security related laws and regulations, and other information security related system requirements. Such requirements are communicated in Baker Tilly's policies and procedures, system design documentation, and contracts with customers. Information security policies have been implemented that define our approach to how systems and data are protected. Client is responsible for providing timely written notification to Baker Tilly of any additions, changes or removals of access for Client personnel to Baker Tilly provided systems or applications. If Client becomes aware of any known or suspected information security or privacy related incidents or breaches related to this agreement, Client should timely notify Baker Tilly via email at [dataprotectionofficer@bakertilly.com](mailto:dataprotectionofficer@bakertilly.com).

# Baker Tilly US, LLP

## Standard Business Terms (cont.)

### **Section 9. Termination**

(a) This Agreement may be terminated at any time by either party upon written notice to the other. However, upon termination of this Agreement, this Agreement will continue to remain in effect with respect to any Statement(s) of Work already issued at the time of such termination, until such Statements of Work are themselves either terminated or the performance thereunder is completed.

(b) This Agreement and all Statements of Work may be terminated by either party effective immediately and without notice, upon: (i) the dissolution, termination of existence, liquidation or insolvency of the other party, (ii) the appointment of a custodian or receiver for the other party, (iii) the institution by or against the other party of any proceeding under the United States Bankruptcy Code or any other foreign, federal or state bankruptcy, receivership, insolvency or other similar law affecting the rights of creditors generally, or (iv) the making by the other party of any assignment for the benefit of creditors.

(c) Client shall pay Baker Tilly for all Services rendered and expenses incurred as of the date of termination, and shall reimburse Baker Tilly for all reasonable costs associated with any termination. In the event that collection procedures are required, the Company agrees to be responsible for all expenses of collection including related attorneys' fees.

(d) Any rights and duties of the parties that by their nature extend beyond the expiration or termination of this Agreement, including but not limited to, limitation of liability, confidentiality, ownership of work product, and survival of obligations, any accrued rights to payment and remedies for breach of this Agreement shall survive the expiration or termination of this Agreement or any Statement of Work.

### **Section 10. Dispute Resolution**

(a) Except for disputes related to confidentiality or intellectual property rights, all disputes and controversies between the parties hereto of every kind and nature arising out of or in connection with this Agreement as to the existence, construction, validity, interpretation or meaning, performance, nonperformance, enforcement, operation, breach, continuation or termination of this Agreement shall be resolved as set forth in this Section using the following procedure: In the unlikely event that differences concerning the Services or fees should arise that are not resolved by mutual agreement, both parties agree to attempt in good faith to settle the dispute by engaging in mediation administered by the American Arbitration Association under its mediation rules for professional accounting and related services disputes before resorting to litigation or any other dispute-resolution procedure. Each party shall bear their own expenses from mediation and the fees and expenses of the mediator shall be shared equally by the parties. If the dispute is not resolved by mediation, then the parties agree that the dispute or claim shall be settled by binding arbitration. The arbitration proceeding shall take place in the city in which the Baker Tilly office providing the relevant Services is located, unless the parties mutually agree to a different location. The proceeding shall be governed by the provisions of the Federal Arbitration Act (FAA) and will proceed in accordance with the then current Arbitration Rules for Professional Accounting and Related Disputes of the AAA, except that no prehearing discovery shall be permitted unless specifically authorized by the arbitrator. The arbitrator will be selected from AAA, JAMS, the Center for Public Resources, or any other internationally or nationally-recognized organization mutually agreed upon by the parties. Potential arbitrator names will be exchanged within 15 days of the parties' agreement to settle the dispute or claim by binding arbitration, and arbitration will thereafter proceed expeditiously. Any issue concerning the extent to which any dispute is subject to arbitration, or concerning the applicability, interpretation or enforceability of any of these procedures, shall be governed by the FAA and resolved by the arbitrators. The arbitration will be conducted before a single arbitrator, experienced in accounting and auditing matters. The arbitrator shall have no authority to award nonmonetary or equitable relief and will not have the right to award punitive damages or statutory awards. Furthermore, in no event shall the arbitrator have power to make an award that would be inconsistent with the Engagement Letter or any amount that could not be made or imposed by a court deciding the matter in the same jurisdiction. The award of the arbitration shall be in writing and shall be accompanied by a well reasoned opinion. The award issued by the arbitrator may be confirmed in a judgment by any federal or state court of competent jurisdiction. Discovery shall be permitted in arbitration only to the extent, if any, expressly authorized by the arbitrator(s) upon a showing of substantial need. Each party shall be responsible for their own costs associated with the arbitration, except that the costs of the arbitrator shall be equally divided by the parties. Both parties agree and acknowledge that they are each giving up the Consulting Terms

right to have any dispute heard in a court of law before a judge and a jury, as well as any appeal. The arbitration proceeding and all information disclosed during the arbitration shall be maintained as confidential, except as may be required for disclosure to professional or regulatory bodies or in a related confidential arbitration. The arbitrator(s) shall apply the limitations period that would be applied by a court deciding the matter in the same jurisdiction, including the contractual limitations set forth in this Engagement Letter, and shall have no power to decide the dispute in any manner not consistent with such limitations period. The arbitrator(s) shall be empowered to interpret the applicable statutes of limitations.

(b) Because a breach of any the provisions of this Agreement concerning confidentiality or intellectual property rights will irreparably harm the nonbreaching party, Client and Baker Tilly agree that if a party breaches any of its obligations thereunder, the nonbreaching party shall, without limiting its other rights or remedies, be entitled to seek equitable relief (including, but not limited to, injunctive relief) to enforce its rights thereunder, including without limitation protection of its proprietary rights. The parties agree that the parties need not invoke the mediation procedures set forth in this section in order to seek injunctive or declaratory relief.

### **Section 11. Force Majeure**

In the event that either party is prevented from performing, or is unable to perform, any of its obligations under this Agreement due to any act of God, fire, casualty, flood, war, strike, lock out, failure of public utilities, injunction or any act, exercise, assertion or requirement of any governmental authority, epidemic, destruction of production facilities, insurrection, inability to obtain labor, materials, equipment, transportation or energy sufficient to meet needs, or any other cause beyond the reasonable control of the party invoking this provision (**Force Majeure Event**), and if such party shall have used reasonable efforts to avoid such occurrence and minimize its duration and has given prompt written notice to the other party, then the affected party's failure to perform shall be excused and the period of performance shall be deemed extended to reflect such delay as agreed upon by the parties.

### **Section 12. Taxes**

Baker Tilly's fees are exclusive of any federal, national, regional, state, provincial or local taxes, including any VAT or other withholdings, imposed on this transaction, the fees, or on Client's use of the Services or possession of the Deliverable (individually or collectively, the Taxes), all of which shall be paid by Client without deduction from any fees owed by Client to Baker Tilly. In the event Client fails to pay any Taxes when due, Client shall defend, indemnify, and hold harmless Baker Tilly, its officers, agents, employees and consultants from and against any and all fines, penalties, damages, costs (including, but not limited to, claims, liabilities or losses arising from or related to such failure by Client) and will pay any and all damages, as well as all costs, including, but not limited to, mediation and arbitration fees and expenses as well as attorneys' fees, associated with Client's breach of this Section 12.

### **Section 13. Notices**

Any notice or communication required or permitted under this Agreement or any Statement of Work shall be in writing and shall be deemed received (i) on the date personally delivered; or (ii) the date of confirmed receipt if sent by Federal Express, DHL, UPS or any other reputable carrier service, to applicable party (sending it to the attention of the title of the person signing this Agreement) at the address specified on the signature page of this Agreement or such other address as either party may from time to time designate to the other using this procedure.

### **Section 14. Miscellaneous**

(a) This Agreement, any Statement(s) of Work and any applicable Online Terms related to any "Online Offering" constitute the entire agreement between Baker Tilly and Client with respect to the subject matter hereof and supersede all prior agreements, promises, understandings and negotiations, whether written or oral, regarding the subject matter hereof. For clarity and avoidance of doubt, these Terms govern Baker Tilly's provision of the Services described herein, and the Online Terms govern Company's use of the Online Offering. No terms in any Client purchase order that are different from, or additional to, the terms of this Agreement will be accorded any legal effect and are specifically hereby objected to by Baker Tilly. This Agreement and any Statement of Work cannot be amended unless in writing and signed by duly authorized representatives of each party. Headings in this Agreement are included for convenience only and are not to be used to construe or interpret this Agreement.

(b) In the event that any provision of this Agreement or any Statement of Work is held by a court of competent jurisdiction to be unenforceable because it is invalid or in conflict with any law of any relevant jurisdiction,

## Baker Tilly US, LLP Standard Business Terms (cont.)

the validity of the remaining provisions shall not be affected, and the rights and obligations of the parties shall be construed and enforced as if the Agreement or such Statement of Work did not contain the particular provisions held to be unenforceable. The unenforceable provisions shall be replaced by mutually acceptable provisions which, being valid, legal and enforceable, come closest to the intention of the parties underlying the invalid or unenforceable provision. If the Services should become subject to the independence rules of the U.S. Securities and Exchange Commission with respect to Client, such that any provision of this Agreement would impair Baker Tilly's independence under its rules, such provision(s) shall be of no effect.

(c) Neither this Agreement, any Statement of Work, any claims nor any rights or licenses granted hereunder may be assigned, delegated or subcontracted by Client without the written consent of Baker Tilly. Either party may assign and transfer this Agreement and any Statement of Work to any successor that acquires all or substantially all of the business or assets of such party by way of merger, consolidation, other business reorganization, or the sale of interests or assets, provided that the party notifies the other party in writing of such assignment and the successor agrees in writing to be bound by the terms and conditions of this Agreement.

(d) The validity, construction and enforcement of this Agreement shall be determined in accordance with the laws of the State of Illinois, without reference to its conflicts of laws principles, and any action (whether by arbitration or in court) arising under this Agreement shall be brought exclusively in the State of Illinois. Both parties consent to the personal jurisdiction of the state and federal courts located in Illinois.

(e) The parties hereto are independent contractors. Nothing herein shall be deemed to constitute either party as the representative, agent, partner or joint venture of the other. Baker Tilly shall have no authority to bind Client to any third-party agreement. Though the Services may include Baker Tilly's advice and recommendations, all decisions

regarding the implementation of such advice or recommendations shall be the responsibility of, and made by, Client.

(f) The failure of either party at any time to enforce any of the provisions of this Agreement or a Statement of Work will in no way be construed as a waiver of such provisions and will not affect the right of party thereafter to enforce each and every provision thereof in accordance with its terms.

(g) Client acknowledges that: (i) Baker Tilly and Client may correspond or convey documentation via Internet e-mail unless Client expressly requests otherwise, (ii) neither party has control over the performance, reliability, availability or security of Internet e-mail, and (iii) Baker Tilly shall not be liable for any loss, damage, expense, harm or inconvenience resulting from the loss, delay, interception, corruption or alteration of any Internet e-mail.

(h) Except to the extent expressly provided to the contrary, no third-party beneficiaries are intended under this Agreement.

(i) The Services performed under this Agreement do not include the provision of legal advice and Baker Tilly makes no representations regarding questions of legal interpretation. Client should consult with its attorneys with respect to any legal matters or items that require legal interpretation under federal, state or other type of law or regulation.

(j) Baker Tilly US, LLP is an independent member of Baker Tilly International. Baker Tilly International Limited is an English company. Baker Tilly International provides no professional services to clients. Each member firm is a separate and independent legal entity and each describes itself as such. Baker Tilly US, LLP is not Baker Tilly International's agent and does not have the authority to bind Baker Tilly International or act on Baker Tilly International's behalf. None of Baker Tilly International, Baker Tilly US, LLP, nor any of the other member firms of Baker Tilly International has any liability for each other's acts or omissions. The name Baker Tilly and its associated logo is used under license from Baker Tilly International Limited.

### *Acknowledgement:*

The Business Terms above correctly sets forth the understanding of the Client.

### *Accepted by:*

Signature: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_



# BUSINESS ASSOCIATE CONTRACT BETWEEN BAKER TILLY US, LLP, and WINNEBAGO COUNTY

## Definitions

Catch-all definition: Terms used, but not otherwise defined, in this Agreement shall have the same meaning as those terms in the Privacy Rule and the Security Rule.

- (a) Business Associate. "Business Associate" shall mean Baker Tilly US, LLP
- (b) Covered Entity. "Covered Entity" shall mean Winnebago County
- (c) Individual. "Individual" shall have the same meaning as the term "individual" in 45 CFR 164.501 and shall include a person who qualifies as a personal representative in accordance with 45 CFR 164.502(g).
- (d) Privacy Rule. "Privacy Rule" shall mean the Standards for Privacy of Individually Identifiable Health Information at 45 CFR part 160 and part 164, subparts A and E.
- (e) Protected Health Information. "Protected Health Information" shall have the same meaning as the term "protected health information" in 45 CFR 160.103 and 45 CFR 164.501, limited to the information created or received by Business Associate from or on behalf of Covered Entity.
- (f) Required By Law. "Required By Law" shall have the same meaning as the term "required by law" in 45 CFR 164.501.
- (g) Secretary. "Secretary" shall mean the Secretary of the Department of Health and Human Services or his designee.
- (h) Security Rule. "Security Rule" shall mean Security Standards for the Protection of Electronic Protected Health Information at 45 CFR part 164, subpart C.

## Obligations and Activities of Business Associate

- (a) Business Associate agrees to not use or disclose Protected Health Information other than as permitted or required by the Agreement or as Required by Law.
- (b) Business Associate agrees to use appropriate safeguards to prevent use or disclosure of the Protected Health Information other than as provided for by this Agreement.
- (c) Business Associate agrees to mitigate, to the extent practicable, any harmful effect that is known to Business Associate of a use or disclosure of Protected Health Information by Business Associate in violation of the requirements of this Agreement.
- (d) Business Associate agrees to report to Covered Entity any use or disclosure of the Protected Health Information not provided for by this Agreement of which it becomes aware.
- (e) Business Associate agrees to ensure that any agent, including a subcontractor, to whom it provides Protected Health Information received from, or created or received by Business Associate on behalf of Covered Entity, agrees to the same restrictions and conditions that apply through this Agreement to Business Associate with respect to such information.
- (f) Business Associate agrees to provide access, at the request of Covered Entity, within a reasonable amount of time (not to exceed 30 days from the request, unless infeasible to provide in such time, and Business Associate notifies Covered Entity of, and reasons for, the delay), to Protected Health Information in a Designated Record Set, to Covered Entity or, as directed by Covered Entity, to an Individual in order to meet the requirements under 45 CFR 164.524.
- (g) Business Associate agrees to make any amendment(s) to Protected Health Information in a Designated Record Set that the Covered Entity directs or agrees to pursuant to 45 CFR 164.526 at the request of Covered Entity or an Individual, within a reasonable amount of time (not to exceed 30 days from the request, unless infeasible to provide in such time, and Business Associate notifies Covered Entity of, and reasons for, the delay).
- (h) Business Associate agrees to make internal practices, books, and records, including policies and procedures and Protected Health Information, relating to the use and disclosure of Protected Health Information received from, or created or received by Business Associate on behalf of, Covered Entity

available to the Covered Entity, or to the Secretary, for purposes of the Secretary determining Covered Entity's compliance with the Privacy Rule.

- (i) Business Associate agrees to document such disclosures of Protected Health Information and information related to such disclosures as would be required for Covered Entity to respond to a request by an Individual for an accounting of disclosures of Protected Health Information in accordance with 45 CFR 164.528.
- (j) Business Associate agrees to provide to Covered Entity or an Individual, within a reasonable time under the circumstances, information collected in accordance with Section (i) of this Agreement, to permit Covered Entity to respond to a request by an Individual for an accounting of disclosures of Protected Health Information in accordance with 45 CFR 164.528.
- (k) Effective December 7, 2022, Business Associate agrees to implement administrative, physical, and technical safeguards that reasonably and appropriately protect the confidentiality, integrity, and availability of the electronic PHI that it creates, receives, maintains, or transmits on behalf of Covered Entity.
- (l) Effective December 7, 2022, Business Associate agrees to ensure that any agent, including a subcontractor, to whom it provides electronic PHI also agrees to implement reasonable and appropriate safeguards to protect the confidentiality, integrity, and availability of the electronic PHI that it creates, receives, maintains, or transmits on behalf of Covered Entity.
- (m) Effective December 7, 2022, Business Associate agrees to report to Covered Entity any attempted or successful unauthorized access, use, disclosure, modification, or destruction of information or interference with system operations in an information system of which it becomes aware.

### **Permitted Uses and Disclosures by Business Associate General Use and Disclosure Provisions**

- (a) Except as otherwise limited in this Agreement, Business Associate may use or disclose Protected Health Information to perform functions, activities, or services for, or on behalf of, Covered Entity as specified in the Administrative Services Agreement, provided that such use or disclosure would not violate the Privacy Rule if done by Covered Entity or the minimum necessary policies and procedures of the Covered Entity.
- (b) Except as otherwise limited in this Agreement, Business Associate may use Protected Health Information for the proper management and administration of the Business Associate or to carry out the legal responsibilities of the Business Associate.
- (c) Except as otherwise limited in this Agreement, Business Associate may disclose Protected Health Information for the proper management and administration of the Business Associate, provided that disclosures are Required By Law, or Business Associate obtains reasonable assurances from the person to whom the information is disclosed that it will remain confidential and used or further disclosed only as Required By Law or for the purpose for which it was disclosed to the person, and the person notifies the Business Associate of any instances of which it is aware in which the confidentiality of the information has been breached.
- (d) Except as otherwise limited in this Agreement, Business Associate may use Protected Health Information to provide Data Aggregation services to Covered Entity as permitted by 45 CFR 164.504(e)(2)(i)(B).
- (e) Business Associate may use Protected Health Information to report violations of law to appropriate Federal and State authorities, consistent with Sec. 164.502(j)(1).

### **Obligations of Covered Entity**

- (a) Covered Entity shall notify Business Associate of any limitation(s) in its notice of privacy practices of Covered Entity in accordance with 45 CFR 164.520, to the extent that such limitation may affect Business Associate's use or disclosure of Protected Health Information.
- (b) Covered Entity shall notify Business Associate of any changes in, or revocation of, permission by Individual to use or disclose Protected Health Information, to the extent that such changes may affect Business Associate's use or disclosure of Protected Health Information.
- (c) Covered Entity shall notify Business Associate of any restriction to the use or disclosure of Protected Health Information that Covered Entity has agreed to in accordance with 45 CFR 164.522, to the extent that such restriction may affect Business Associate's use or disclosure of Protected Health Information.

## Permissible Requests by Covered Entity

Covered Entity shall not request Business Associate to use or disclose Protected Health Information in any manner that would not be permissible under the Privacy Rule if done by Covered Entity.

## Term and Termination

- (a) Term. The Term of this Agreement shall be effective as of the date signed below, and shall terminate when all of the Protected Health Information provided by Covered Entity to Business Associate, or created or received by Business Associate on behalf of Covered Entity, is destroyed or returned to Covered Entity, or, if it is infeasible to return or destroy Protected Health Information, protections are extended to such information, in accordance with the termination provisions in this Section.
- (b) Termination for Cause. Upon Covered Entity's knowledge of a material breach by Business Associate, Covered Entity shall either:
- (1) Provide an opportunity for Business Associate to cure the breach or end the violation and terminate this Agreement, as well as the Administrative Services Agreement, if Business Associate does not cure the breach or end the violation within a reasonable time specified by Covered Entity;
  - (2) Immediately terminate this Agreement, as well as the Administrative Services Agreement if Business Associate has breached a material term of this Agreement and cure is not possible; or
  - (3) If neither termination nor cure is feasible, Covered Entity shall report the violation to the Secretary.
- (c) Effect of Termination.
- (1) Except as provided in paragraph (2) of this section, upon termination of this Agreement, for any reason, Business Associate shall return or destroy all Protected Health Information received from Covered Entity, or created or received by Business Associate on behalf of Covered Entity. This provision shall apply to Protected Health Information that is in the possession of subcontractors or agents of Business Associate. Business Associate shall retain no copies of the Protected Health Information.
  - (2) In the event that Business Associate determines that returning or destroying the Protected Health Information is infeasible, Business Associate shall provide to Covered Entity notification of the conditions that make return or destruction infeasible. Upon notification to Covered Entity, to be made as soon as is reasonably possible, that return or destruction of Protected Health Information is infeasible, Business Associate shall extend the protections of this Agreement to such Protected Health Information and limit further uses and disclosures of such Protected Health Information to those purposes that make the return or destruction infeasible, for so long as Business Associate maintains such Protected Health Information.

## Miscellaneous

- (a) Indemnification. Business Associate shall defend and hold Covered Entity harmless from all claims, liabilities, damages, or judgments involving a third party, including Covered Entity's costs and attorney fees, which arise as a result of Business Associate's material failure to meet any of its obligations under this Agreement.
- (b) Regulatory References. A reference in this Agreement to a section in the Privacy Rule means the section as in effect or as amended.
- (c) Amendment. The Parties agree to take such action as is necessary to amend this Agreement from time to time as is necessary for Covered Entity to comply with the requirements of the Privacy Rule and the Health Insurance Portability and Accountability Act of 1996, Pub. L. No. 104-191.
- (d) Survival. The respective rights and obligations of Business Associate under Section (c) of the Term and Termination portion of this Agreement shall survive the termination of this Agreement.
- (e) Interpretation. Any ambiguity in this Agreement shall be resolved to permit Covered Entity to comply with the Privacy Rule.

IN WITNESS WHEREOF, the parties hereto have executed this Agreement effective as of December 7, 2022.

BAKER TILLY US, LLP

WINNEBAGO COUNTY

Signature: \_\_\_\_\_

Signature: \_\_\_\_\_

Name: Edward A. Klik, Jr.

Name: \_\_\_\_\_

Title: Principal - Health Care Services

Title: \_\_\_\_\_

Date: December 7, 2022

Date: \_\_\_\_\_