

FINANCE COMMITTEE

AGENDA

Called by: Jaime Salgado, Chairman
Members: Steve Schultz, John
Butitta, Paul Arena, Joe Hoffman,
Jean Crosby, Keith McDonald

DATE: THURSDAY, OCTOBER 6, 2022
TIME: **5:30 PM**
LOCATION: ROOM 303
COUNTY ADMINISTRATION BLDG
404 ELM STREET
ROCKFORD, IL 61101

AGENDA:

- A. Call to Order
- B. Roll Call
- C. Approval of July 7, 2022 Minutes
- D. Public Comment – This is the time we invite the public to address the Finance Committee with issues and concerns. We ask you to limit your comments to three minutes. Personal attacks or inappropriate language of any sort will not be tolerated. We will allow a maximum of five speakers on a first come basis with sign up at the meeting. Speakers may not address zoning matters which are pending before the ZBA, the Zoning Committee or the County Board. Personnel matters or pending or threatened litigation may not be addressed in open session. An individual may speak a maximum of three times per calendar year on the same topic. This prohibition shall include the repetition of the same topic in a statement on what is purported to be a different topic. After acknowledgement by the chair, please stand and state your name. Thank you.
- E. Discussion Item Cost Fee Study (Jerry Wolfe from MGT)
- F. Discussion Item ARP Phase 4 (David J. Rickert)
- G. An Ordinance providing for the issuance of approximately \$12,500,000 of General Obligation Refunding Bonds (Alternate Revenue Source), providing for the pledge of certain revenues to the payment of principal and interest on the Bonds and the levy of a direct annual tax sufficient to pay such principal and interest if the pledged revenues are insufficient to make such payment, and providing for the sale of the Bonds to the purchaser thereof
- H. Ordinance to Amend the Budget for River Bluff Nursing Medicaid Audit Transfer
- I. Resolution Approving Nurse Call System for River Bluff Nursing Home
- J. Resolution Awarding HPE Aruba Wireless Access Points and Brackets (Bid 22B-2254)
- K. Resolution Awarding Replacement HPE Servers (Bid 22B-2255)
- L. Ordinance to Amend the Budget for ETSB Salary Reimbursement
- M. Other Matters
- N. Adjournment

**Winnebago County Board
Finance Committee Meeting**
County Administration Building
404 Elm Street, Room 303
Rockford, IL 61101

Thursday, July 7, 2022
5:30 PM

Present:

Jaime Salgado, **Chairman**
John Butitta
Paul Arena
Joe Hoffman
Jean Crosby
Keith McDonald

Others Present:

Pat Thompson, County Administrator
Lafakeria Vaughn, State's Attorney's Office
Marlana Dokken, Director, Chairman's Office of Criminal Justice
Initiatives

Absent:

Steve Schultz

AGENDA:

- A. Call to Order
- B. Roll Call
- C. Approval of April 21, 2022 Minutes
- D. Public Comment – This is the time we invite the public to address the Finance Committee with issues and concerns. We ask you to limit your comments to three minutes. Personal attacks or inappropriate language of any sort will not be tolerated. We will allow a maximum of five speakers on a first come basis with sign up at the meeting. Speakers may not address zoning matters which are pending before the ZBA, the Zoning Committee or the County Board. Personnel matters or pending or threatened litigation may not be addressed in open session. An individual may speak a maximum of three times per calendar year on the same topic. This prohibition shall include the repetition of the same topic in a statement on what is purported to be a different topic. After acknowledgement by the chair, please stand and state your name. Thank you.
- E. Discussion Item Bond Refinance (David Rickert)
- F. Resolution Amending the Fiscal Year 2023 Budget Policy
- G. Resolution Authorizing Settlement of Pending Litigation (William Pillion v. Winnebago County et al.)
- H. Future Agenda Items
- I. Adjournment

Chairman Salgado called the meeting to order at 5:31 PM.

Motion to approve the minutes of April 21, 2022

Moved: Mr. Hoffman, Seconded: Ms. Crosby.

Motion passed by unanimous voice vote.

Public Comment

Chairman Salgado omitted reading the Public Comment section of the Agenda due to no one present to speak.

Discussion Item Bond Refinance (David Rickert)

- A discussion followed.

Resolution Amending the Fiscal Year 2023 Budget Policy

Motion by Mr. Hoffman and Seconded by Mr. McDonald.

- A discussion followed.

Motion passed by unanimous voice vote.

Resolution Authorizing Settlement of Pending Litigation (William Pillion v. Winnebago County et al.)

Motion by Ms. Crosby and Seconded by Mr. Hoffman.

- A discussion followed.

Motion passed by unanimous voice vote.

Future Agenda Items

- Mr. Salgado spoke about the budget process and will have information going forward. Also hope to get more information from Mr. Rickert going forward.

Motion to adjourn. Moved: Mr. Hoffman, Seconded: Ms. Crosby.

Motion passed by unanimous voice vote.

Respectfully submitted,

Amy Ferling
Administrative Assistant

ARP Phase 4 Request List

Items transferred to ARP Phase one. These are to use any surplus contingency

Request	Submitter	Baker Tilly		Rank	Phase		Funding
		Approved	Amount		Category	Rating	
County Grant Writer	Marlana Dokken	Yes	\$ 360,000		1	High	ARP
Grant Management System	Marlana Dokken	Yes	\$ 90,000		1	High	ARP
Additional Antimicrobial Carpet	Shawn Franks	Yes	\$ 150,000		1	High	ARP
Highway Department New Garage	Carlos Molina		\$ 2,500,000		1	High	ARP
Coroners Office Equipment Request	Jen Muraski		\$ 100,000		1	High	ARP
CCJS Dashboard	Marlana Dokken	Yes	\$ 159,500		1	Medium	ARP
COCJI Reentry Planning	Marlana Dokken		\$ 100,000		1	Low	ARP
Total Requests			\$ 3,459,500				

ARP Phase 4 Request List

Request	Submitter	Baker Tilly		Rank	Rating
		Approved	Amount		
JDC Body Scanner	Debbie Jarvis	Yes	\$ 93,500	1	1
Winnebago County Employee Hazard Pay	David Rickert	Yes	\$ 2,000,000	5	2
Economic Development AARC at Booker	Dorothy Redd	Yes	\$ 134,080	3	3
Tommy Meeks Juneteenth Event	Tommy Meeks	Yes	\$ 5,000	4	4
Youth Suicide Prevention Program	Marlana Dokken	Yes	\$ 288,000	5	5
Water Resources Strategic Plan	Joe Chiarelli	Yes	\$ 160,829		High
Habitat for Humanity Housing Plan	Dorothy Redd	Yes	\$ 250,000		High
9/11 Emergency Responders Memorial	Will Pederson	Under Review	\$ 33,240		High
American Legion	Burt Gerl	Under Review	\$ 400,000		High
TIF District Water Project	Carlos Molina	Yes	\$ 2,000,000		Medium
Northern Illinois Food Bank - Support Request	Jeannine Kannegiess	Yes	\$ 50,000		Medium
Childrens Advocacy Center	Cathy Pomahac	Yes	\$ 50,000		Medium
Anderson Japanese Gardens Parking Lot			\$ 50,000		Low
Salon Loyalty ARP Request	Dorothy Redd		\$ 170,012		Low
Rockford University Sewer Project	Jean Crosby		\$ 350,000		Low
Shopstead Storefront for the People	Glenn Greenberg	Revenue Loss	\$ 105,000		Low
North Park Fire Department - Lucas System	Joel Hallstrom		\$ 29,774		Low
Zion West After School Program	Marvin Hightower		\$ 80,000		Low
Zion West After School Program (2)	Marvin Hightower		\$ 272,934		Low
Rockford Area Arts Council Support Request	Mary McNamara Ber		\$ 75,000		Low
Cosmetology Beauty Institution	Tyceria Posley		\$ 500,000		Low
Times Theater Project	Vince Chiarelli		\$ 500,000		Low
Total Requests			\$ 7,597,369		
Budget	\$ 4,300,000	Spent:	\$ 2,520,580		
Available after spent	\$ 1,779,420	High:	\$ 844,069		
Available after high	935,351	Medium:	\$ 2,100,000		
Available after Medium	\$ -	Low:	\$ 2,132,720		
Request List as of 2022-09-28.xlsx			Total: \$ 7,597,369		



Resolution Executive Summary

Prepared By: David J. Rickert

Committee: Finance Committee

Committee Date: October 6, 2022

Resolution Title: An ordinance providing for the issuance of approximately \$12,500,000 of General Obligation Refunding Bonds (Alternate Revenue Source), providing for the pledge of certain revenues to the payment of principal and interest on the Bonds and the levy of a direct annual tax sufficient to pay such principal and interest if the pledged revenues are insufficient to make such payment, and providing for the sale of the Bonds to the purchaser thereof.

County Code: Not Applicable

Board Meeting Date: October 13, 2022

Budget Information:

Was item budgeted?	No	Appropriation Amount: to be determined*
If not, explain funding source: 0200 cash reserves		
ORG/OBJ/Project Code:	0200 cash reserves	Budget Impact: to be determined*

* Information on exact cost will be determined by market conditions at the time of sale on 10-13-2022.

Background Information: Please see Resolution Title above for background information. Please note, this document is a placeholder. This will be amended with a completed ordinance after the bond sale completion on the day of the county board meeting on October 13.

Recommendation: Staff Concurs

Contract/Agreement: See attached document

Legal Review: Performed by Chapman and Cutler LLP Bond Council

Follow-Up: Not Applicable

MINUTES of a regular public meeting of the County Board of
The County of Winnebago, Illinois, held in the County Board Room
located in the Winnebago County Courthouse, 400 West State
Street, Rockford, Illinois, in said County at 6:00 o'clock P.M., on
the 13th day of October, 2022.

* * *

The Chairman called the meeting to order and directed the County Clerk to call the roll.

Upon the roll being called, Joe Chiarelli, the Chairman, and the following County Board
Members were physically present at said location: _____

The following County Board Members were allowed by a majority of the members of the
County Board in accordance with and to the extent allowed by rules adopted by the County Board
to attend the meeting by video or audio conference: _____

No County Board Member was not permitted to attend the meeting by video or audio
conference.

The following County Board Members were absent and did not participate in the meeting
in any manner or to any extent whatsoever: _____

The Chairman announced that the next item for consideration would be the proposed
issuance of general obligation alternate bonds for the purpose of refunding the County's

outstanding Series 2013A alternate bonds, and that the County Board would now consider the adoption of an ordinance providing for the issue and sale of the refunding bonds, the pledge of certain revenues to the payment of principal and interest on the refunding bonds and the levy of a direct annual tax sufficient to pay such principal and interest if the pledged revenues are insufficient to make such payment. The Chairman then explained that the ordinance provides for the sale of the Bonds to _____, the best bidder for the Bonds pursuant to a competitive sale conducted by Speer Financial, Inc., the County's Financial Advisor, and summarized the pertinent terms of said bid.

Whereupon County Board Member _____ presented and the County Clerk read by title an ordinance as follows, copies of which were available to everyone in attendance at said meeting who requested a copy:

ORDINANCE NO. _____

AN ORDINANCE providing for the issue of \$_____ General Obligation Refunding Bonds (Alternate Revenue Source), Series 2022, of The County of Winnebago, Illinois, for the purpose of refunding certain outstanding alternate bonds of said County, the pledge of certain revenues to the payment of principal and interest on said bonds and the levy of a direct annual tax sufficient to pay such principal and interest if the pledged revenues are insufficient to make such payment, and the sale of said bonds to the purchaser thereof.

* * *

WHEREAS, The County of Winnebago, Illinois (the “*County*”), is a duly organized and existing unit of local government created and existing under the provisions of the laws of the State of Illinois, and is now operating under the provisions of the Counties Code of the State of Illinois, as amended; and

WHEREAS, the County has heretofore issued and now has outstanding General Obligation Alternate Refunding Bonds (Public Safety Sales Tax Alternate Revenue Source), Series 2013A (the “*Prior Bonds*”); and

WHEREAS, the Prior Bonds are presently outstanding and unpaid and are binding and subsisting legal obligations of the County; and

WHEREAS, the County Board of the County (the “*Board*”) has determined that it is advisable, necessary and in the best interests of the County to refund all of the Prior Bonds due on and after December 30, 2023 (the “*Refunded Bonds*”) in order to restructure the outstanding debt of the County; and

WHEREAS, the refunding of the Refunded Bonds constitutes a lawful corporate purpose within the meaning of the Local Government Debt Reform Act of the State of Illinois, as amended (the “*Act*”); and

WHEREAS, the Board has further determined that, in order to refund the Refunded Bonds, it is advisable, necessary and in the best interests of the County to borrow \$_____ and issue

alternate bonds (the “*Bonds*”), being general obligation bonds payable (a) together with the County’s General Obligation Alternate Refunding Bonds (Public Safety Sales Tax and Quarter Cent Sales Tax Alternate Revenue Sources), Series 2016A, Taxable General Obligation Alternate Refunding Bonds (Public Safety Sales Tax and Quarter Cent Sales Tax Alternate Revenue Sources), Series 2016D, and General Obligation Alternate Refunding Bonds (Public Safety Sales Tax and Quarter Cent Sales Tax Alternate Revenue Sources), Series 2016E (collectively, the “*Parity Bonds*”), from revenues received by the County from the special county retailers’ occupation tax and service occupation tax for public safety purposes (the “*Sales Tax Revenues*” or the “*Pledged Revenues*”), and (b) from ad valorem taxes levied against all of the taxable property in the County without limitation as to rate or amount (the “*Pledged Taxes*”), all in accordance with the Act; and

WHEREAS, the Board hereby determines that the Pledged Revenues received by the County in the fiscal year ended November 30, 2021, were not less than \$33,161,006, such determination being supported by the audit of the County for such fiscal year; and

WHEREAS, the Board hereby further determines that the Pledged Revenues will provide in each year an amount not less than 1.25 times debt service of the Bonds, the same being the only debt obligations of the County payable from the Pledged Revenues, other than the Parity Bonds; and

WHEREAS, on the 11th day of August, 2022, the Board adopted an ordinance authorizing the issuance of the Bonds to the amount of \$12,500,000 (the “*Authorizing Ordinance*”); and

WHEREAS, on the 18th day of August, 2022, the Authorizing Ordinance, together with a notice in the statutory form (the “*Notice*”), was published in the *Rockford Journal*, the same being a newspaper of general circulation in the County, and an affidavit evidencing the publication of the Authorizing Ordinance and the Notice has heretofore been presented to the Board and made a part of the permanent records of the Board; and

WHEREAS, more than thirty (30) days have expired since the date of publication of the Authorizing Ordinance and the Notice, and no petition with the requisite number of valid signatures thereon has been filed with the County Clerk of the County (the “*County Clerk*”) requesting that the question of the issuance of the Bonds be submitted to referendum; and

WHEREAS, the Bonds will share ratably and equally in the Sales Tax Revenues with the Parity Bonds, the same being the only outstanding alternate bonds of the County payable from the Sales Tax Revenues; and

WHEREAS, the ordinances which authorized the Parity Bonds permit the issuance of additional alternate bonds payable from the Sales Tax Revenues in accordance with the provisions of the Act; and

WHEREAS, the Board does hereby find that the Bonds are being issued in accordance with the provisions of the Act; and

WHEREAS, in accordance with the terms of the Prior Bonds, the Refunded Bonds may be called for redemption in advance of their maturity, and it is necessary and desirable to make such call for the redemption of the Refunded Bonds on their earliest practicable redemption date, and provide for the giving of proper notice to the registered owners of the Refunded Bonds; and

WHEREAS, the Board is now authorized to issue alternate bonds to the amount of \$12,500,000 in accordance with the provisions of the Act, and the Board does hereby determine that it is necessary and desirable that there be issued at this time \$_____ of the Bonds so authorized; and

WHEREAS, the Property Tax Extension Limitation Law of the State of Illinois, as amended (the “*PTELL*”), imposes certain limitations on the “aggregate extension” of certain property taxes levied by the County, but provides that the definition of “aggregate extension” contained in the

PTELL does not include extensions made for any taxing district subject to the PTELL to pay interest or principal on bonds issued under Section 15 of the Act; and

WHEREAS, the County Clerk is therefore authorized to extend and collect the Pledged Taxes:

NOW, THEREFORE, Be It Ordained by the County Board of The County of Winnebago, Illinois, as follows:

Section 1. Incorporation of Preambles. The Board hereby finds that all of the recitals contained in the preambles to this Ordinance are full, true and correct and does incorporate them into this Ordinance by this reference.

Section 2. Authorization. It is hereby found and determined that the Board has been authorized by law to borrow the sum of \$12,500,000 upon the credit of the County and as evidence of such indebtedness to issue alternate bonds, being general obligation bonds payable from the Pledged Revenues as provided by the Act, to said amount, the proceeds of said alternate bonds to be used to refund the Refunded Bonds, and it is necessary and for the best interests of the County that there be issued at this time \$_____ of the Bonds so authorized.

Section 3. Bond Details. There be borrowed on the credit of and for and on behalf of the County the sum of \$_____ for the purpose aforesaid; and that the Bonds shall be issued in said amount and shall be designated "General Obligation Refunding Bonds (Alternate Revenue Source), Series 2022." The Bonds shall be dated October 27, 2022, and shall also bear the date of authentication, shall be in fully registered form, shall be in denominations of \$5,000 each and authorized integral multiples thereof (but no single Bond shall represent installments of principal maturing on more than one date), shall be numbered 1 and upward, and the Bonds shall become due and payable serially (without option of prior redemption) on December 30 of each of the years, in the amounts and bearing interest per annum as follows:

YEAR OF MATURITY	PRINCIPAL AMOUNT	RATE OF INTEREST
2023	\$	%
2024		%
2025		%
2026		%
2027		%
2028		%
2029		%
2030		%
2031		%

The Bonds shall bear interest from their date or from the most recent interest payment date to which interest has been paid or duly provided for, until the principal amount of the Bonds is paid, such interest (computed upon the basis of a 360-day year of twelve 30-day months) being payable on June 30 and December 30 of each year, commencing on June 30, 2023.

Interest on each Bond shall be paid by check or draft of The Bank of New York Mellon Trust Company, N.A., Chicago, Illinois (the “*Bond Registrar*”), payable upon presentation in lawful money of the United States of America, to the person in whose name such Bond is registered at the close of business on the 15th day next preceding the interest payment date. The principal of the Bonds shall be payable in lawful money of the United States of America at the principal corporate trust office of the Bond Registrar.

The Bonds shall be signed by the manual or facsimile signatures of the Chairman of the Board and attested with the manual or facsimile signature of the County Clerk and shall have impressed or imprinted thereon the corporate seal of the County, and in case any officer whose signature shall appear on any Bond shall cease to be such officer before the delivery of such Bond, such signature shall nevertheless be valid and sufficient for all purposes, the same as if such officer had remained in office until delivery.

All Bonds shall have thereon a certificate of authentication substantially in the form hereinafter set forth duly executed by the Bond Registrar as authenticating agent of the County and showing the date of authentication. No Bond shall be valid or obligatory for any purpose or be entitled to any security or benefit under this Ordinance unless and until such certificate of authentication shall have been duly executed by the Bond Registrar by manual signature, and such certificate of authentication upon any such Bond shall be conclusive evidence that such Bond has been authenticated and delivered under this Ordinance. The certificate of authentication on any Bond shall be deemed to have been executed by the Bond Registrar if signed by an authorized officer of the Bond Registrar, but it shall not be necessary that the same officer sign the certificate of authentication on all of the Bonds issued hereunder.

Section 4. Registration of Bonds; Persons Treated as Owners. (a) *General.* The County shall cause books (the “*Bond Register*”) for the registration and for the transfer of the Bonds as provided in this Ordinance to be kept at the principal corporate trust office of the Bond Registrar, which is hereby constituted and appointed the registrar of the County. The County is authorized to prepare, and the Bond Registrar shall keep custody of, multiple Bond blanks executed by the County for use in the transfer and exchange of Bonds.

Upon surrender for transfer of any Bond at the principal corporate trust office of the Bond Registrar, duly endorsed by, or accompanied by a written instrument or instruments of transfer in form satisfactory to the Bond Registrar and duly executed by, the registered owner or his or her attorney duly authorized in writing, the County shall execute and the Bond Registrar shall authenticate, date and deliver in the name of the transferee or transferees a new fully registered Bond or Bonds of the same maturity of authorized denominations, for a like aggregate principal amount. Any fully registered Bond or Bonds may be exchanged at said office of the Bond Registrar for a like aggregate principal amount of Bond or Bonds of the same maturity of other

authorized denominations. The execution by the County of any fully registered Bond shall constitute full and due authorization of such Bond and the Bond Registrar shall thereby be authorized to authenticate, date and deliver such Bond, *provided, however*, the principal amount of outstanding Bonds of each maturity authenticated by the Bond Registrar shall not exceed the authorized principal amount of Bonds for such maturity less previous retirements.

The Bond Registrar shall not be required to transfer or exchange any Bond during the period beginning at the close of business on the 15th day next preceding any interest payment date on such Bond and ending on such interest payment date.

The person in whose name any Bond shall be registered shall be deemed and regarded as the absolute owner thereof for all purposes, and payment of the principal of or interest on any Bond shall be made only to or upon the order of the registered owner thereof or his or her legal representative. All such payments shall be valid and effectual to satisfy and discharge the liability upon such Bond to the extent of the sum or sums so paid.

No service charge shall be made for any transfer or exchange of Bonds, but the County or the Bond Registrar may require payment of a sum sufficient to cover any tax or other governmental charge that may be imposed in connection with any transfer or exchange of Bond.

(b) *Global Book-Entry System.* The Bonds shall be initially issued in the form of a separate single fully registered Bond for each of the maturities of the Bonds determined as described in Section 3 hereof. Upon initial issuance, the ownership of each such Bond shall be registered in the Bond Register in the name of Cede & Co., or any successor thereto ("*Cede*"), as nominee of The Depository Trust Company, New York, New York, and its successors and assigns ("*DTC*"). All of the outstanding Bonds shall be registered in the Bond Register in the name of Cede, as nominee of DTC, except as hereinafter provided. The Chairman of the Board, the County Clerk, the Chief Financial Officer of the County and the Bond Registrar are each authorized to execute and deliver, on behalf of the County, such letters to or agreements with DTC as shall be

necessary to effectuate such book-entry system (any such letter or agreement being referred to herein as the “*Representation Letter*”), which Representation Letter may provide for the payment of principal of or interest on the Bonds by wire transfer.

With respect to Bonds registered in the Bond Register in the name of Cede, as nominee of DTC, the County and the Bond Registrar shall have no responsibility or obligation to any broker-dealer, bank or other financial institution for which DTC holds Bonds from time to time as securities depository (each such broker-dealer, bank or other financial institution being referred to herein as a “*DTC Participant*”) or to any person on behalf of whom such a DTC Participant holds an interest in the Bonds. Without limiting the immediately preceding sentence, the County and the Bond Registrar shall have no responsibility or obligation with respect to (i) the accuracy of the records of DTC, Cede or any DTC Participant with respect to any ownership interest in the Bonds, (ii) the delivery to any DTC Participant or any other person, other than a registered owner of a Bond as shown in the Bond Register, of any notice with respect to the Bonds, or (iii) the payment to any DTC Participant or any other person, other than a registered owner of a Bond as shown in the Bond Register, of any amount with respect to the principal of or interest on the Bonds. The County and the Bond Registrar may treat and consider the person in whose name each Bond is registered in the Bond Register as the holder and absolute owner of such Bond for the purpose of payment of principal and interest with respect to such Bond, for the purpose of giving notices of redemption and other matters with respect to such Bond, for the purpose of registering transfers with respect to such Bond, and for all other purposes whatsoever. The Bond Registrar shall pay all principal of and interest on the Bonds only to or upon the order of the respective registered owners of the Bonds, as shown in the Bond Register, or their respective attorneys duly authorized in writing, and all such payments shall be valid and effective to fully satisfy and discharge the County’s obligations with respect to payment of the principal of and interest on the Bonds to the

extent of the sum or sums so paid. No person other than a registered owner of a Bond as shown in the Bond Register, shall receive a Bond evidencing the obligation of the County to make payments of principal and interest with respect to any Bond. Upon delivery by DTC to the Bond Registrar of written notice to the effect that DTC has determined to substitute a new nominee in place of Cede, and subject to the provisions in Section 3 hereof with respect to the payment of interest to the registered owners of Bonds at the close of business on the 15th day next preceding the applicable interest payment date, the name "Cede" in this Ordinance shall refer to such new nominee of DTC.

In the event that (i) the County determines that DTC is incapable of discharging its responsibilities described herein and in the Representation Letter, (ii) the agreement among the County, the Bond Registrar and DTC evidenced by the Representation Letter shall be terminated for any reason or (iii) the County determines that it is in the best interests of the beneficial owners of the Bonds that they be able to obtain certificated Bonds, the County shall notify DTC and DTC Participants of the availability through DTC of certificated Bonds and the Bonds shall no longer be restricted to being registered in the Bond Register in the name of Cede, as nominee of DTC. At that time, the County may determine that the Bonds shall be registered in the name of and deposited with such other depository operating a universal book-entry system, as may be acceptable to the County, or such depository's agent or designee, and if the County does not select such alternate universal book-entry system, then the Bonds may be registered in whatever name or names registered owners of Bonds transferring or exchanging Bonds shall designate, in accordance with the provisions of Section 4(a) hereof.

Notwithstanding any other provisions of this Ordinance to the contrary, so long as any Bond is registered in the name of Cede, as nominee of DTC, all payments with respect to principal

of and interest on such Bond and all notices with respect to such Bond shall be made and given, respectively, in the name provided in the Representation Letter.

Section 5. Form of Bond. The Bonds shall be in substantially the following form; *provided, however,* that if the text of the Bond is to be printed in its entirety on the front side of the Bond, then paragraph [2] and the legend, “See Reverse Side for Additional Provisions”, shall be omitted and paragraphs [6] through [10] shall be inserted immediately after paragraph [1]:

[Form of Bond - Front Side]

REGISTERED
NO. _____

REGISTERED
\$ _____

UNITED STATES OF AMERICA

STATE OF ILLINOIS

THE COUNTY OF WINNEBAGO

GENERAL OBLIGATION REFUNDING BOND (ALTERNATE REVENUE SOURCE), SERIES 2022

See Reverse Side for Additional Provisions

Interest Rate: _____%	Maturity Date: December 30, 20__	Dated Date: October 27, 2022	CUSIP: 974450 ____
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Registered Owner: CEDE & CO.

Principal Amount:

[1] KNOW ALL PERSONS BY THESE PRESENTS, that The County of Winnebago, Illinois (the “*County*”), hereby acknowledges itself to owe and for value received promises to pay to the Registered Owner identified above, or registered assigns as hereinafter provided, on the Maturity Date identified above, the Principal Amount identified above and to pay interest (computed on the basis of a 360-day year of twelve 30-day months) on such Principal Amount from the date of this Bond or from the most recent interest payment date to which interest has been paid at the Interest Rate per annum set forth above on June 30 and December 30 of each year, commencing June 30, 2023, until said Principal Amount is paid. The principal of this Bond is payable in lawful money of the United States of America at the principal corporate trust office of The Bank of New York Mellon Trust Company, N.A., Chicago, Illinois, as bond registrar and paying agent (the “*Bond Registrar*”). Payment of the installments of interest shall be made to the Registered Owner hereof as shown on the registration books of the County maintained by the Bond Registrar at the close of business on the 15th day next preceding each interest payment date and shall be paid by check or draft of the Bond Registrar, payable upon presentation in lawful money of the United States of

America, mailed to the address of such Registered Owner as it appears on such registration books or at such other address furnished in writing by such Registered Owner to the Bond Registrar. For the prompt payment of this Bond, both principal and interest at maturity, the full faith, credit and resources of the County are hereby irrevocably pledged.

[2] Reference is hereby made to the further provisions of this Bond set forth on the reverse hereof and such further provisions shall for all purposes have the same effect as if set forth at this place.

[3] It is hereby certified and recited that all conditions, acts and things required by law to exist or to be done precedent to and in the issuance of this Bond did exist, have happened, been done and performed in regular and due form and time as required by law; that the indebtedness of the County, including the issue of bonds of which this is one, does not exceed any limitation imposed by law; and that provision has been made for the collection of the Pledged Revenues and the Pledged Taxes to pay the interest hereon as it falls due and also to pay and discharge the principal hereof at maturity.

[4] This Bond shall not be valid or become obligatory for any purpose until the certificate of authentication hereon shall have been signed by the Bond Registrar.

[5] IN WITNESS WHEREOF, The County of Winnebago, Illinois, by its County Board, has caused this Bond to be executed by the manual or duly authorized facsimile signature of its Chairman of the County Board and attested by the manual or duly authorized facsimile signature of its County Clerk and its corporate seal impressed or reproduced hereon, all as appearing hereon and as of the Dated Date identified above.

SPECIMEN

Chairman of the County Board

Attest:

SPECIMEN

County Clerk

Date of Authentication: _____, 2022

CERTIFICATE
OF
AUTHENTICATION

Bond Registrar and Paying Agent:
The Bank of New York Mellon Trust
Company, N.A.
Chicago, Illinois

This Bond is one of the Bonds described in the within mentioned ordinance and is one of the General Obligation Refunding Bonds (Alternate Revenue Source), Series 2022, of The County of Winnebago, Illinois.

THE BANK OF NEW YORK MELLON TRUST
COMPANY, N.A., as Bond Registrar

By _____
MANUAL
Authorized Officer

THE COUNTY OF WINNEBAGO, ILLINOIS

GENERAL OBLIGATION REFUNDING BOND (ALTERNATE REVENUE SOURCE), SERIES 2022

[6] This Bond is one of a series of bonds issued by the County to refund certain outstanding alternate bonds of the County, in full compliance with the provisions of the Counties Code of the State of Illinois (the “*Code*”), and the Local Government Debt Reform Act of the State of Illinois (the “*Act*”), and all laws amendatory thereof and supplementary thereto, and is authorized by an ordinance adopted by the County Board of the County (the “*Board*”) on the 11th day of August, 2022, and by an ordinance adopted by the Board on the 13th day of October, 2022 (the “*Bond Ordinance*”), in all respects as provided by law.

[7] Bonds of the series of which this Bond is one are payable (a) together with County’s General Obligation Alternate Refunding Bonds (Public Safety Sales Tax and Quarter Cent Sales Tax Alternate Revenue Sources), Series 2016A, Taxable General Obligation Alternate Refunding Bonds (Public Safety Sales Tax and Quarter Cent Sales Tax Alternate Revenue Sources), Series 2016D, and General Obligation Alternate Refunding Bonds (Public Safety Sales Tax and Quarter Cent Sales Tax Alternate Revenue Sources), Series 2016E, from revenues received by the County from the special county retailers’ occupation tax and service occupation tax for public safety purposes (the “*Pledged Revenues*”), and (b) from ad valorem taxes levied against all of the taxable property in the County without limitation as to rate or amount (the “*Pledged Taxes*”), all in accordance with the provisions of the Act and the Code.

[8] This Bond is transferable by the Registered Owner hereof in person or by his or her attorney duly authorized in writing at the principal corporate trust office of the Bond Registrar in Chicago, Illinois, but only in the manner, subject to the limitations and upon payment of the charges provided in the Bond Ordinance, and upon surrender and cancellation of this Bond. Upon

such transfer a new Bond or Bonds of authorized denominations of the same maturity and for the same aggregate principal amount will be issued to the transferee in exchange therefor.

[9] The Bonds are issued in fully registered form in the denomination of \$5,000 each or authorized integral multiples thereof. This Bond may be exchanged at the principal corporate trust office of the Bond Registrar for a like aggregate principal amount of Bonds of the same maturity of other authorized denominations, upon the terms set forth in the Bond Ordinance. The Bond Registrar shall not be required to transfer or exchange any Bond during the period beginning at the close of business on the 15th day next preceding any interest payment date on such Bond and ending at the opening of business on such interest payment date.

[10] The County and the Bond Registrar may deem and treat the Registered Owner hereof as the absolute owner hereof for the purpose of receiving payment of or on account of principal hereof and interest due hereon and for all other purposes, and neither the County nor the Bond Registrar shall be affected by any notice to the contrary.

(ASSIGNMENT)

FOR VALUE RECEIVED, the undersigned sells, assigns and transfers unto _____

(Name and Address of Assignee)

the within Bond and does hereby irrevocably constitute and appoint _____

_____,
attorney to transfer the said Bond on the books kept for registration thereof with full power of substitution in the premises.

Dated: _____

Signature guaranteed: _____

NOTICE: The signature to this assignment must correspond with the name of the registered owner as it appears upon the face of the within Bond in every particular, without alteration or enlargement or any change whatever.

Section 6. Sale of Bonds. The Bonds hereby authorized shall be executed as in this Ordinance provided as soon after the passage hereof as may be, and thereupon be deposited with the County Treasurer and be by said Treasurer delivered to _____, _____, _____ (the "*Purchaser*"), upon receipt of the purchase price therefor, the same being \$_____; the contract for the sale of the Bonds heretofore entered into (as evidenced by an Official Bid Form for the Bonds in response to an Official Notice of Sale for the Bonds, the "*Purchase Contract*") is in all respects ratified, approved and confirmed, it being hereby found and determined that the Bonds have been sold at such price and bear interest at such rates that neither the true interest cost (yield) nor the net interest rate received upon such sale exceed the maximum rate otherwise authorized by Illinois law and that the Purchase Contract is in the best interests of the County and that no person holding any office of the County, either by election or appointment, is in any manner interested, directly or indirectly, in his or her own name or in the name of any other person, association, trust or corporation, in the Purchase Contract.

The use by the Purchaser of any Preliminary Official Statement and any final Official Statement relating to the Bonds (the “*Official Statement*”) is hereby ratified, approved and authorized; the execution and delivery of the Official Statement is hereby authorized; and the officers of the Board are hereby authorized to take any action as may be required on the part of the County to consummate the transactions contemplated by the Purchase Contract, this Ordinance, said Preliminary Official Statement, the Official Statement and the Bonds.

Section 7. Treatment of Bonds as Debt. The Bonds shall be payable from the Pledged Revenues and the Pledged Taxes and do not and shall not constitute an indebtedness of the County within the meaning of any constitutional or statutory limitation, unless the Pledged Taxes shall be extended pursuant to the general obligation, full faith and credit promise supporting the Bonds, as set forth in Section 9 hereof, in which case the amount of the Bonds then outstanding shall be included in the computation of indebtedness of the County for purposes of all statutory provisions or limitations until such time as an audit of the County shall show that the Bonds have been paid from the Pledged Revenues for a complete fiscal year, in accordance with the Act.

Section 8. Bond Fund. There is hereby established a special fund of the County known as the “Alternate Bond Fund – Series 2022” (the “*Bond Fund*”). The Bond Fund is a trust fund established for the purpose of carrying out the covenants, terms and conditions imposed upon the County by this Ordinance. The Bonds are secured by a pledge of all of the moneys on deposit in the Bond Fund, and such pledge is irrevocable until the Bonds have been paid in full or until the obligations of the County under this Ordinance are discharged.

Section 9. Alternate Revenue Source; Appropriation; Additional Obligations; Tax Levy. For the purpose of providing funds required to pay the interest on the Bonds promptly when and as the same falls due, and to pay and discharge the principal thereof at maturity, the County covenants and agrees with the purchasers and the owners of the Bonds that the County will deposit Pledged Revenues into the Bond Fund. Deposits of Sales Tax Revenues into the Bond Fund shall

be on a parity with deposits of Sales Tax Revenues into the bond funds established for payment of the Parity Bonds. All payments with respect to the Bonds shall be made directly from the Bond Fund. There are hereby created two accounts in the Bond Fund, designated as the “Pledged Revenues Account” and as the “Pledged Taxes Account.” Pledged Taxes shall be deposited to the credit of the Pledged Taxes Account. Pledged Revenues shall be deposited to the credit of the Pledged Revenues Account. Pledged Taxes on deposit to the credit of the Bond Fund shall be fully spent to pay the principal of and interest on the Bonds prior to use of any Pledged Revenues on deposit in the Bond Fund.

The County is authorized to issue from time to time additional bonds payable from the Pledged Revenues as permitted by law and such additional bonds may share ratably and equally in the Pledged Revenues with the Bonds; *provided, however*, that no such additional bonds shall be issued except in accordance with the provisions of the Act.

For the purpose of providing additional funds to pay the principal of and interest on the Bonds, there is hereby levied upon all of the taxable property within the County, in the years for which any of the Bonds are outstanding, a direct annual tax for each of the years while the Bonds or any of them are outstanding, in amounts sufficient for that purpose, and there be and there hereby is levied upon all of the taxable property in the County the following direct annual taxes (the “*Pledged Taxes*”):

FOR THE YEAR	A TAX SUFFICIENT TO PRODUCE THE SUM OF:	
2022	\$	for principal and interest up to and including December 30, 2023
2023	\$	for principal and interest
2024	\$	for principal and interest
2025	\$	for principal and interest
2026	\$	for principal and interest
2027	\$	for principal and interest
2028	\$	for principal and interest
2029	\$	for principal and interest
2030	\$	for principal and interest

Interest or principal coming due at any time when there are insufficient funds on hand from the Pledged Taxes to pay the same shall be paid promptly when due from current funds on hand in advance of the collection of the Pledged Taxes herein levied; and when the Pledged Taxes shall have been collected, reimbursement shall be made to said funds in the amount so advanced.

Other than as set forth in Section 11 hereof, the County covenants and agrees with the purchasers and the owners of the Bonds that so long as any of the Bonds remain outstanding, the County will take no action or fail to take any action which in any way would adversely affect the ability of the County to collect the Pledged Revenues or to levy and collect the Pledged Taxes. The County and its officers will comply with all present and future applicable laws in order to assure that the Pledged Revenues will be available and that the Pledged Taxes will be levied, extended and collected as provided herein and deposited in the Bond Fund.

Section 10. Filing of Ordinance and Certificate of Reduction of Taxes. Forthwith upon the passage of this Ordinance, the County Clerk is hereby directed to file a certified copy of this Ordinance in the records of the County, and it shall be the duty of the County Clerk to annually in and for each of the years 2022 to 2030, inclusive, ascertain the rate percent required to produce the aggregate Pledged Taxes hereinbefore provided to be levied in each of said years; and the County Clerk shall extend the same for collection on the tax books in connection with other taxes levied in said years in and by the County for general corporate purposes of the County; and the County Clerk shall remit the Pledged Taxes for deposit to the credit of the Pledged Taxes Account of the Bond Fund, and in said years the Pledged Taxes shall be levied and collected by and for and on behalf of the County in like manner as taxes for general corporate purposes of the County for said years are levied and collected, and in addition to and in excess of all other taxes. The Pledged Taxes are hereby irrevocably pledged to and shall be used only for the purpose of paying principal of and interest on the Bonds. The Chairman of the Board and County Treasurer are hereby directed

to prepare and file with the County Clerk, a Certificate of Reduction of Taxes Heretofore Levied for the Payment of Bonds showing the Prior Bonds being refunded and directing the abatement of the taxes heretofore levied to pay the Refunded Bonds.

Section 11. Abatement of Pledged Taxes. Whenever the Pledged Revenues or other lawfully available funds are available and on deposit in the Bond Fund to pay any principal of or interest on Bonds when due so as to enable the abatement of the Pledged Taxes levied to pay such principal and interest, the County shall direct the abatement of such Pledged Taxes by the amount available and on deposit in the Bond Fund, and proper notification of such abatement will be filed with the County Clerk in a timely manner to effect such abatement.

Section 12. Pledged Revenues; General Covenants. The County covenants and agrees with the holders of the Bonds that, so long as any Bonds remain outstanding:

A. The Pledged Revenues are hereby pledged to the payment of the Bonds; and the Board covenants and agrees to provide for, collect and apply the Pledged Revenues to the payment of the Bonds and the provision of not less than an additional 0.25 times debt service thereon, all in accordance with Section 15 of the Act.

B. The County will punctually pay or cause to be paid from the Bond Fund the principal of and interest on the Bonds in strict conformity with the terms of the Bonds and this Ordinance, and it will faithfully observe and perform all of the conditions, covenants and requirements thereof and hereof.

C. The County will pay and discharge, or cause to be paid and discharged, from the Bond Fund any and all lawful claims which, if unpaid, might become a lien or charge upon the Pledged Revenues, or any part thereof, or upon any such funds in the hands of the Bond Registrar, or which might impair the security of the Bonds. Nothing herein contained shall require the County to make any such payment so long as the County in good faith shall contest the validity of said claims.

D. The County will keep, or cause to be kept, proper books of record and accounts, separate from all other records and accounts of the County, in which complete and correct entries shall be made of all transactions relating to the Pledged Revenues and the Bond Fund. Such books of record and accounts shall at all times during business hours be subject to the inspection of the holders of not less than ten per cent (10%) of the principal amount of the outstanding Bonds or their representatives authorized in writing.

E. The County will preserve and protect the security of the Bonds and the rights of the registered owners of the Bonds, and will warrant and defend their rights against all claims and demands of all persons. From and after the sale and delivery of any of the Bonds by the County, the Bonds shall be incontestable by the County.

F. The County will adopt, make, execute and deliver any and all such further ordinances, resolutions, instruments and assurances as may be reasonably necessary or proper to carry out the intention of, or to facilitate the performance of, this Ordinance, and for the better assuring and confirming unto the holders of the Bonds of the rights and benefits provided in this Ordinance.

G. As long as any Bonds are outstanding, the County will continue to deposit the Pledged Revenues and, if necessary, the Pledged Taxes to the Bond Fund. The County covenants and agrees with the purchasers of the Bonds and with the registered owners thereof that so long as any Bonds remain outstanding, the County will take no action or fail to take any action which in any way would adversely affect the ability of the County to collect the Pledged Revenues. The County and its officers will comply with all present and future applicable laws in order to assure that the Pledged Revenues and, if necessary, the Pledged Taxes will be collected as provided herein and deposited into the Bond Fund.

H. Once issued, the Bonds shall be and forever remain until paid or defeased the general obligation of the County, for the payment of which its full faith and credit are pledged, and shall be payable, in addition to the Pledged Revenues, from the levy of the Pledged Taxes as provided in the Act and Section 9 hereof.

Section 13. Application of Proceeds; Call of the Refunded Bonds. The principal proceeds of the Bonds, together with any premium received on the sale of the Bonds, are hereby appropriated for the purpose of refunding the Refunded Bonds and paying costs of issuance of the Bonds, and the portion thereof not needed to pay such costs of issuance is hereby ordered deposited in escrow pursuant to an Escrow Agreement (the "*Escrow Agreement*") to be entered into between the County and The Bank of New York Mellon Trust Company, N.A., Chicago, Illinois, as escrow agent (the "*Escrow Agent*"), in substantially the form attached hereto as *Exhibit A* and made a part hereof by this reference, or with such changes therein as shall be approved by the officers of the County executing the Escrow Agreement, such execution to constitute evidence of the approval of such changes, for the purpose of paying the principal of and interest on the Refunded Bonds. The Board approves the form, terms and provisions of the Escrow Agreement and directs the Chairman of the Board and the County Treasurer to execute, attest and deliver the Escrow Agreement in the

name and on behalf of the County. Amounts in the escrow may be used to purchase direct obligations of or obligations guaranteed by the full faith and credit of the United States of America (the “*Government Securities*”) to provide for the payment of the principal of and interest on the Refunded Bonds. The Escrow Agent is hereby authorized to act as agent for the County in the purchase of the Government Securities. At the time of the issuance of the Bonds, the costs of issuance of the Bonds may be paid by the Purchaser or the Bond Registrar on behalf of the County from the proceeds of the Bonds.

In accordance with the redemption provisions of the Prior Bonds, the County does hereby make provision for the payment of and does hereby call (subject only to the delivery of the Bonds) the Refunded Bonds for redemption and payment prior to maturity on December 30, 2022.

Section 14. Tax Exemption. The County hereby covenants that it will not take any action, omit to take any action or permit the taking or omission of any action within its control (including, without limitation, making or permitting any use of the proceeds of the Bonds) if taking, permitting or omitting to take such action would cause any of the Bonds to be an arbitrage bond or a private activity bond within the meaning of the Internal Revenue Code of 1986, as amended, or would otherwise cause the interest on the Bonds to be included in the gross income of the recipients thereof for federal income tax purposes. The County acknowledges that, in the event of an examination by the Internal Revenue Service (the “*IRS*”) of the exemption from federal income taxation for interest paid on the Bonds, under present rules, the County may be treated as a “taxpayer” in such examination and agrees that it will respond in a commercially reasonable manner to any inquiries from the IRS in connection with such an examination.

The County also agrees and covenants with the purchasers and holders of the Bonds from time to time outstanding that, to the extent possible under Illinois law, it will comply with whatever

federal tax law is adopted in the future which applies to the Bonds and affects the tax-exempt status of the Bonds.

The Board hereby authorizes the officials of the County responsible for issuing the Bonds, the same being the Chairman of the Board, the County Clerk, the County Treasurer, County Administrator and the Chief Financial Officer of the County, to make such further covenants and certifications regarding the specific use of the proceeds of the Bonds as approved by the Board and as may be necessary to assure that the use thereof will not cause the Bonds to be arbitrage bonds and to assure that the interest on the Bonds will be exempt from federal income taxation. In connection therewith, the County and the Board further agree: (a) through their officers, to make such further specific covenants, representations as shall be truthful, and assurances as may be necessary or advisable; (b) to consult with counsel approving the Bonds and to comply with such advice as may be given; (c) to pay to the United States, as necessary, such sums of money representing required rebates of excess arbitrage profits relating to the Bonds; (d) to file such forms, statements, and supporting documents as may be required and in a timely manner; and (e) if deemed necessary or advisable by their officers, to employ and pay fiscal agents, financial advisors, attorneys, and other persons to assist the County in such compliance.

Section 15. Registered Form. The County agrees that it will not take any action to permit the Bonds to be issued in, or converted into, bearer or coupon form.

Section 16. List of Bondholders. The Bond Registrar shall maintain a list of the names and addresses of the holders of all Bonds and upon any transfer shall add the name and address of the new Bondholder and eliminate the name and address of the transferor Bondholder.

Section 17. Duties of Bond Registrar. If requested by the Bond Registrar, the Chairman of the Board, the County Clerk, the County Treasurer, the County Administrator and the Chief Financial Officer of the County are each authorized to execute the Bond Registrar's standard form

of agreement between the County and the Bond Registrar with respect to the obligations and duties of the Bond Registrar hereunder which may include the following:

- (a) to act as bond registrar, authenticating agent, paying agent and transfer agent as provided herein;
- (b) to maintain a list of Bondholders as set forth herein and to furnish such list to the County upon request, but otherwise to keep such list confidential;
- (c) to cancel and/or destroy Bonds which have been paid at maturity or submitted for exchange or transfer;
- (d) to furnish the County at least annually a certificate with respect to Bonds cancelled and/or destroyed; and
- (e) to furnish the County at least annually an audit confirmation of Bonds paid, Bonds outstanding and payments made with respect to interest on the Bonds.

Section 18. Continuing Disclosure Undertaking. The Chairman of the Board is hereby authorized, empowered and directed to execute and deliver a Continuing Disclosure Undertaking under Section (b)(5) of Rule 15c2-12 adopted by the Securities and Exchange Commission pursuant to the Securities Exchange Act of 1934, as amended (the “*Continuing Disclosure Undertaking*”). When executed and delivered on behalf of the County as herein provided, such Continuing Disclosure Undertaking will be binding on the County and the officers, employees and agents of the County, and the officers, employees and agents of the County are hereby authorized, empowered and directed to do all such acts and things and to execute all such documents as may be necessary to carry out and comply with the provisions of such Continuing Disclosure Undertaking as executed. Notwithstanding any other provision of this Ordinance, the sole remedy for failure to comply with such Continuing Disclosure Undertaking shall be the ability of the beneficial owner of any Bond to seek mandamus or specific performance by court order to cause the County to comply with its obligations under such Continuing Disclosure Undertaking.

Section 19. Provisions a Contract. The provisions of this Ordinance shall constitute a contract between the County and the owners of the outstanding Bonds. All covenants relating to

the Bonds and the conditions and obligations imposed by Section 15 of the Act are enforceable by any holder of the Bonds affected, any taxpayer of the County and the People of the State of Illinois acting through the Attorney General or any designee.

Section 20. Severability. If any section, paragraph or provision of this Ordinance shall be held to be invalid or unenforceable for any reason, the invalidity or unenforceability of such section, paragraph or provision shall not affect any of the remaining provisions of this Ordinance.

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Section 21. Repeal. All ordinances or parts thereof in conflict herewith be and the same are hereby repealed and this Ordinance shall be in full force and effect forthwith upon its adoption.

PASSED by the County Board of The County of Winnebago, Illinois, this 13th day of October, 2022.

Chairman of the County Board of
The County of Winnebago, Illinois

ATTEST:

County Clerk of
The County of Winnebago, Illinois

October 27, 2022

The Bank of New York Mellon Trust Company, N.A.,
Chicago, Illinois

Re: The County of Winnebago, Illinois
\$ _____ General Obligation Refunding Bonds
 (Alternate Revenue Source), Series 2022

Ladies and Gentlemen:

The County of Winnebago, Illinois (the "*County*"), by an ordinance adopted by the County Board (the "*Board*") on the 13th day of October, 2022 (the "*Bond Ordinance*"), has authorized the issue and delivery of \$ _____ General Obligation Refunding Bonds (Alternate Revenue Source), Series 2022, dated October 27, 2022 (the "*Bonds*"). The County has authorized by the Bond Ordinance that proceeds of the Bonds be used to pay and redeem on December 30, 2022, \$ _____ of the County's outstanding and unpaid General Obligation Alternate Refunding Bonds (Public Safety Sales Tax Alternate Revenue Source), Series 2013A, maturing on December 30 of the years and in the principal amounts and bearing interest at the rates as follows:

YEAR OF MATURITY	PRINCIPAL AMOUNT	RATE OF INTEREST
2023	\$7,560,000	5.00%
2024	7,940,000	5.00%

(the "*Refunded Bonds*").

The County hereby deposits with you \$ _____ from the proceeds of the Bonds (the "*Deposit*") and you are hereby instructed as follows with respect thereto:

1. Upon deposit, you are directed to purchase U.S. Treasury Securities State and Local Government Series Certificates of Indebtedness in the amount of \$ _____ and maturing as described on *Exhibit A* hereto (the "*Securities*"). You are further instructed to fund a beginning cash escrow deposit on demand in the amount of \$ _____. The beginning deposit and the Securities are to be held in an irrevocable trust fund account (the "*Trust Account*") for the County to the benefit of the holders of the Refunded Bonds.

2. You shall hold the Deposit in the Trust Account in cash for the sole and exclusive benefit of the holders of the Refunded Bonds until redemption of the Refunded Bonds on December 30, 2022, is made.

3. You shall promptly collect the principal, interest or profit from the proceeds deposited in the Trust Account and promptly apply the same as necessary to the payment of the Refunded Bonds as herein provided.

4. The County has called the Refunded Bonds for redemption and payment prior to maturity on December 30, 2022. As the paying agent for the Refunded Bonds (the "*Prior Paying Agent*"), you are hereby directed to provide for and give timely notice of the call for redemption of the Refunded Bonds. The form and time of the giving of such notice regarding the Refunded Bonds shall be as specified in the ordinance authorizing the issuance of the Refunded Bonds. The County agrees to reimburse you for any actual out-of-pocket expenses incurred in the giving of such notice, but the failure of the County to make such payment shall not in any respect whatsoever relieve you from carrying out any of the duties, terms or provisions of this Agreement.

5. In addition, as Prior Paying Agent, you are hereby directed to give notice of the call of the Refunded Bonds, on or before the date the notice of such redemption is given to the holders of the Refunded Bonds, to the Municipal Securities Rulemaking Board (the "*MSRB*") through its Electronic Municipal Market Access system for municipal securities disclosure or through any other electronic format or system prescribed by the MSRB for purposes of Rule 15c2-12 adopted by the Securities and Exchange Commission under the Securities Exchange Act of 1934, as amended. Information with respect to procedures for submitting notice can be found at <https://msrb.org>.

6. You shall remit the sum of \$ _____ on December 30, 2022, such sum being sufficient to pay the principal of and interest on the Refunded Bonds on such date, and such remittance shall fully release and discharge you from any further duty or obligation thereto under this Agreement.

7. You shall make no payment of fees, due or to become due, of the bond registrar and paying agent on the Bonds or the Refunded Bonds. The County shall pay the same as they become due.

8. If at any time it shall appear to you that the funds on deposit in the Trust Account will not be sufficient to pay the principal of and interest on the Refunded Bonds, you shall notify the County not less than five (5) days prior to such payment date and the County shall make up the anticipated deficit from any funds legally available for such purpose so that no default in the making of any such payment will occur.

9. Upon final disbursement of funds sufficient to pay the Refunded Bonds as hereinabove provided for, you shall transfer any balance remaining in the Trust Account to the County and thereupon this Agreement shall terminate.

Very truly yours,

THE COUNTY OF WINNEBAGO, ILLINOIS

By: _____
Chairman of the Board

By: _____
County Treasurer

Accepted this 27th day of October, 2022.

THE BANK OF NEW YORK MELLON TRUST
COMPANY, N.A.,
Chicago, Illinois

By: _____
Its: _____

EXHIBIT A

U.S. TREASURY SECURITIES

County Board Member _____ moved and County Board Member _____ seconded the motion that said ordinance as presented and read by title be adopted.

After a full discussion thereof, the Chairman directed that the roll be called for a vote upon the motion to adopt said ordinance.

Upon the roll being called, the following County Board Members voted AYE: _____

The following County Board Members voted NAY: _____

Whereupon the Chairman declared the motion carried and said ordinance adopted, approved and signed the same in open meeting and directed the County Clerk to record the same in the records of the County Board of The County of Winnebago, Illinois, which was done.

Other business not pertinent to the adoption of said ordinance was duly transacted at the meeting.

Upon motion duly made, seconded and carried, the meeting was adjourned.

County Clerk of The County of Winnebago,
Illinois

STATE OF ILLINOIS)
) SS
COUNTY OF WINNEBAGO)

CERTIFICATION OF MINUTES

I, the undersigned, do hereby certify that I am the duly qualified and acting County Clerk of The County of Winnebago, Illinois (the “*County*”), and as such official I am the keeper of the records and files of the County Board of the County (the “*Board*”).

I do further certify that the foregoing constitutes a full, true and complete transcript of the minutes of the meeting of the Board held on the 13th day of October, 2022, insofar as same relates to the adoption of Ordinance No. ____ entitled:

AN ORDINANCE providing for the issue of \$_____ General Obligation Refunding Bonds (Alternate Revenue Source), Series 2022, of The County of Winnebago, Illinois, for the purpose of refunding certain outstanding alternate bonds of said County, the pledge of certain revenues to the payment of principal and interest on said bonds and the levy of a direct annual tax sufficient to pay such principal and interest if the pledged revenues are insufficient to make such payment, and the sale of said bonds to the purchaser thereof.

a true, correct and complete copy of which said ordinance as adopted at said meeting appears in the foregoing transcript of the minutes of said meeting.

I do further certify that the deliberations of the Board on the adoption of said ordinance were conducted openly, that the vote on the adoption of said ordinance was taken openly, that said meeting was called and held at a specified time and place convenient to the public, that notice of said meeting was duly given to all of the news media requesting such notice, that an agenda for said meeting was posted at the location where said meeting was held and at the principal office of the Board at least 48 hours in advance of the holding of said meeting, that at least one copy of said agenda was continuously available for public review during the entire 48-hour period preceding said meeting, that a true, correct and complete copy of said agenda as so posted is attached hereto as *Exhibit A*, that said meeting was called and held in strict compliance with the provisions of the Open Meetings Act of the State of Illinois, as amended, and with the provisions of the Counties Code of the State of Illinois, as amended, and that the Board has complied with all of the provisions of said Act and said Code and with all of the procedural rules of the Board.

IN WITNESS WHEREOF, I hereunto affix my official signature and the seal of the County,
this 13th day of October, 2022.

County Clerk of The County of Winnebago,
Illinois

(SEAL)

STATE OF ILLINOIS)
) SS
COUNTY OF WINNEBAGO)

FILING CERTIFICATE

I, the undersigned, do hereby certify that I am the duly qualified and acting County Clerk of The County of Winnebago, Illinois, and as such official I do further certify that on the ____ day of _____, 2022, there was filed in my office a duly certified copy of Ordinance No. ____ entitled:

AN ORDINANCE providing for the issue of \$ _____ General Obligation Refunding Bonds (Alternate Revenue Source), Series 2022, of The County of Winnebago, Illinois, for the purpose of refunding certain outstanding alternate bonds of said County, the pledge of certain revenues to the payment of principal and interest on said bonds and the levy of a direct annual tax sufficient to pay such principal and interest if the pledged revenues are insufficient to make such payment, and the sale of said bonds to the purchaser thereof.

duly adopted by the County Board of The County of Winnebago, Illinois, on the 13th day of October, 2022, and that the same has been deposited in the official files and records of my office.

IN WITNESS WHEREOF, I hereunto affix my official signature and the seal of said County, this ____ day of _____, 2022.

County Clerk of The County of Winnebago,
Illinois

[SEAL]



Resolution Executive Summary

Prepared By: Mark Lofgren
Committee: Finance Committee
Committee Date: October 6, 2022
Resolution Title: Ordinance to Amend the Budget for River Bluff Nursing Medicaid Audit Transfer

County Code: Not Applicable

Board Meeting Date: October 13, 2022

Budget Information:

Was item budgeted?	No	Appropriation Amount:
If not, explain funding source: General Fund Reserves		
ORG/OBJ/Project Code: 70500-43956		Budget Impact: \$1,636,496

Background Information: Periodically, the Illinois Department of Healthcare and Family Services (HFS) Office of Inspector General performs audits of Medical Assistance (Medicaid) payments made to healthcare facilities. Such an audit was conducted at River Bluff Nursing Home for dates of service between July 1, 2017 and December 31, 2018. The audit findings were received by the nursing home administration in April, 2022 for review. The result of the findings require that the nursing home return the above amount to HFS or risk jeopardizing the River Bluff's participation in the Illinois Medical Assistance program and HFS may take action to collect the payment pursuant to the authority under state law. A corrective action plan has been developed to address issues noted by HFS.

Recommendation: Recommendation to remit payment to the Illinois Department of Healthcare and Family Services for the full amount with funds transferred from the County General Fund to River Bluff per the attached budget ordinance.

Contract/Agreement: Not Applicable

Legal Review: Reviewed by the State's Attorney Office

Follow-Up: Not Applicable

2022 Fiscal Year

Sponsored by:

Jaime Salgado, Finance Committee Chairman

Finance: October 6, 2022

Lay Over: October 13, 2022

Final Vote: October 27, 2022

2022 CO

TO: THE HONORABLE BOARD MEMBERS OF THE COUNTY OF WINNEBAGO, ILLINOIS

The Winnebago County Finance Committee presents the following Ordinance amending the Annual Appropriation Ordinance for the fiscal year ending September 30, 2021 and recommends its adoption.

ORDINANCE

WHEREAS, River Bluff Nursing Home (River Bluff) has received notification from the Illinois Department of Healthcare and Family Services- Office of Inspector General (Department) that as a result of an audit of service dates between July 1, 2017 and December 31, 2018, certain Medicaid payments made to River Bluff for its Medicaid program must be returned to the Department. In order to have sufficient funds for repayment to the Department, a transfer from the County General Fund to River Bluff is necessary. Failure to remit payment could jeopardize River Bluff's participation in the Illinois Medical Assistance Program and the Department may take action to collect the payment pursuant to the authority under state law; and

WHEREAS, the Winnebago County Board adopted the "Annual Budget and Appropriation Ordinance" for the fiscal year ending September 30, 2022 at its September 30, 2021 meeting; and,

WHEREAS, 55 ILCS 5/6-1003 (2014), states, "After the adoption of the county budget, no further appropriations shall be made at any other time during such fiscal year, except as provided in this Act. Appropriations in excess of those authorized by the budget in order to meet an immediate emergency may be made at any meeting of the board by a two-thirds vote of all the members constituting such board, the vote to be taken by ayes and nays and entered on the record of the meeting."

NOW, THEREFORE, BE IT ORDAINED, that the County Board deems that pursuant to the provisions as set forth in 55 ILCS 5/6-1003 (2014), certain conditions have occurred in connection with the operations of the County which are deemed to be immediate emergencies; therefore the increases detailed per the attached Request for Budget Amendment are hereby authorized for Amendment **#2022-023 RBNH Medicaid Audit Transfer and payment to the Illinois Department of Healthcare and Family Services to be made accordingly.**

(AGREE)

Respectfully Submitted,
FINANCE COMMITTEE
(DISAGREE)

JAIME SALGADO,
FINANCE CHAIRMAN

JAIME SALGADO,
FINANCE CHAIRMAN

JEAN CROSBY

JEAN CROSBY

JOE HOFFMAN

JOE HOFFMAN

PAUL ARENA

PAUL ARENA

STEVE SCHULTZ

STEVE SCHULTZ

KEITH McDONALD

KEITH McDONALD

JOHN BUTITTA

JOHN BUTITTA

The above and foregoing Ordinance was adopted by the County Board of the County of Winnebago, Illinois this ____ day of _____ 2022.

ATTESTED BY:

JOSEPH CHIARELLI
CHAIRMAN OF THE COUNTY BOARD
OF THE COUNTY OF WINNEBAGO, ILLINOIS

LORI GUMMOW
CLERK OF THE COUNTY BOARD
OF THE COUNTY OF WINNEBAGO, ILLINOIS

2022
WINNEBAGO COUNTY
FINANCE COMMITTEE
REQUEST FOR BUDGET AMENDMENT

DATE SUBMITTED: 10/6/2022 AMENDMENT NO: 2022-023							
DEPARTMENT: River Bluff Nursing Home SUBMITTED BY: Mark Lofgren							
FUND#: 0401 DEPT. BUDGET NO. 70500							
Department Org Number	Object (Account) Number	Object (Account) Description	Adopted Budget	Amendments Previously Approved	Revised Approved Budget	Increase (Decrease)	Revised Budget after Approved Budget Amendment
Expenditures							
70500	43956	RBNH-Medicaid Audit Repayments	\$0	\$0	\$0	\$1,636,496	\$1,636,496
13500	49110	Transfer to River Bluff	\$32,000	\$354,468	\$386,468	\$1,636,496	\$2,022,964
Revenue							
70500	39110	Transfer from General Fund	(\$2,840,000)	\$0	(\$2,840,000)	(\$1,636,496)	(\$4,476,496)
TOTAL ADJUSTMENT:						\$1,636,496	
Reason budget amendment is required:							
The Illinois Department of Healthcare & Family Services recently completed an audit of Medicaid claims with services dates of July 1, 2017 - December 31, 2018. It was determined that the nursing home must return funds to the state for the above amount. A transfer from the general fund is necessary to support this transaction.							
Potential alternatives to budget amendment:							
None							
Impact to fiscal year 2022 budget:							
\$1,636,496							
Revenue Source: <u>General Fund reserves</u>							



Resolution Executive Summary

Prepared By: Purchasing Department
Committee: Finance Committee
Committee Date: October 6, 2022
Resolution Title: Resolution Approving Nurse Call System for River Bluff Nursing Home
County Code: Winnebago County Purchasing Ordinance
Board Meeting Date: October 13, 2022

Budget Information:

Was item budgeted?	Yes - ARP Funded	Appropriation Amount:	-Labor & Material \$148,890.00 Demo \$20,920.00
Baker-Tilly ARP Compliance Review: Yes, Approved			
ORG/OBJ/Project Code: 61300-46440-RP024		Budget Impact: None - using ARP funds	

Background Information:

Staff and residents at long-term care facilities, across the country, were hit extremely hard by the first year of the COVID-19 pandemic. River Bluff Nursing Home, was no exception to the massive outbreak of cases affecting the most vulnerable part of the population. The high rate of increase was due to community spread, which resulted in the spread of the virus in River Bluff through visitors, staff and residents. This resulted in a lock down, thus not allowing for visitors to see their loved ones for a substantial period of time.

Currently, River Bluff Nursing Home is set up with a nursing call system that residents use when they need assistance in their room. The way the system works, when a resident presses a button, a light will turn on at the nurses station and an on duty nurse will respond to the resident. Implementing a new nursing call system in which a page is sent, instead, only to certain nurses and CNA's who handle the COVID-19 infected rooms, will help to contain the spread from leaving any contaminated areas.

The system provides optimized communication to enable a faster response time to all residents, especially those who are battling the COVID-19 virus. Nurses and CNA's who are assigned to a COVID-19 area will receive pages only from the residents who reside in quarantined areas. Funds will be used to purchase a system that will improve upon the current nurse call system at River Bluff for all residents, while reducing an increase in the spread of the COVID-19 virus among visitors, staff and residents.

Recommendation: The Winnebago County Facilities Engineer recommends approving CORNELL Nurse Call System to River Bluff Nursing residents with a secure and reliable nursing system.

Contract/Agreement: County to execute a Purchase Order to Pro Com Systems.

**RESOLUTION
of the
COUNTY BOARD OF THE COUNTY OF WINNEBAGO, ILLINOIS**

Sponsored by: Jaime Salgado, Committee Chairman
Submitted by: Finance Committee

2022 CR

RESOLUTION APPROVING NURSE CALL SYSTEM FOR RIVER BLUFF NURSING HOME

WHEREAS, the Winnebago County Facilities Department is required to provide a safe, secure and reliable Nurse Call system to track all residents and make sure they are staying within assigned areas; and,

WHEREAS, the Code of Ordinances Section 2-357(e) further allows for a contract with a sole source of supply or manufacturer with terms most advantageous to the County and to submit such to the County Board for purchases exceeding \$25,000; and,

WHEREAS, River Bluff Nursing Home is in need of an upgraded Nurse Call System and Baker Tilly has approved using American Rescue Plan (ARP) funds; and,

WHEREAS, the Finance Committee has reviewed the bids for the aforementioned service and recommends awarding the bid as follows:

**PRO COM SYSTEMS
3555 ELECTRIC AVENUE
ROCKFORD, ILLINOIS 61109**

(See RESOLUTION EXHIBIT A)

NOW, THEREFORE, BE IT RESOLVED, by the County Board of the County of Winnebago, Illinois that the County Board Chairman is authorized to execute, on behalf of the County of Winnebago Purchase Orders with PRO COM SYSTEMS, 3555 ELECTRIC AVENUE, ROCKFORD, ILLINOIS 61109 in the amount of ONE HUNDRED SIXTY NINE THOUSAND, EIGHT HUNDRED AND TEN DOLLARS (\$169,810.00)

BE IT FURTHER RESOLVED, that this Resolution shall be in full force and effective immediately upon its adoption and the Clerk of the County Board is hereby authorized to prepare and deliver certified copies of this Resolution to the Facilities Engineer, Director of Purchasing, Finance Director, County Board Office, and County Auditor.

Respectfully Submitted,
FINANCE COMMITTEE

AGREE

DISAGREE

JAIME SALGADO, CHAIRMAN

JAIME SALGADO, CHAIRMAN

STEVE SCHULTZ, VICE CHAIRMAN

STEVE SCHULTZ, VICE CHAIRMAN

PAUL ARENA

PAUL ARENA

JOHN BUTITTA

JOHN BUTITTA

JEAN CROSBY

JEAN CROSBY

JOE HOFFMAN

JOE HOFFMAN

KEITH McDONALD

KEITH McDONALD

The above and foregoing Resolution was adopted by the County Board of the County of Winnebago, Illinois this ____ day of _____ 2022.

ATTESTED BY:

JOSEPH CHIARELLI
CHAIRMAN OF THE COUNTY BOARD
OF THE COUNTY OF WINNEBAGO, ILLINOIS

LORI GUMMOW
CLERK OF THE COUNTY BOARD
OF THE COUNTY OF WINNEBAGO, ILLINOIS



Mr. Shawn Franks
 Winnebago County Facilities
 Via email: SFranks@WinColl.us

Tuesday, April 19th, 2022

Re: Winnebago County / River Bluff Nursing Home Nurse Call Upgrade

We are pleased to submit the following proposal for your review. Our proposal is based on building layout drawing and site visit conducted.

Our Scope of Work is as follows:

Replacing the existing RBNH Nurse Call System with a Cornell Wireless Nurse Call Solution. The system would be preconfigured so that we could convert approx. eight rooms **(8)** rooms per day from the existing system to new. The following details are the associated parts and quantities required.

- **(1)** CORNELL NC-800R Network Area Control Gateway
- **(1)** CORNELL CM-400 System Computer & 20" Monitor
- **(2)** CORNELL UPS-800 Power Supplies
- **(23)** CORNELL RP-102E Repeaters with Transformers/Power Supplies
- **(90)** CORNELL TR-ST100E2S Wireless Pull Cord Stations (Lavatories)
- **(155)** CORNELL TR-ST100BE2S Bed Station with 6' Call Cord
- **(2)** CORNELL ZTR-9010-WS 5-Watt Paging Base Transmitter
- **(20)** CORNELL PP-9000-AW5 Pagers
- **(250)** CORNELL Inform Gateway & Device Licenses
- Installation/Labor
- Testing/Programming/Certification/In-service Training
- System Cabling
- Wire Manager Hooks

Conditions / Clarifications:

- ***Sales tax is not included in this proposal.***
- Winnebago County – Pro Com special labor units have been applied to this Scope of Work.
- This proposal is taking into consideration that we will be working while residents are present in the facility so the current system will remain operational until the new system is 100% complete. We will start on vacant rooms and plan accordingly to ensure that minimal movement of residents is required.
- CORNELL product cut-sheets provided for your reference.
- ***Due to manufacturer and supply chain delays, our ability to purchase material for this project may be delayed. Although we will work with our vendors to the extent possible, Pro Com assumes no liability for schedule delays or financial impact due to material shortages from these factors.***

Winnebago County / River Bluff Nursing Home CORNELL Wireless Nurse Call Upgrade.

Total Labor & Material Above: **\$148,890.00**

Optional: **Demo of existing cabling to be abandoned** **\$ 20,920.00**

Thank you for the opportunity to work with you on this project. Any additions or changes to this project must be approved through you. If you have any questions or concerns, please contact me at (815) 873-4865.

Prepared By:

Gordon Kleber / Tony Wienk

8/15/22

Senior Division Manager

Project Engineer



Resolution Executive Summary

Prepared By: Department of Information Technology
Committee: Finance Committee
Committee Date: October 6, 2022
Resolution Title: Resolution Awarding HPE Aruba Wireless Access Points and Brackets (Bid 22B-2254)
County Code: Winnebago County Purchasing Ordinance
Board Meeting Date: October 13, 2022
Budget Information:

Was item budgeted?	Yes - ARP Funded	Appropriation Amount:	\$78,710.00
Baker-Tilly ARP Compliance Review: Yes, Approved			
ORG/OBJ/Project Code: 61300-46586-RP023		Budget Impact: None - using ARP funds	

Background Information:

The Winnebago County Department of Information Technology (WinCo DoIT) is required to provide a secure and reliable information technology infrastructure for public safety, public health, a nursing home, the circuit courts, elections, as well as, all Elected and Appointed officials. Covid-19 placed an extreme demand, at times causing network congestion, on bandwidth, whereas the increase in remote computing required fast and secure access to internal and external electronic resources. Law enforcement, public health, courts, the nursing home, elections and other essential workers require both on premise and remote access to electronic resources. Covid-19 also created the requirement to become more creative with bi-directional data sharing with trading partners, prescription providers, medical providers, law enforcement agencies and others increasing the need for bandwidth. Covid-19 also magnified the need to provide internet service to the unserved or underserved citizens in Winnebago County.

The Purchasing Department went out for formal bid 22B-2254, in August for HPE Aruba wireless access points and brackets to update to current technology, which will allow WinCo DoIT to provide the service demands of all departments. On September 14, 2022, a total of five bid responses were received, with **Heartland Business Systems, LLC.** being the lowest responsive and responsible Bidder.

Recommendation:

The Winnebago County Chief Information Officer recommends awarding the bid to Heartland Business Systems, LLC. for HPE wireless access points and brackets.

Contract/Agreement:

County to execute Purchase Order to Heartland Business Systems, LLC.

RESOLUTION
of the
COUNTY BOARD OF THE COUNTY OF WINNEBAGO, ILLINOIS

Sponsored by: Jaime Salgado, Committee Chairman
Submitted by: Finance Committee

2022 CR

RESOLUTION AWARDING HPE ARUBA WIRELESS ACCESS POINTS AND BRACKETS

WHEREAS, the Winnebago County Department of Information Technology (WinCo DoIT) is required to provide a secure and reliable information technology infrastructure for public safety, public health, a nursing home, the circuit courts, elections and all other Elected and Appointed officials; and,

WHEREAS, the Code of Ordinances for the County of Winnebago, Illinois, provides as in Section 2-357 (b) (1), Conditions for use. All procurements whose value equals or exceeds the competitive bidding threshold of \$25,000.00 shall be awarded by competitive bidding in accordance with this section except as otherwise provided in 2-357(c) (Request for Proposals), 2-357(d) (Professional Services), 2-357(e) (Sole-Source), 2-357(f) (Emergency Procurements), 2-357(g) (Cooperative Joint Purchasing) or as provided by State statute; and,

WHEREAS, in August of 2022, the Winnebago County Purchasing Department sent out an Invitation for Bid number 22B-2254 and received five bids for the following:

HPE ARUBA WIRELESS ACCESS POINTS AND BRACKETS

WHEREAS, the Finance Committee has reviewed the bids for the aforementioned service and recommends awarding the bid as follows:

HEARTLAND BUSINESS SYSTEMS, LLC.
5400 PATTON DRIVE SUITE 4B
LISLE, ILLINOIS 60532

(See Resolution Bid Tab)

NOW, THEREFORE, BE IT RESOLVED, by the County Board of the County of Winnebago, Illinois that the County is authorized to execute, on behalf of the County of Winnebago Purchase Order with HEARTLAND BUSINESS SYSTEMS LLC., 5400 PATTON DRIVE, SUITE 4B, LISLE, ILLINOIS 60532 in the amount of SEVENTY EIGHT THOUSAND, SEVEN HUNDRED AND TEN DOLLARS (\$78,710.00).

BE IT FURTHER RESOLVED, that this Resolution shall be in full force and effective immediately upon its adoption and the Clerk of the County Board is hereby authorized to prepare and deliver certified copies of this Resolution to the Chief Information Officer, Director of Purchasing, Finance Director, County Board Office, and County Auditor.

Respectfully Submitted,
FINANCE COMMITTEE

AGREE

DISAGREE

JAIME SALGADO, CHAIRMAN

JAIME SALGADO, CHAIRMAN

STEVE SCHULTZ, VICE CHAIRMAN

STEVE SCHULTZ, VICE CHAIRMAN

PAUL ARENA

PAUL ARENA

JOHN BUTITTA

JOHN BUTITTA

JEAN CROSBY

JEAN CROSBY

JOE HOFFMAN

JOE HOFFMAN

KEITH McDONALD

KEITH McDONALD

The above and foregoing Resolution was adopted by the County Board of the County of Winnebago, Illinois this ____ day of _____ 2022.

ATTESTED BY:

JOSEPH CHIARELLI
CHAIRMAN OF THE COUNTY BOARD
OF THE COUNTY OF WINNEBAGO, ILLINOIS

LORI GUMMOW
CLERK OF THE COUNTY BOARD
OF THE COUNTY OF WINNEBAGO, ILLINOIS



WINNEBAGO COUNTY

— ILLINOIS —

RESOLUTION BID TAB

BID TAB

HPE ARUBA WIRELESS ACCESS POINTS AND BRACKETS– 22B-2254 BID OPENING 9/14/22- 11:00 A.M.

VENDORS		ENTRE COMPUTER SOLUTIONS	LUMEN TECHNOLOGIES	CDWG	HEARTLAND BUSINESS SYSTEMS, LLC.
150 HPE ARUBA WIRELESS ACCESS POINTS	Price Per Part	\$1,077	\$968	\$914	\$517
	Total by Line Item	\$161,528	\$145,155	\$137,105	\$77,568
2 HPE ARUBA CAMPUS TYPE C MOUNTING BRACKET	Price Per Part	\$161	\$143	\$140	\$76
	Total by Line Item	\$322	\$287	\$280	\$152
13 HPE ARUBA CAMPUS TYPE B MOUNTING BRACKET	Price Per Part	\$160	\$142	\$135	\$76
	Total by Line Item	\$2,082	\$1,852	\$1,749	\$990
TOTAL BID FOR ALL 3 LINE ITEMS		\$163,932	\$150,151	\$139,134	\$78,710



WINNEBAGO COUNTY

— ILLINOIS —

RESOLUTION BID TAB

BID TAB

HPE ARUBA WIRELESS ACCESS POINTS AND BRACKETS– 22B-2254
BID OPENING 9/14/22- 11:00 A.M.

VENDORS		CDS OFFICE TECHNOLOGIES			
150 HPE ARUBA WIRELESS ACCESS POINTS	Price Per Part	\$1,042			
	Total by Line Item	\$156,300			
2 HPE ARUBA CAMPUS TYPE C MOUNTING BRACKET	Price Per Part	\$155			
	Total by Line Item	\$310			
13 HPE ARUBA CAMPUS TYPE B MOUNTING BRACKET	Price Per Part	\$157			
	Total by Line Item	\$2,041			
TOTAL BID FOR ALL 3 LINE ITEMS		\$158,651			



Resolution Executive Summary

Prepared By: Department of Information Technology
Committee: Finance Committee
Committee Date: October 6, 2022
Resolution Title: Resolution Awarding Replacement HPE Servers (Bid 22B-2255)
County Code: Winnebago County Purchasing Ordinance
Board Meeting Date: October 13, 2022
Budget Information:

Was item budgeted?	Yes - ARP Funded	Appropriation Amount:	\$241,110.00
Baker-Tilly ARP Compliance Review: Yes, Approved			
ORG/OBJ/Project Code: 61300-46586-RP023		Budget Impact: None - using ARP funds	

Background Information:

The Winnebago County Department of Information Technology (WinCo DoIT) is required to provide a secure and reliable information technology infrastructure for public safety, public health, a nursing home, the circuit courts, elections, as well as, all Elected and appointed officials. Covid-19 placed an extreme demand, at times causing network congestion, on bandwidth, whereas the increase in remote computing required fast and secure access to internal and external electronic resources. Law enforcement, public health, courts, the nursing home, elections and other essential workers require both on premise and remote access to electronic resources. Covid-19 also created the requirement to become more creative with bi-directional data sharing with trading partners, prescription providers, medical providers, law enforcement agencies and others increasing the need for bandwidth. Covid-19 also magnified the need to provide internet service to the unserved or underserved citizens in Winnebago County.

The Purchasing Department went out for formal bid 22B-2255, in August for the replacement of six HPE servers to update the server hosts to current technology, which will allow WinCo DoIT to provide the service demands of all departments. On September 16, 2022, a total of six bid responses were received, with **CDS Office Technologies** being the lowest responsive and responsible bidder.

Recommendation:

The Winnebago County Chief Information Officer recommends awarding the bid to CDS Office Technologies for Virtual Server Hosts.

Contract/Agreement:

County to execute Purchase Order to CDS Office Technologies.

RESOLUTION
of the
COUNTY BOARD OF THE COUNTY OF WINNEBAGO, ILLINOIS

Sponsored by: Jaime Salgado, Committee Chairman
Submitted by: Finance Committee

2022 CR

RESOLUTION AWARDING REPLACEMENT HPE SERVERS

WHEREAS, the Winnebago County Department of Information Technology (WinCo DoIT) is required to provide a secure and reliable information technology infrastructure for public safety, public health, a nursing home, the circuit courts, elections and all other Elected and Appointed officials; and,

WHEREAS, the Code of Ordinances for the County of Winnebago, Illinois, provides as in Section 2-357 (b) (1), Conditions for use. All procurements whose value equals or exceeds the competitive bidding threshold of \$25,000.00 shall be awarded by competitive bidding in accordance with this section except as otherwise provided in 2-357(c) (Request for Proposals), 2-357(d) (Professional Services), 2-357(e) (Sole-Source), 2-357(f) (Emergency Procurements), 2-357(g) (Cooperative Joint Purchasing) or as provided by State statute; and,

WHEREAS, in August of 2022, the Winnebago County Purchasing Department sent out an Invitation for Bid number 22B-2255 and received a total of six bids for the following:

REPLACEMENT HPE SERVERS

WHEREAS, the Finance Committee has reviewed the bid responses for the aforementioned service and recommends awarding the bid as follows:

CDS OFFICE TECHNOLOGIES
1271 HAMILTON PARKWAY
ITASCA, ILLINOIS 60143

(See Resolution Bid Tab)

NOW, THEREFORE, BE IT RESOLVED, by the County Board of the County of Winnebago, Illinois that the County is authorized to execute, on behalf of the County of Winnebago Purchase Order with CDS OFFICE TECHNOLOGIES, 1271 HAMILTON PARKWAY, ITASCA, ILLINOIS 60143 in the amount of TWO HUNDRED FORTY-ONE THOUSAND, ONE HUNDRED AND TEN DOLLARS (\$241,110.00)

BE IT FURTHER RESOLVED, that this Resolution shall be in full force and effective immediately upon its adoption and the Clerk of the County Board is hereby authorized to prepare and deliver certified copies of this Resolution to the Chief Information Officer, Director of Purchasing, Finance Director, County Board Office, and County Auditor.

Respectfully Submitted,
FINANCE COMMITTEE

AGREE

DISAGREE

JAIME SALGADO, CHAIRMAN

JAIME SALGADO, CHAIRMAN

STEVE SCHULTZ, VICE CHAIRMAN

STEVE SCHULTZ, VICE CHAIRMAN

PAUL ARENA

PAUL ARENA

JOHN BUTITTA

JOHN BUTITTA

JEAN CROSBY

JEAN CROSBY

JOE HOFFMAN

JOE HOFFMAN

KEITH McDONALD

KEITH McDONALD

The above and foregoing Resolution was adopted by the County Board of the County of Winnebago, Illinois this ____ day of _____ 2022.

ATTESTED BY:

JOSEPH CHIARELLI
CHAIRMAN OF THE COUNTY BOARD
OF THE COUNTY OF WINNEBAGO, ILLINOIS

LORI GUMMOW
CLERK OF THE COUNTY BOARD
OF THE COUNTY OF WINNEBAGO, ILLINOIS



WINNEBAGO COUNTY

— ILLINOIS —

RESOLUTION BID TAB

BID TAB

REPLACEMENT HPE SERVERS - 22B-2255 BID OPENING DATE - 9/16/22

VENDORS	ENTRE COMPUTER SOLUTIONS	HEARTLAND BUSINESS SYSTEMS, LLC.	AMTEK	TILES IN STYLE	BRIGHTCENTRA INC.	CDS OFFICE TECHNOLOGIES
TOTAL PRICE for 6 Servers	\$453,660.68	\$354,217.44	\$443,819.64	Bid is Non- Responsive	\$399,939.61	\$241,110.00



Resolution Executive Summary

Prepared By: Dave Rickert
Committee: Finance Committee
Committee Date: October 6, 2022
Resolution Title: Ordinance to Amend the Budget for ETSB Salary Reimbursement

County Code: Not Applicable

Board Meeting Date: October 13, 2022

Budget Information:

Was item budgeted?	No	Appropriation Amount: \$98,721
If not, explain funding source: General Fund Reserves		
ORG/OBJ/Project Code:	13500-43190	Budget Impact: \$98,721

Background Information: As part of an agreement with ETSB they have agreed to reimburse Winnebago County half of the salary and benefits of the 911 Director employed by Winnebago County. Over the past 11 years due to changes in personnel and salaries, Winnebago County has over charged ETSB by \$197,442.71. Due to this overpayment an agreement has been made where Winnebago County will reimburse ETSB half of that amount equaling \$98,721.35

Recommendation: Staff recommends that we reimburse ETSB for half of the overpayment.

Contract/Agreement: Not Applicable

Legal Review: In Progress

Follow-Up: Not Applicable

2022 Fiscal Year

Sponsored by:
Jaime Salgado, Finance Committee Chairman

Finance: Oct 6, 2022
Lay Over: Oct 13, 2022
Final Vote: Oct 27, 2022

2022 CO

TO: THE HONORABLE BOARD MEMBERS OF THE COUNTY OF WINNEBAGO, ILLINOIS

The Winnebago County Finance Committee presents the following Ordinance amending the Annual Appropriation Ordinance for the fiscal year ending September 30, 2021 and recommends its adoption.

ORDINANCE

WHEREAS, ETSB and Winnebago County have an agreement that ETSB shall reimburse Winnebago County for half of the benefits of the 911 Director employed by Winnebago County. For the last 11 years due to changes in personnel and salaries Winnebago County has over charged ETSB by \$197,442.71. An agreement has been come to, to have Winnebago County repay half of the overcharge which equals \$98,721.35.

WHEREAS, the Winnebago County Board adopted the "Annual Budget and Appropriation Ordinance" for the fiscal year ending September 30, 2022 at its September 30, 2021 meeting; and,

WHEREAS, 55ILCS 5/6-1003(2014), states, "After the adoption of the county budget, no further appropriations shall be made at any other time during such fiscal year, except as provided in this Act. Appropriations in excess of those authorized by the budget in order to meet an immediate emergency may be made at any meeting of the board by a two-thirds vote of all the members constituting such board, the vote to be taken by ayes and nays and entered on the record of the meeting."

NOW, THEREFORE, BE IT ORDAINED, that the County Board deems that pursuant to the provisions as set forth in 55ILCS 5/6-1003(2014), certain conditions have occurred in connection with the operations of the County which are deemed to be immediate emergencies; therefore the increases detailed per the attached Request for Budget Amendment are hereby authorized for Amendment **#2022-024 ETSB Salary Reimbursement**.

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(AGREE)

JAIME SALGADO,
FINANCE CHAIRMAN

JEAN CROSBY

JOE HOFFMAN

PAUL ARENA

STEVE SCHULTZ

KEITH McDONALD

JOHN BUTITTA

Respectfully Submitted,
FINANCE COMMITTEE
(DISAGREE)

JAIME SALGADO,
FINANCE CHAIRMAN

JEAN CROSBY

JOE HOFFMAN

PAUL ARENA

STEVE SCHULTZ

KEITH McDONALD

JOHN BUTITTA

The above and foregoing Ordinance was adopted by the County Board of the County of Winnebago, Illinois this ____ day of _____ 2022.

ATTESTED BY:

LORI GUMMOW
CLERK OF THE COUNTY BOARD
OF THE COUNTY OF WINNEBAGO, ILLINOIS

JOSEPH CHIARELLI
CHAIRMAN OF THE COUNTY BOARD
OF THE COUNTY OF WINNEBAGO, ILLINOIS

2022
WINNEBAGO COUNTY
FINANCE COMMITTEE
REQUEST FOR BUDGET AMENDMENT

DATE SUBMITTED: 10/6/2022 AMENDMENT NO: 2022-024							
DEPARTMENT: Administrative & ETSB SUBMITTED BY: Dave Rickert							
FUND#: 0001 & '0114 DEPT. BUDGET NO. 13500 & 41400							
Department Org Number	Object (Account) Number	Object (Account) Description	Adopted Budget	Amendments Previously Approved	Revised Approved Budget	Increase (Decrease)	Revised Budget after Approved Budget Amendment
Expenditures							
13500	43190	Other Professional Services	\$361,689	\$0	\$361,689	\$98,721	\$460,411
Revenue							
41400	32330	Other Expense Reimbursement - Local Gov	\$0	\$0	\$0	(\$98,721)	(\$98,721)
TOTAL ADJUSTMENT:						\$0	
Reason budget amendment is required:							
As part of an agreement with ETSB they shall reimburse Winnebago County half of the salary and benefits of the 911 Director employed by Winnebago County. Over the past 11 years due to changes in personnel and salaries Winnebago County has over charged ETSB by \$197,442.71. An agreement has been made where Winnebago County will reimburse ETSB half of that amount equaling \$98,721.35							
Potential alternatives to budget amendment:							
None							
Impact to fiscal year 2022 budget:							
\$98,721							
Revenue Source: <u>General Fund reserves</u>							

Fiscal Year	Total 911 Reclass Charge		Salary	Benefits	Total	50%	Paycheck Dates
2010	\$82,000.00	Fitz B.	112,634.62	46,160.28	158,794.90	79,397.45	
2011	\$80,150.04	Fitz B.	106,787.15	43,388.30	150,175.45	75,087.73	Went part time in July 2011
		Andrews S.	24,224.20	11,791.15	36,015.35	18,007.68	
2012	\$88,105.04	Andrews S.	119,998.96	53,518.41	173,517.37	86,758.69	
2013	\$90,848.96	Andrews S.	132,515.26	57,665.71	190,180.97	95,090.49	
2014	\$92,060.00	Andrews S.	137,671.83	60,778.73	198,450.56	99,225.28	
2015	\$94,940.00	Andrews S.	130,999.17	56,342.90	187,342.07	93,671.04	
2016	\$94,940.00	Andrews S.	46,125.89	16,237.17	62,363.06	31,181.53	Final Chk 11/25/2015
		Depauw S.	13,142.06	5,950.90	19,092.96	9,546.48	Interim Director
		Meyers S.	93,896.21	39,766.76	133,662.97	66,831.49	Began 02/5/2016
2017	\$94,940.00	Meyers S.	100,002.42	41,369.35	141,371.77	70,685.89	
		Martin T.	17,009.59	2,870.76	19,880.35	9,940.18	Began as Director 07/07/2017
2018	\$94,940.00	Martin T.	63,591.95	10,730.20	74,322.15	37,161.08	
2019	\$94,940.00	Martin T.	64,002.16	9,750.02	73,752.18	36,876.09	
2020	\$94,940.00	Martin T.	64,952.23	9,739.73	74,691.96	37,345.98	
2021	\$94,940.00	Martin T.	52,223.46	7,593.02	59,816.48	29,908.24	Final Chk 06/04/21
		Karner M.	32,426.32	6,756.33	39,182.65	19,591.33	Interim Director
		Kaplan J.	6,960.00	1,029.46	7,989.46	3,994.73	1st Chk 09/01/21
	1,097,744.04					900,301.33	

**Winnebago County Emergency
Telephone System Board**

INVOICE

204 S 1st St
Rockford, IL 61104

INVOICE # 0001
DATE: 9/27/2022

TO:

David J Rickert
Chief Financial Officer
Winnebago County
404 Elm St
Rockford, IL 61101
Phone: 815-319-4444

QUANTITY	DESCRIPTION	UNIT PRICE	TOTAL
1	ETSB Overpayment of County 911 Director reimbursement	98,721.35	98,721.35
TOTAL DUE			98,721.35

Make all checks payable to Winnebago County Emergency Telephone System Board
If you have any questions concerning this invoice, contact:

Elizabeth Russell
779-500-6545
Elizabeth.Russell@rockfordil.gov

THANK YOU!